


**DUKE ENERGY®**  
 Investor Relations Department  
 P.O. Box 1005  
 Charlotte, NC 28201-1005  
 (704) 382-3853 CHARLOTTE  
 (800) 488-3853 TOLL FREE  
[www.duke-energy.com/investors/](http://www.duke-energy.com/investors/)

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 VICKI SIMON &  
 JOHN M SIMON JT TEN  
 254 FIFTH AVUE  
 3RD FLOOR  
 NEW YORK NY 10001-6406

Optional investments to purchase additional shares is available to plan participants only.

Shareholders may enroll in the Plan by obtaining a copy of the prospectus either in electronic form at [www.duke-energy.com/investors/](http://www.duke-energy.com/investors/) or in paper form by calling us and then signing the reverse side of this statement.

### Optional Investment

Make check payable to: Duke Energy  
(minimum \$50 per investment)

Amount Enclosed \$

Account Number 561792

Address Change only (Otherwise leave blank)

Street

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

Manage Your Account Online. Visit: [www.duke-energy.com/investors/online/](http://www.duke-energy.com/investors/online/)  
See reverse side for notes and to conduct a Plan transaction.

Certificate Shares :	0	NYSE Close :	\$69.1100	Statement Date :	12/31/13
Direct Registration Shares :	0.0000	Market Value :	\$31,936.46	Account Number :	561792
Plan Shares :					
Total Shares :	462.1105				

① TRANSACTION DATE	TYPE OF TRANSACTION	DOLLAR AMOUNT	② PRICE PER SHARE	TRANSACTION SHARES	SHARE BALANCE
12/31/12	BALANCE FORWARD				441.7492
03/21/13	PLAN DIVIDEND	337.94	70.2553	4.8102	446.5594
06/20/13	PLAN DIVIDEND	341.62	65.5570	5.2110	451.7704
09/19/13	PLAN DIVIDEND	352.38	67.9248	5.1878	456.9582
12/19/13	PLAN DIVIDEND	356.43	69.1785	5.1523	462.1105



③ Record Date :	11/15/13	Certificate Record Date Shares :	0.0000
Dividend Rate :	\$0.78000000	DRS Record Date Shares :	0.0000
		Plan Record Date Shares :	456.9582

YTD Taxable Income	
Paid :	\$0.00
Reinvested :	\$1,388.37
④ Earned Income :	\$0.61

### Broker / Dealer Information

(Page 1 of 2)

⑤ Firm Name  
Participant No.  
Account No.

YTD Tax Withheld : \$0.00  
⑤ Tax Certification Status : CERTIFIED

**Sell shares (send me a check):**

- Sell \_\_\_\_\_ Plan shares.
- Sell ALL Plan shares and close my account.
- Deposit enclosed common stock certificate(s) into my Plan account and sell \_\_\_\_\_ shares.
- Deposit \_\_\_\_\_ Direct Registration System (DRS) shares into my Plan account and sell.

**Safekeeping (do not sell):**

- Deposit enclosed Common Stock certificate(s) to my Plan Account.
- Deposit enclosed Common Stock certificate(s) to my DRS account.
- Deposit \_\_\_\_\_ DRS shares to my Plan account.

**Certificates (send me a stock certificate):**

- Send a certificate for \_\_\_\_\_ whole **Plan** or **DRS** (circle one) shares.
- Send a certificate for \_\_\_\_\_ **Plan** or **DRS** (circle one) shares and sell remaining shares through my Plan account.
- Send a certificate for ALL whole **Plan** or **DRS** (circle one) shares and sell remaining shares through my Plan account.

(Signature and daytime phone)

(Signature and daytime phone)

If faxing your request, please fax **both sides** of the top portion to (704) 382-3814.

↑ DETACH HERE ↑

**InvestorDirect Choice Plan (the Plan) information – please read**

Investment dates for optional investments generally occur each Thursday. Note: Optional investments received fewer than two business days before an Investment Date will be held until the next Investment Date. Please see the Plan Prospectus for more information.

You may change your participation in the **InvestorDirect Choice Plan** (the Plan), request a partial withdrawal of shares held for you in the Plan, or terminate your participation in the Plan as outlined in the Plan Prospectus. Please provide written notice to Duke Energy Corporation Investor Relations or mark the appropriate box above. Fill in all necessary blanks and sign exactly as your name(s) appear(s) on the front of the statement. Detach and mail to Duke Energy at the address listed below.

Duke Energy will send to you, your stock certificates and/or proceeds as soon as practicable. If a notice of termination is received after a dividend record date but before the related dividend payment date, a separate dividend check will be mailed to you on the dividend payment date. Thereafter, cash dividends on any remaining Duke Energy Common Stock you hold will be paid to you and will not be reinvested.

When sending certificates for Safekeeping, it is recommended (although not required) they be sent by insured, registered mail for 2% of the market value.

Backup withholding at a rate of 28% of the proceeds from Plan sales will apply if your account is not certified. (Foreign Persons are subject to a rate of 30% if not certified). Your tax certification status is printed on the reverse side. In order to have certified your tax identification number, you should have returned the substitute Form W-9 which was mailed to you when the account was established. If you have not returned the Form W-9, please call our office and we will send you another form to complete and mail back to us. To avoid the required IRS backup withholding, we must have the completed Form W-9 before initiating the sale or payment of dividends. Participants enrolling after July 31, 1998 should have certified their tax identification number in the W-9 section on the Enrollment Form. (Note: If you are a Foreign Person, do not use Form W-9. Instead, use the appropriate Form W-8.)

**If you have any questions about your statement, please write or call:**

Duke Energy Corporation  
Investor Relations Department  
P.O. Box 1005  
Charlotte, NC 28201-1005

(704) 382-3853 Charlotte  
(800) 488-3853 Toll Free

Email form: [www.duke-energy.com/contactIR/](http://www.duke-energy.com/contactIR/)

**Note:**

1. The transaction date represents the date shares are credited to your account.
2. The price per share represents the weighted average price of shares purchased or sold on the open market, or the price of newly issued shares purchased directly from the company.
3. Information pertaining to record date, dividend rate and record date shares appears in these boxes only on quarterly statements.
4. Brokerage fees paid by company.
5. The status of "Certified" indicates that you have returned the substitute Form W-9 that was mailed to you when the account was established. If you have not returned the Form W-9, your status is "Uncertified". Please call our office and we will send you another form to complete and mail back to us. To avoid the required IRS backup withholding, we must have the completed Form W-9 before initiating the sale of Plan shares or payment of dividends. (Note: If you are a Foreign Person, do not use Form W-9. Instead, use the appropriate Form W-8.)
6. Broker / Dealer information is used in Direct Registration processing.
7. All shareholders who desire to conduct transactions on their account related to optional investments, dividend reinvestment, safekeeping into the Plan, bank draft, or sale of shares must be enrolled in the InvestorDirect Choice Plan. Shareholders may enroll in the Plan by obtaining a copy of the Plan Prospectus, either in electronic form at [www.duke-energy.com/investors/](http://www.duke-energy.com/investors/) or in paper form by calling us and then signing the top portion of this statement.

**Dividends:**

- Fully reinvest dividends on ALL shares.
- Reinvest dividends earned on all Plan shares, and pay dividends earned on certificates and DRS shares in cash.
- Reinvest \_\_\_\_\_ % of dividends earned on Plan shares only (minimum 10%), and pay all remaining dividends in cash.
- Reinvest \_\_\_\_\_ % of dividends earned on all certificates, DRS and Plan shares (minimum 10%).

**Bank Draft – (minimum \$25.00):**

- Start a monthly bank draft, pursuant to the terms of the Plan, for \$\_\_\_\_\_ per month on my **checking** or **savings** (circle one) account (attach a voided check).
- Change my bank account information effective \_\_\_\_\_ (attach a voided check).
- Change my bank draft amount to \$\_\_\_\_\_.
- Stop my monthly bank draft effective \_\_\_\_\_ (month).

**7) Signatures (all owners must sign):**

Transactions specified in Note 7 require enrollment in the Plan. Any selection of these transactions combined with signatures of ALL owners on this form is a request to be enrolled in the Plan. You certify that the Plan Prospectus has been made available to you in electronic form at [www.duke-energy.com/investors/](http://www.duke-energy.com/investors/) or in paper form and you agree to abide by the terms and conditions of the Plan.