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VICKI C SIMON  
534 WEST 42ND STREET #8  
NEW YORK NY 10036-6221

1099:001  
February 6, 2017  
052080207222  
0



**Account Owner**  
VICKI C SIMON  
534 WEST 42ND STREET #8  
NEW YORK NY 10036-6221

**Date Issued**  
February 06, 2017

**Your Financial Advisor**  
The Apollo Group  
1290 AVE OF AMERICAS, 13TH FL  
NEW YORK, NY 10104  
800-495-6844

**Account Number**  
052 080207 222

**Customer Service: 866-324-6088**

**What's included in this packet:**

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This Morgan Stanley 1099 Consolidated Tax Statement for 2016 provides your official tax information for use when preparing your tax return. It is important to note that the income information that was reported on your December account statement will not have included certain adjustments occurring after year-end that are reflected on your 1099 and that are necessary for tax reporting purposes. The Account Number reflected on your 1099 Forms is reflected as of December 31, 2016. If your Account Number subsequently changes after December 31, 2016, your new Account Number is reflected on your next scheduled statement once the Account Number change becomes effective.

The following tax forms are not included in this statement and are sent individually in separate mailings, if required: 1099-Q, 1042-S, 2439, 5498, 5498-ESA, REMIC, Schedule K-1 and Puerto Rico 480-6A, B, C & D.

Morgan Stanley is pleased to provide you with the ability to download your tax information into the following individual tax preparation software applications: **TurboTax®**, **H&R Block Tax Software®**, **Lacerte®** and **ProSystem fx®**. You also have the ability to download Realized Gain/Loss transactions into Microsoft Excel® from Morgan Stanley Online. You must be registered with *Morgan Stanley Online* to take advantage of these features. To enroll in *Morgan Stanley Online*, visit [www.morganstanley.com/online](http://www.morganstanley.com/online).

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**\*\*\* WARNING - CORRECTED TAX FORMS POSSIBLE \*\*\***

The Forms 1099 included in your Morgan Stanley Consolidated Tax Statement were prepared based upon information provided by the issuer of each security. The issuer may change the tax status of a distribution reported to you subsequent to the issuance of this Consolidated Tax Statement. In that case, we are required to send you one or more corrections.

As permitted under Internal Revenue Code, Morgan Stanley will not issue a corrected Form 1099 if the total correction for the form is \$100 or less ("de minimis amounts"). For more information on the de minimis safe harbor rules, to elect to opt-out and receive a corrected Form 1099 for de minimis amounts, or to revoke a prior election to opt-out, please contact your Financial Advisor.

**Non-Reportable to the IRS**

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2016 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza

12th Floor

New York, NY 10004

Identification Number: 26-4310632

VICKI C SIMON

534 WEST 42ND STREET #8

NEW YORK NY 10036-6221

Taxpayer ID Number: XXX-XX-5882

Account Number: 052 080207 222

**Customer Service: 866-324-6088**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

| IRS 2016 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS<br>BOX OMB NO. 1545-0110 |            |
|-------------------------------------------------------------------------------|------------|
| 1a. TOTAL ORDINARY DIVIDENDS                                                  | \$6,972.10 |
| 1b. QUALIFIED DIVIDENDS                                                       | \$0.00     |
| 2a. TOTAL CAPITAL GAIN DISTRIBUTIONS                                          | \$103.60   |
| 2b. UNRECAP. SEC. 1250 GAIN                                                   | \$0.00     |
| 2d. COLLECTIBLES (28%) GAIN                                                   | \$0.00     |
| 3. NON-DIVIDEND DISTRIBUTIONS                                                 | \$2,263.30 |
| 4. FEDERAL INCOME TAX WITHHELD                                                | \$0.00     |
| 5. INVESTMENT EXPENSES                                                        | \$0.00     |
| 6. FOREIGN TAX PAID                                                           | \$128.16   |
| 8. CASH LIQUIDATION DISTRIBUTIONS                                             | \$0.00     |
| 9. NON-CASH LIQUIDATION DISTRIBUTIONS                                         | \$0.00     |
| 10. EXEMPT-INTEREST DIVIDENDS                                                 | \$9,436.61 |
| 11. SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS                        | \$899.90   |
| IRS 2016 FORM 1099-INT - INTEREST INCOME<br>BOX OMB NO. 1545-0112             |            |
| 1. INTEREST INCOME                                                            | \$0.06     |
| 2. EARLY WITHDRAWAL PENALTY                                                   | \$0.00     |
| 3. INTEREST ON U.S. SAVINGS BONDS AND TREAS. OBLIGATIONS                      | \$0.00     |
| 4. FEDERAL INCOME TAX WITHHELD                                                | \$0.00     |
| 5. INVESTMENT EXPENSES                                                        | \$0.00     |
| 6. FOREIGN TAX PAID                                                           | \$0.00     |
| 8. TAX-EXEMPT INTEREST                                                        | \$0.00     |
| 9. SPECIFIED PRIVATE ACTIVITY BOND INTEREST                                   | \$0.00     |
| 10. MARKET DISCOUNT                                                           | \$0.00     |
| 11. BOND PREMIUM                                                              | \$0.00     |
| 12. BOND PREMIUM ON TREASURY OBLIGATIONS                                      | \$0.00     |
| 13. BOND PREMIUM ON TAX-EXEMPT BOND                                           | \$0.00     |
| 14. TAX-EXEMPT AND TAX CREDIT BOND CUSIP NO.                                  | \$0.00     |

| IRS 2016 FORM 1099-MISC - MISCELLANEOUS INCOME<br>BOX OMB NO. 1545-0115                                |            |
|--------------------------------------------------------------------------------------------------------|------------|
| 1. RENTS                                                                                               | \$0.00     |
| 2. ROYALTIES                                                                                           | \$0.00     |
| 3. OTHER INCOME                                                                                        | \$0.00     |
| 4. FEDERAL INCOME TAX WITHHELD                                                                         | \$0.00     |
| 8. SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST                                                | \$0.00     |
| IRS 2016 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT<br>BOX OMB NO. 1545-0117                              |            |
| 1. ORIGINAL ISSUE DISCOUNT FOR 2016                                                                    | \$0.00*    |
| 2. OTHER PERIODIC INTEREST                                                                             | \$0.00     |
| 4. FEDERAL INCOME TAX WITHHELD                                                                         | \$0.00     |
| 5. MARKET DISCOUNT                                                                                     | \$0.00     |
| 6. ACQUISITION PREMIUM                                                                                 | \$0.00     |
| 8. OID ON U.S. TREASURY OBLIGATIONS                                                                    | \$0.00*    |
| 9. INVESTMENT EXPENSES                                                                                 | \$0.00     |
| 10. BOND PREMIUM                                                                                       | \$0.00     |
| *This may not be the correct figure to report on your income tax return. See instructions on the back. |            |
| IRS 2016 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS<br>BOX OMB NO. 1545-0715  |            |
| 1d. PROCEEDS                                                                                           | \$2,559.63 |
| COVERED SECURITIES                                                                                     | \$2,559.63 |
| NONCOVERED SECURITIES                                                                                  | \$0.00     |
| 1e. COST OR OTHER BASIS OF COVERED SECURITIES                                                          | \$2,562.10 |
| 1f. ACCRUED MARKET DISCOUNT                                                                            | \$0.00     |
| 1g. WASH SALE LOSS DISALLOWED                                                                          | \$0.00     |
| 4. FEDERAL INCOME TAX WITHHELD                                                                         | \$0.00     |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**



## 1099-DIV DIVIDENDS & DISTRIBUTIONS

### Ordinary Dividends

| DESCRIPTION                     | CUSIP     | PAY DATE | ORDINARY DIVIDENDS | QUALIFIED DIVIDENDS | FEDERAL INCOME TAX WITHHELD | FOREIGN TAX PAID | COUNTRY |
|---------------------------------|-----------|----------|--------------------|---------------------|-----------------------------|------------------|---------|
| DOUBLELINE TOTAL RETURN I       | 258620103 | 01/29/16 | \$555.02           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 02/29/16 | \$514.26           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 03/31/16 | \$609.35           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 04/29/16 | \$547.79           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 05/31/16 | \$519.04           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 06/30/16 | \$571.25           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 07/29/16 | \$592.67           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 08/31/16 | \$573.93           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 09/30/16 | \$561.12           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 10/31/16 | \$559.95           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 11/30/16 | \$589.09           | \$0.00              | \$0.00                      | \$0.00           |         |
| DOUBLELINE TOTAL RETURN I       | 258620103 | 12/30/16 | \$603.98           | \$0.00              | \$0.00                      | \$0.00           |         |
| NUVEEN INT DUR MUNI BOND I      | 67065Q400 | 12/06/16 | \$46.49            | \$0.00              | \$0.00                      | \$0.00           |         |
| TEMPLETON GLOBAL BD FD ADV      | 880208400 | 08/15/16 | \$128.16           | \$0.00              | \$0.00                      | \$128.16         | VARIOUS |
| <b>Total Ordinary Dividends</b> |           |          | <b>\$6,972.10</b>  |                     |                             |                  |         |

Total Qualified Dividends 1099-DIV box 1a

Total Qualified Dividends 1099-DIV box 1b

Total Foreign Tax Paid 1099-DIV box 6

### Capital Gain Distributions

| DESCRIPTION                             | CUSIP     | PAY DATE | CAPITAL GAIN DISTRIBUTIONS | UNRECAPTURED 1250 GAIN | FEDERAL INCOME TAX WITHHELD | COLLECTIBLE 28% GAIN |
|-----------------------------------------|-----------|----------|----------------------------|------------------------|-----------------------------|----------------------|
| LORD ABBETT INTERM TX FR F              | 543912794 | 12/21/16 | \$33.19                    | \$0.00                 | \$0.00                      | \$0.00               |
| NUVEEN INT DUR MUNI BOND I              | 67065Q400 | 12/06/16 | \$70.41                    | \$0.00                 | \$0.00                      | \$0.00               |
| <b>Total Capital Gain Distributions</b> |           |          | <b>\$103.60</b>            |                        |                             |                      |
| <b>Total Unrecaptured 1250 Gain</b>     |           |          | <b>\$0.00</b>              |                        |                             |                      |

Total Collectible 28% Gain 1099-DIV box 2d

### Non-Dividend Distributions

| DESCRIPTION                | CUSIP     | PAY DATE | AMOUNT   | FEDERAL INCOME TAX WITHHELD |
|----------------------------|-----------|----------|----------|-----------------------------|
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 01/15/16 | \$235.46 | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 02/16/16 | \$234.15 | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 03/15/16 | \$236.30 | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 04/15/16 | \$236.25 | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 05/16/16 | \$164.00 | \$0.00                      |

CONTINUED ON NEXT PAGE

## 1099-DIV DIVIDENDS & DISTRIBUTIONS / Non-Dividend Distributions (continued)

| DESCRIPTION                                     | CUSIP     | PAY DATE | AMOUNT     | FEDERAL INCOME TAX WITHHELD |
|-------------------------------------------------|-----------|----------|------------|-----------------------------|
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 06/15/16 | \$163.59   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 07/15/16 | \$164.65   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 08/15/16 | \$164.98   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 09/15/16 | \$164.56   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 10/17/16 | \$165.63   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 11/15/16 | \$165.96   | \$0.00                      |
| TEMPLETON GLOBAL BD FD ADV                      | 880208400 | 12/15/16 | \$167.77   | \$0.00                      |
| Total Non-Dividend Distributions 1099-DIV box 3 |           |          | \$2,263.30 |                             |

## Tax-Exempt Interest Dividends

| DESCRIPTION                | CUSIP     | PAY DATE | EXEMPT INTEREST DIVIDENDS | SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS | FEDERAL INCOME TAX WITHHELD |
|----------------------------|-----------|----------|---------------------------|----------------------------------------------------|-----------------------------|
| INVESCO INTERM TERM MUNI Y | 001419563 | 01/29/16 | \$281.18                  | \$40.59                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 02/29/16 | \$281.30                  | \$40.60                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 03/31/16 | \$282.10                  | \$40.72                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 04/29/16 | \$282.54                  | \$40.78                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 05/31/16 | \$282.72                  | \$40.81                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 06/30/16 | \$283.50                  | \$40.92                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 07/29/16 | \$285.20                  | \$41.17                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 08/31/16 | \$285.82                  | \$41.26                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 09/30/16 | \$286.50                  | \$41.35                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 10/31/16 | \$287.06                  | \$41.43                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 11/30/16 | \$307.80                  | \$44.43                                            | \$0.00                      |
| INVESCO INTERM TERM MUNI Y | 001419563 | 12/30/16 | \$308.45                  | \$44.52                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 01/29/16 | \$218.36                  | \$17.03                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 02/29/16 | \$212.59                  | \$16.58                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 03/31/16 | \$203.97                  | \$15.91                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 04/29/16 | \$206.11                  | \$16.08                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 05/31/16 | \$199.88                  | \$15.59                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 06/30/16 | \$204.34                  | \$15.94                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 07/29/16 | \$204.64                  | \$15.96                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 08/31/16 | \$186.42                  | \$14.54                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 09/30/16 | \$186.88                  | \$14.58                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 10/31/16 | \$186.16                  | \$14.52                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 11/30/16 | \$194.13                  | \$15.14                                            | \$0.00                      |
| LORD ABBETT INTERM TX FR F | 543912794 | 12/30/16 | \$191.22                  | \$14.92                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 01/29/16 | \$298.53                  | \$17.85                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 02/29/16 | \$298.74                  | \$17.86                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 03/31/16 | \$299.47                  | \$17.91                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 04/29/16 | \$300.20                  | \$17.95                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 05/31/16 | \$300.39                  | \$17.96                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 06/30/16 | \$301.16                  | \$18.01                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I | 67065Q400 | 07/29/16 | \$301.89                  | \$18.05                                            | \$0.00                      |

CONTINUED ON NEXT PAGE

**1099-DIV DIVIDENDS & DISTRIBUTIONS / Tax-Exempt Interest Dividends (continued)**

| DESCRIPTION                                                | CUSIP     | PAY DATE | EXEMPT INTEREST DIVIDENDS | SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS | FEDERAL INCOME TAX WITHHELD |
|------------------------------------------------------------|-----------|----------|---------------------------|----------------------------------------------------|-----------------------------|
| NUVEEN INT DUR MUNI BOND I                                 | 67065Q400 | 08/31/16 | \$296.05                  | \$17.70                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I                                 | 67065Q400 | 09/30/16 | \$296.70                  | \$17.74                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I                                 | 67065Q400 | 10/31/16 | \$297.34                  | \$17.78                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I                                 | 67065Q400 | 11/30/16 | \$298.16                  | \$17.83                                            | \$0.00                      |
| NUVEEN INT DUR MUNI BOND I                                 | 67065Q400 | 12/30/16 | \$299.11                  | \$17.89                                            | \$0.00                      |
| <b>Total Tax-Exempt Interest Dividends</b> 1099-DIV box 10 |           |          | <b>\$9,436.61</b>         |                                                    |                             |

|                                                                                 |                 |
|---------------------------------------------------------------------------------|-----------------|
| <b>Total Specified Private Activity Bond Interest Dividends</b> 1099-DIV box 11 | <b>\$899.90</b> |
| <b>Total Federal Income Tax Withheld</b> 1099-DIV box 4                         | <b>\$0.00</b>   |

**Foreign Source Income Percentage for Mutual Funds and UITs**

| DESCRIPTION                | CUSIP     | FOREIGN SOURCE INCOME | FOREIGN SOURCE INCOME ADJUSTED FOR FOREIGN QDI | QUALIFIED FOREIGN SOURCE INCOME |
|----------------------------|-----------|-----------------------|------------------------------------------------|---------------------------------|
| TEMPLETON GLOBAL BD FD ADV | 880208400 | 100.00%               | 0.00%                                          | 0.00%                           |

All percentages are derived against the amount in 1099-DIV box 1a - Total Ordinary Dividends for each security. The corresponding dollar amounts are displayed in the Summary of Foreign Investments supplemental section, summarized in the line with the country name of "Various".

**1099-INT INTEREST INCOME****Interest Income**

| DESCRIPTION                                 | CUSIP     | PAY DATE | AMOUNT        | FEDERAL INCOME TAX WITHHELD |
|---------------------------------------------|-----------|----------|---------------|-----------------------------|
| MORGAN STANLEY BANK N.A.                    | 061870903 | 01/19/16 | \$0.01        | \$0.00                      |
| MORGAN STANLEY BANK N.A.                    | 061870903 | 02/29/16 | \$0.01        | \$0.00                      |
| MORGAN STANLEY BANK N.A.                    | 061870903 | 03/31/16 | \$0.01        | \$0.00                      |
| MORGAN STANLEY BANK N.A.                    | 061870903 | 04/15/16 | \$0.01        | \$0.00                      |
| MORGAN STANLEY BANK N.A.                    | 061870903 | 06/30/16 | \$0.02        | \$0.00                      |
| <b>Total Interest Income</b> 1099-INT box 1 |           |          | <b>\$0.06</b> |                             |

|                                                         |               |
|---------------------------------------------------------|---------------|
| <b>Total Federal Income Tax Withheld</b> 1099-INT box 4 | <b>\$0.00</b> |
|---------------------------------------------------------|---------------|

The amount of tax-exempt interest paid to you in 2016 must be reported on the applicable Form 1040, U.S. Individual Income Tax Return, for 2016. The amount of tax-exempt AMT interest paid to you in 2016 must be taken into account in computing the Alternative Minimum Tax reported on Form 1040 for 2016.

VICKI C SIMON  
534 WEST 42ND STREET #8  
NEW YORK NY 10036-6221

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Long Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 1a)       | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|----------------------------|----------|------------------------|--------------------|-------------------|------------------------------|----------------------------------|------------------------------------|--------------------|-------------------------------------|
| DOUBLELINE TOTAL RETURN I  |          |                        |                    |                   |                              |                                  |                                    |                    |                                     |
|                            | 40.347   | 06/27/13               | 01/19/16           | \$438.97          | \$446.24                     | \$0.00                           | \$0.00                             | (\$7.27)           | \$0.00                              |
|                            | 31.713   | 06/27/13               | 04/25/16           | \$344.40          | \$350.75                     | \$0.00                           | \$0.00                             | (\$6.35)           | \$0.00                              |
| Security Subtotal          | 72.060   |                        |                    | \$783.37          | \$796.99                     | \$0.00                           | \$0.00                             | (\$13.62)          | \$0.00                              |
| INVESCO INTERM TERM MUNI Y |          |                        |                    |                   |                              |                                  |                                    |                    |                                     |
|                            | 25.902   | 06/27/13               | 01/19/16           | \$292.17          | \$280.26                     | \$0.00                           | \$0.00                             | \$11.91            | \$0.00                              |
|                            | 20.340   | 06/27/13               | 04/25/16           | \$230.66          | \$220.08                     | \$0.00                           | \$0.00                             | \$10.58            | \$0.00                              |
| Security Subtotal          | 46.242   |                        |                    | \$522.83          | \$500.34                     | \$0.00                           | \$0.00                             | \$22.49            | \$0.00                              |
| LORD ABBETT INTERM TX FR F |          |                        |                    |                   |                              |                                  |                                    |                    |                                     |
|                            | 19.830   | 06/27/13               | 01/19/16           | \$217.34          | \$209.80                     | \$0.00                           | \$0.00                             | \$7.54             | \$0.00                              |
|                            | 15.573   | 06/27/13               | 04/25/16           | \$171.46          | \$164.76                     | \$0.00                           | \$0.00                             | \$6.70             | \$0.00                              |
| Security Subtotal          | 35.403   |                        |                    | \$388.80          | \$374.56                     | \$0.00                           | \$0.00                             | \$14.24            | \$0.00                              |
| NUVEEN INT DUR MUNI BOND I |          |                        |                    |                   |                              |                                  |                                    |                    |                                     |
|                            | 30.894   | 06/27/13               | 01/19/16           | \$289.17          | \$278.05                     | \$0.00                           | \$0.00                             | \$11.12            | \$0.00                              |
|                            | 24.235   | 06/27/13               | 04/25/16           | \$228.05          | \$218.12                     | \$0.00                           | \$0.00                             | \$9.93             | \$0.00                              |
| Security Subtotal          | 55.129   |                        |                    | \$517.22          | \$496.17                     | \$0.00                           | \$0.00                             | \$21.05            | \$0.00                              |
| TEMPLETON GLOBAL BD FD ADV |          |                        |                    |                   |                              |                                  |                                    |                    |                                     |
|                            | 17.151   | 06/27/13               | 01/19/16           | \$190.21          | \$220.00                     | \$0.00                           | \$0.00                             | (\$29.79)          | \$0.00                              |
|                            | 13.670   | 06/27/13               | 04/25/16           | \$157.20          | \$174.04                     | \$0.00                           | \$0.00                             | (\$16.84)          | \$0.00                              |
| Security Subtotal          | 30.821   |                        |                    | \$347.41          | \$394.04                     | \$0.00                           | \$0.00                             | (\$46.63)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 1a)                    | QUANTITY (Box 1b) | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-----------------------------------------|-------------------|------------------------|--------------------|-------------------|------------------------------|----------------------------------|------------------------------------|--------------------|-------------------------------------|
| Total Long Term Covered Securities      |                   |                        |                    | \$2,559.63        | \$2,562.10                   | \$0.00                           | \$0.00                             | (\$2.47)           | \$0.00                              |
| Total Covered and Noncovered Securities |                   |                        |                    | \$2,559.63        | \$2,562.10                   | \$0.00                           | \$0.00                             | (\$2.47)           | \$0.00                              |

**Form 1099-B Total Reportable Amounts - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities.**

|                                                                          |            |
|--------------------------------------------------------------------------|------------|
| Total IRS Reportable Proceeds (Box 1d)                                   | \$2,559.63 |
| Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e) | \$2,562.10 |
| Total IRS Reportable Accrued Market Discount (Box 1f)                    | \$0.00     |
| Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)                  | \$0.00     |
| Total Fed Tax Withheld (Box 4)                                           | \$0.00     |

## MUTUAL FUND AND UIT STATE &amp; FEDERAL TAX INFORMATION - INCOME SOURCE BREAKDOWN

| DESCRIPTION<br>SYMBOL<br>CUSIP | DOUBLELINE<br>DBLT | INVESTCO<br>VKLIX | LORD ABBETT<br>LISFX | NUVEEN INT<br>NUVBX | DESCRIPTION<br>SYMBOL<br>CUSIP | DOUBLELINE<br>DBLT | INVESTCO<br>VKLIX | LORD ABBETT<br>LISFX | NUVEEN INT<br>NUVBX |
|--------------------------------|--------------------|-------------------|----------------------|---------------------|--------------------------------|--------------------|-------------------|----------------------|---------------------|
| Alabama                        | 0.00%              | 1.12%             | 0.27%                | 0.74%               | New Jersey                     | 0.00%              | 5.72%             | 6.56%                | 4.77%               |
| Alaska                         | 0.00%              | 0.35%             | 0.43%                | 0.27%               | New Mexico                     | 0.00%              | 0.90%             | 0.54%                | 0.21%               |
| Arizona                        | 0.00%              | 2.97%             | 2.85%                | 3.25%               | New York                       | 0.00%              | 2.50%             | 10.18%               | 4.40%               |
| Arkansas                       | 0.00%              | 0.12%             | 0.18%                | 1.05%               | North Carolina                 | 0.00%              | 1.49%             | 0.40%                | 0.88%               |
| California                     | 0.00%              | 10.01%            | 15.88%               | 8.24%               | North Dakota                   | 0.00%              | 0.24%             | 0.00%                | 0.37%               |
| Colorado                       | 0.00%              | 1.19%             | 1.49%                | 2.52%               | Ohio                           | 0.00%              | 2.68%             | 4.43%                | 3.78%               |
| Connecticut                    | 0.00%              | 0.18%             | 0.50%                | 0.26%               | Oklahoma                       | 0.00%              | 0.92%             | 0.21%                | 0.88%               |
| Delaware                       | 0.00%              | 0.04%             | 0.00%                | 0.13%               | Oregon                         | 0.00%              | 0.34%             | 0.17%                | 0.68%               |
| District of Columbia           | 0.00%              | 0.91%             | 0.23%                | 1.49%               | Pennsylvania                   | 0.00%              | 3.67%             | 4.18%                | 3.57%               |
| Florida                        | 0.00%              | 4.34%             | 4.93%                | 6.43%               | Rhode Island                   | 0.00%              | 0.52%             | 0.25%                | 0.23%               |
| Georgia                        | 0.00%              | 0.50%             | 1.79%                | 1.23%               | South Carolina                 | 0.00%              | 1.56%             | 0.76%                | 1.33%               |
| Hawaii                         | 0.00%              | 1.01%             | 0.54%                | 1.07%               | South Dakota                   | 0.00%              | 0.09%             | 0.06%                | 0.22%               |
| Idaho                          | 0.00%              | 0.08%             | 0.08%                | 0.28%               | Tennessee                      | 0.00%              | 0.95%             | 0.76%                | 1.57%               |
| Illinois                       | 0.00%              | 11.98%            | 8.55%                | 12.90%              | Texas                          | 0.00%              | 12.16%            | 10.03%               | 8.94%               |
| Indiana                        | 0.00%              | 3.67%             | 1.80%                | 4.04%               | Utah                           | 0.00%              | 0.28%             | 0.22%                | 0.54%               |
| Iowa                           | 0.00%              | 1.83%             | 0.91%                | 1.60%               | Vermont                        | 0.00%              | 0.23%             | 0.00%                | 0.00%               |
| Kansas                         | 0.00%              | 0.68%             | 0.25%                | 1.08%               | Virginia                       | 0.00%              | 0.96%             | 1.11%                | 0.30%               |
| Kentucky                       | 0.00%              | 2.12%             | 0.67%                | 1.66%               | Washington                     | 0.00%              | 2.12%             | 1.65%                | 1.24%               |
| Louisiana                      | 0.00%              | 3.01%             | 1.49%                | 2.47%               | West Virginia                  | 0.00%              | 0.53%             | 0.08%                | 0.12%               |
| Maine                          | 0.00%              | 0.00%             | 0.32%                | 0.31%               | Wisconsin                      | 0.00%              | 1.74%             | 0.79%                | 3.07%               |
| Maryland                       | 0.00%              | 1.99%             | 1.58%                | 0.54%               | Wyoming                        | 0.00%              | 0.13%             | 0.00%                | 0.15%               |
| Massachusetts                  | 0.00%              | 0.55%             | 1.42%                | 1.68%               | <b>U.S. Territories</b>        |                    |                   |                      |                     |
| Michigan                       | 0.00%              | 4.53%             | 2.62%                | 2.78%               | American Samoa                 | 0.00%              | 0.00%             | 0.00%                | 0.00%               |
| Minnesota                      | 0.00%              | 0.41%             | 1.28%                | 0.57%               | Guam                           | 0.00%              | 0.91%             | 0.09%                | 0.13%               |
| Mississippi                    | 0.00%              | 0.12%             | 0.00%                | 0.57%               | Northern Mariana Islands       | 0.00%              | 0.00%             | 0.00%                | 0.00%               |
| Missouri                       | 0.00%              | 2.32%             | 0.43%                | 1.56%               | Puerto Rico                    | 0.00%              | 0.00%             | 5.77%                | 0.00%               |
| Montana                        | 0.00%              | 0.00%             | 0.00%                | 0.21%               | U.S. Virgin Islands            | 0.00%              | 0.88%             | 0.16%                | 0.13%               |
| Nebraska                       | 0.00%              | 0.72%             | 0.26%                | 0.71%               | US Federal Source Income       | 2.97%              | 0.00%             | 0.00%                | 0.00%               |
| Nevada                         | 0.00%              | 1.47%             | 0.79%                | 2.59%               |                                |                    |                   |                      |                     |
| New Hampshire                  | 0.00%              | 0.26%             | 0.06%                | 0.26%               |                                |                    |                   |                      |                     |

The table above shows the percentages of each fund's income that was earned from sources within each state, U.S. territory or U.S. Federal obligation. Depending on the state and local tax laws that apply where you file your tax return, you may also be able to reduce the taxable income from the fund on your state tax return(s). Any income earned from U.S. federal obligations or obligations issued by U.S. territories is generally exempt for state purposes. However, California, Connecticut, New Jersey and New York have income source threshold limits that must be met for income from a fund to be considered state tax exempt. Please consult your tax advisor to determine the portion of the fund's income that is exempt in your state.



Morgan Stanley Tax Year 2016

SUPPLEMENTAL FOREIGN SECURITY TAX INFORMATION

Domestic and Foreign Dividend Income Breakdown

This section displays a summary of your foreign and domestic dividends and the amount of each that are Qualified Dividends. This information is reported at the summary level in Form 1099-DIV in this Consolidated Tax Statement.

| DIVIDEND TYPE      | ORDINARY DIVIDENDS | QUALIFIED DIVIDENDS | FOREIGN DIVIDENDS | FOREIGN INTEREST | TOTAL FOREIGN INCOME | FOREIGN TAX PAID ON DIVIDENDS | FOREIGN TAX PAID ON INTEREST | TOTAL FOREIGN TAX PAID |
|--------------------|--------------------|---------------------|-------------------|------------------|----------------------|-------------------------------|------------------------------|------------------------|
| Domestic Dividends | \$6,843.94         | \$0.00              |                   |                  |                      |                               |                              |                        |
| Foreign Dividends  | \$128.16           | \$0.00              |                   |                  |                      |                               |                              |                        |
| Total Dividends    | \$6,972.10         | \$0.00              |                   |                  |                      |                               |                              |                        |

Summary of Foreign Investments

| COUNTRY       | FOREIGN DIVIDENDS | FOREIGN INTEREST | TOTAL FOREIGN INCOME | FOREIGN TAX PAID ON DIVIDENDS | FOREIGN TAX PAID ON INTEREST | TOTAL FOREIGN TAX PAID |
|---------------|-------------------|------------------|----------------------|-------------------------------|------------------------------|------------------------|
| VARIOUS       | \$128.16          | \$0.00           | \$128.16             | \$128.16                      | \$0.00                       | \$128.16               |
| Total Amounts | \$128.16          | \$0.00           | \$128.16             | \$128.16                      | \$0.00                       | \$128.16               |

FEES AND EXPENSES

| Fees       |          | DESCRIPTION          | AMOUNT       |
|------------|----------|----------------------|--------------|
| DATE       | ACTIVITY |                      |              |
| 01/15/16   | Charge   | 1ST QTR ADVISORY FEE | \$(1,117.52) |
| 04/14/16   | Charge   | 2ND QTR ADVISORY FEE | \$(1,131.65) |
| 07/15/16   | Charge   | 3RD QTR ADVISORY FEE | \$(1,161.98) |
| 10/14/16   | Charge   | 4TH QTR ADVISORY FEE | \$(1,160.35) |
| Total Fees |          |                      | \$(4,571.50) |

Consult your tax advisor for the tax deductibility of these fees.

