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Member FINRA/SIPC



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CLAUDE ANTHONY CLAIREAUX SIMON
ADMIN EST VICKI CLAIREAUX SIMON
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CALLICOON, NY 12723



1099 Brochure for Tax Year 2019

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Ameritrade Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900		Tax Information Account 496121567 CLAUDE ANTHONY CLAIREAUX SIMON ADMIN EST VICKI CLAIREAUX SIMON 71 TONJES RD CALLICOON, NY 12723		Statement Date: 02/05/2020 Document ID: G322 521 750C	2019
PAYER'S TIN: 47-0533629		RECIPIENT'S TIN: XX-XXX6649			

[] FATCA filing requirement (see instructions)

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2019 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2019 1099-MISC*	OMB No. 1545-0115
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1a- Total ordinary dividends (includes lines 1b, 5)	339.73		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	0.00				

SECTION 1256 CONTRACTS

2019 1099-B*

4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2019 on closed contracts	0.00	
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2018	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
8- Foreign country or US possession:	0.00		11- Aggregate profit or (loss) on contracts	0.00	
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	280.39				
12- Specified private activity bond interest dividends (AMT)	38.71				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	0.00	0.00	0.00	0.00	0.00
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	0.00	0.00	0.00	0.00	0.00

Withholding

Amount	
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 496121567

Detail for Dividends and Distributions

2019

02/05/2020

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO FUNDS INTMD TERM MUNI INCOME A	001419597 VKLMX		10/31/19	122.16	Tax-exempt dividend	03
			10/31/19	21.42	Tax-exempt dividend AMT	03
				143.58	Total Tax-exempt dividends	
NUVEEN FUNDS INTMD DURATION MUNI BOND A	67065Q202 NMBAX		10/31/19	119.52	Tax-exempt dividend	03
			10/31/19	17.29	Tax-exempt dividend AMT	03
			10/31/19	0.63	Nonqualified dividend	03
				0.63	Total Dividends & distributions	
FRANKLIN TEMPLETON INVESTMENTS GLOBAL BOND A	880208103 TPINX			136.81	Total Tax-exempt dividends	
			10/17/19	339.10	Nonqualified dividend	
				339.73	Total Dividends & distributions	
				280.39	Total Tax-exempt dividends	

TD Ameritrade Clearing, Inc.

Account 496121567

Mutual Fund and UIT Supplemental Information

2019

02/05/2020

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

INVESCO FUNDS INTMD TERM MUNI INCOME A / 001419597 / VKLMX

TAX-EXEMPT INTEREST DIVIDENDS BY STATE AND US POSSESSIONS

State	%	State	%	State	%	State	%	State	%	State	%
Alabama	2.11	Georgia	0.82	Maine	0.04	Nevada	0.60	Oklahoma	0.66	Utah	0.39
Alaska	0.16	Hawaii	0.59	Maryland	1.74	New Hampshire	0.12	Oregon	0.49	Vermont	0.05
Arizona	3.87	Idaho	0.06	Massachusetts	0.79	New Jersey	5.64	Pennsylvania	5.55	Virginia	0.87
Arkansas	0.09	Illinois	12.38	Michigan	3.99	New Mexico	0.60	Rhode Island	0.77	Washington	2.02
California	7.71	Indiana	0.69	Minnesota	0.73	New York	6.38	South Carolina	1.06	West Virginia	0.60
Colorado	1.82	Iowa	1.51	Mississippi	0.10	North Carolina	1.50	South Dakota	0.07	Wisconsin	3.43
Connecticut	1.46	Kansas	0.79	Missouri	2.27	North Dakota	0.35	Tennessee	1.44	Wyoming	0.11
Dist. Columbia	0.61	Kentucky	2.02	Nebraska	1.33	Ohio	3.24	Texas	8.85	U.S.Possessions	1.77
Florida	3.97	Louisiana	1.81								

NUVEEN FUNDS INTMD DURATION MUNI BOND A / 67065Q202 / NMBAX

TAX-EXEMPT INTEREST DIVIDENDS BY STATE AND US POSSESSIONS

State	%	State	%	State	%	State	%	State	%		
Alabama	1.83	Florida	7.00	Louisiana	2.19	Nebraska	1.30	Ohio	4.01	Texas	6.14
Alaska	0.47	Georgia	1.64	Maine	0.34	Nevada	1.46	Oklahoma	1.47	Utah	0.22
Arizona	2.69	Hawaii	0.85	Maryland	0.77	New Hampshire	0.26	Oregon	0.82	Virginia	1.52
Arkansas	0.61	Idaho	0.27	Massachusetts	1.23	New Jersey	5.61	Pennsylvania	4.99	Washington	1.77
California	5.99	Illinois	14.03	Michigan	1.66	New Mexico	0.22	Rhode Island	0.61	West Virginia	0.34
Colorado	2.95	Indiana	3.33	Minnesota	0.61	New York	4.95	South Carolina	0.85	Wisconsin	2.15
Connecticut	0.81	Iowa	1.66	Mississippi	0.44	North Carolina	0.47	South Dakota	0.12	Wyoming	0.38
Delaware	0.13	Kansas	0.68	Missouri	0.69	North Dakota	0.99	Tennessee	1.87	U.S.Possessions	1.43
Dist. Columbia	1.43	Kentucky	1.36	Montana	0.39						

TD Ameritrade Clearing, Inc. 2019 End Notes for Account 496121567

03 The tax character of the distribution has been allocated based on information provided by the security issuer.