

PAYER'S name, address and phone number Duke Energy Corporation Investor Relations Department P.O. Box 1005 Charlotte NC 28201-1005 Phone: 1.800.488.3853		<input type="checkbox"/> CORRECTED (if checked)	
RECIPIENT'S name VICKI SIMON & JOHN M SIMON JT TEN 534 WEST 42ND STREET APT 8 NEW YORK, NY 10036		1a Total ordinary dividends \$1,720.13	OMB No 1545-0110 2016 Form 1099-DIV
		1b Qualified dividends \$1,719.49	
		3 Nondividend distributions	4 Federal income tax withheld \$0.00
		Stock type COMMON & DIV. REIN.	
		PAYER'S Federal identification number TAX ID: 20-2777218	
		RECIPIENT'S identification number *****5882	
		Account Number 561792	

Dividends and Distributions

Copy B For Recipient

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Form 1099-DIV (Keep for your records)

Department of the Treasury - Internal Revenue Service

Duke Energy Corporation IMPORTANT TAX INFORMATION FOR SHAREHOLDERS

Federal Income Tax:

Based upon operations for the year, the common dividends paid in each quarter of 2016 are fully taxable. Accordingly, all 2016 common dividends should be reported as taxable income.

Instructions for Recipient:

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employee identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2016 General Instructions for Certain Information Returns.

Charlotte, N.C., December 31, 2016

*Listed below are the 2016 ex-dividend dates which may be needed to determine eligible amount included in Box 1b.
 2/10/2016 5/18/2016 8/10/2016 11/16/2016

