



Investor Relations Department
P.O. Box 1005
Charlotte, NC 28201-1005
(704) 382-3853 CHARLOTTE
(800) 488-3853 TOLL FREE
www.duke-energy.com/investors/

01 MB 0.425 **AUTO T7 0 2340 10036-622108
0006764 -C02-P06770-1 RE



VICKI SIMON &
JOHN M SIMON JT TEN
534 WEST 42ND STREET
APT 8
NEW YORK, NY 10036-6221



Account Statement

For Overnight Delivery:
526 S. Church St., EC01W
Charlotte, NC 28202

Optional investments to purchase additional shares is available to plan participants only.
Shareholders may enroll in the Plan by obtaining a copy of the prospectus either in electronic form at www.duke-energy.com/investors/ or in paper form by calling us and then signing the reverse side of this statement

Optional Investment

Make check payable to: Duke Energy
(minimum \$50 per investment) \$

Amount Enclosed

Account Number 561792

Address Change only (Otherwise leave blank)

Street

City _____ State _____ Zip Code _____

00005617923



Manage Your Account Online. Visit: www.duke-energy.com/investors/online/
See reverse side for notes and to conduct a Plan transaction.

| | | | | | |
|------------------------------|---|----------------|---------|------------------|----------|
| Certificate Shares : | 0 | NYSE Close : | \$87.14 | Statement Date : | 11/25/19 |
| Direct Registration Shares : | 0 | Market Value : | \$0.00 | Account Number : | 561792 |
| Plan Shares : | 0 | | | | |
| Total Shares : | 0 | | | | |

| ① TRANSACTION DATE | TYPE OF TRANSACTION | DOLLAR AMOUNT | ② PRICE PER SHARE | TRANSACTION SHARES | SHARE BALANCE |
|--------------------|----------------------------|---------------|-------------------|--------------------|---------------|
| 01/01/19 | BEGINNING BALANCE | | | | 572.5047 |
| 03/18/19 | DIVIDENDS TO BE REINVESTED | \$531.00 | | 0 | 572.5047 |
| 03/21/19 | SHARES PURCHASED | \$531.00 | \$89.58 | 5.9277 | 578.4324 |
| 06/17/19 | DIVIDENDS TO BE REINVESTED | \$536.50 | | 0 | 578.4324 |
| 06/20/19 | SHARES PURCHASED | \$536.50 | \$87.40 | 6.1384 | 584.5708 |
| 09/16/19 | DIVIDENDS TO BE REINVESTED | \$552.42 | | 0 | 584.5708 |
| 09/19/19 | SHARES PURCHASED | \$552.42 | \$94.10 | 5.8707 | 590.4415 |
| 10/28/19 | SHARES MOVED | | | -590.4415 | 0 |

| | |
|-----------------|--------------------------------------|
| ③ Record Date : | Certificate/DRS Record Date Shares : |
| Dividend Rate : | Plan Record Date Shares : |

| |
|--------------------------|
| YTD Taxable Income |
| Dividends : |
| ④ Earned Income : \$0.00 |

Broker / Dealer Information

(Page 1 of 1)

YTD Tax Withheld : \$0.00
⑤ Tax Certification Status : CERTIFIED

⑥ Firm Name
Participant No.
Account No.



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0006763 -C02-P06769-I RE



CLAUDE SIMON ADM
EST VICKI SIMON
534 WEST 42ND ST APT 8
NEW YORK, NY 10036-6221



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Optional Investment

Make check payable to: Duke Energy
(minimum \$50 per investment) \$

Amount Enclosed

Account Number 1074048

Address Change only (Otherwise leave blank)

Street

City _____ State _____ Zip Code _____

00010740488



Manage Your Account Online. Visit: www.duke-energy.com/investors/online/
See reverse side for notes and to conduct a Plan transaction.

| | | | | | |
|------------------------------|----------|----------------|-------------|------------------|----------|
| Certificate Shares : | 0 | NYSE Close : | \$87.14 | Statement Date : | 11/25/19 |
| Direct Registration Shares : | 0 | Market Value : | \$51,451.07 | Account Number : | 1074048 |
| Plan Shares : | 590.4415 | | | | |
| Total Shares : | 590.4415 | | | | |

| ① TRANSACTION DATE | TYPE OF TRANSACTION | DOLLAR AMOUNT | ② PRICE PER SHARE | TRANSACTION SHARES | SHARE BALANCE |
|--------------------|---------------------|---------------|-------------------|--------------------|---------------|
| 01/01/19 | BEGINNING BALANCE | | | | 0 |
| 10/28/19 | SHARES MOVED | | | 590.4415 | 590.4415 |

| | |
|-----------------|--------------------------------------|
| ③ Record Date : | Certificate/DRS Record Date Shares : |
| Dividend Rate : | Plan Record Date Shares : |

| |
|--------------------------|
| YTD Taxable Income |
| Dividends : |
| ④ Earned Income : \$0.00 |

| | |
|-----------------|-----------------------------|
| ⑤ Firm Name | Broker / Dealer Information |
| Participant No. | (Page 1 of 1) |
| Account No. | |

| | |
|------------------------------|-----------|
| YTD Tax Withheld : | \$0.00 |
| ⑤ Tax Certification Status : | CERTIFIED |