

SURROGATE'S COURT OF THE STATE OF NEW YORK
COUNTY OF NASSAU

In the Matter of

John M. Simon

Deceased.

TO BE FILLED OUT BY FIDUCIARY or

ATTORNEY FOR

Total Estate Assets (see below)*

Filing fee SCPA 2402(7)

Filing fee initially paid

Balance (Refund) Due

INVENTORY OF ASSETS (Rule 207.20)

File No: 2015-383818

The undersigned, a fiduciary or attorney for the fiduciary of the above Decedent's estate, certifies that the following constitutes the gross estate for tax purposes and identifies whether non-estate assets exist. Complete below according to the following value categories:

Category A - under \$10,000; Category B - \$10,000 to under \$20,000; Category C - \$20,000 to under \$50,000;
Category D - \$50,000 to under \$100,000; Category E - \$100,000 to under \$250,000;
Category F - \$250,000 to under \$500,000; Category G - \$500,000 or over.

Date of Death: 6-27-2013 Date of Letters: 5-6-2015 Type of Letters: Letters Testamentary

Name of Fiduciary(ies) and, if changed, fiduciary(ies) address:

ASSETS INDIVIDUALLY OWNED BY DECEDEDENT
OR PAYABLE TO ESTATE

1. Real Estate
2. Stocks and Bonds
3. Insurance Payable to Estate
4. IRAs, 401Ks Payable to Estate
5. Mortgages or Notes Held by Decedent
6. Cash
7. Miscellaneous
8. Firearms
(Check appropriate box)

CATEGORY

F
A
A
A
A
A
A

Yes - See attached Firearms Inventory form

None

F

***TOTAL ESTATE ASSETS**

NON-ESTATE ASSETS - CHECK YES OR NO TO EACH OF THE FOLLOWING:

9. Living Trust
If yes, set forth the Name of the Trustee(s)
10. Gifts in Excess of Federal Annual Exclusion
Made Within 3 Years of Decedent's Death
11. Jointly Held Property (Real or Personal)
12. Insurance Payable to Beneficiary
13. IRAs, 401K's Payable to Beneficiary
14. Annuities
15. Powers of Appointment
16. Cause(s) of Action Pending
If yes, identify Court and Index Number

Yes No

Yes No
 Yes No
Yes No
Yes No
Yes No
Yes No
Yes No

Certified to be true on the 13 day of September

, 2019

Claude Simon

Signature

Claude Simon

Print Name

1-1 3/2016

Mel Ginsburg

Attorney's Name

261 Madison Ave., 26th Floor, New York, NY 10016

Attorney's Address & Telephone No: 212 949 7300

SURROGATE'S COURT OF THE STATE OF NEW YORK

In The Matter of the Estate of

COUNTY**FIREARMS INVENTORY**

(SCPA §2509)

John M. Simon

Deceased.**FILE NUMBER** 2015-383818

The undersigned, [] a fiduciary, or [] an attorney of record certifies that the following firearms, as defined by Section 265.00 of the Penal Law, make up part of the decedent's estate.

Name of Fiduciary or Attorney: Claude Simon(Address, if changed): 71 Tonjes Road, Callicoon, NY 12723

	Make:	Model:	Caliber or Gauge:	Serial #:	Valuation:
1					\$
2					
3					
4					
5					
NONE					
6					
7					
8					
9					
10					
11					
12					
			TOTAL: (as indicated in section F2 of Inventory of Assets)		0

 (mark box if more entries are necessary - and attach extra pages)ATTORNEYCertified to be true on 13 September, 2019Name: Mel Ginsburg

Signature

Address: 261 Madison Ave., 26th Floor, New York, NY 10016

Claude Simon

Telephone: 212 949 7300

Print Name

A copy of this Inventory must also be filed with DCJS at:

Division of Criminal Justice Services
Alfred E. Smith Building
80 South Swan Street
Albany, NY 12210

Firearms Inventories filed with the Surrogate's Court will be kept in a secure location separate from the estate file and will be made available for inspection only to persons interested in the proceeding and their counsel, unless otherwise ordered by the Court.