

PAYER'S name, address and phone number  <b>Duke Energy Corporation</b> Investor Relations Department P.O. Box 1005 Charlotte NC 28201-1005  Phone: 1.800.488.3853		<input type="checkbox"/> CORRECTED (if checked)	
1a Total ordinary dividends  <b>\$1,388.98</b>		OMB No 1545-0110  <b>2013</b>	
1b Qualified dividends  <b>\$1,388.37</b>		Form <b>1099-DIV</b>	
RECIPIENT'S name  <b>VICKI SIMON &amp; JOHN M SIMON JT TEN 254 FIFTH AVEUE 3RD FLOOR NEW YORK NY 10001</b>		3 Nondividend Distributions  4 Federal Income tax withheld  <b>\$0.00</b>	
Form <b>1099-DIV</b> (Keep for your records)		Stock type  <b>COMMON &amp; DIV. REIN.</b>	
		PAYER'S Federal identification number  <b>TAX ID: 20-2777218</b>	
		RECIPIENT'S identification number  <b>XXX-XX-5882</b>	
		Account Number  <b>561792</b>	

Department of the Treasury - Internal Revenue Service

## Dividends and Distributions

### Copy B For Recipient

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



## Duke Energy Corporation IMPORTANT TAX INFORMATION FOR SHAREHOLDERS

### Federal Income Tax:

Based upon operations for the year, the common dividends paid in each quarter of 2013 are fully taxable. Accordingly, all 2013 common dividends should be reported as taxable income.

### Instructions for Recipient:

**Recipient's identification number.** For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040A or 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Charlotte, N.C., December 31, 2013

**Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

\*Listed below are the 2013 ex-dividend dates which may be needed to determine eligible amount included in Box 1b.  
2/13/2013      5/15/2013      8/14/2013      11/13/2013