

**STATEMENT PACKAGE FOR:**  
**VICKI C SIMON**

**TOTAL VALUE OF YOUR ACCOUNTS (as of 12/31/17)**  
*Includes Accrued Interest*

**\$626,632.83**

Morgan Stanley Private Wealth Management, a division of Morgan Stanley Smith Barney LLC.  
Member SIPC.

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**Client Service Center** (24 Hours a Day; 7 Days a Week): 800-668-8168

**Access Your Accounts Online:** [www.morganstanley.com/PWM](http://www.morganstanley.com/PWM)



## GIMA Status Definitions

### **GIMA STATUS IN INVESTMENT ADVISORY PROGRAMS**

Global Investment Manager Analysis (GIMA) reviews certain investment products in various advisory programs. For these programs, a GIMA status will apply:

**Focus (FL):** Investment products on the Focus List have been subject to an in-depth review and possess GIMA's highest level of confidence.

**Approved (AL):** Investment products on the Approved List have typically been subject to a less rigorous review process and have been approved for recommendation to investors.

**Not Approved (NUL):** Investment products that were previously on the Focus List or Approved List but are no longer on either of those lists. GIMA no longer covers these products.

For more information, please contact us for the applicable Morgan Stanley ADV brochure.

## Expanded Disclosures

Expanded Disclosures, which apply to all statements Morgan Stanley Smith Barney LLC (we/us) sends to you, are provided with your first statement and thereafter twice a year.

### Questions?

Questions regarding your account may be directed to us by using the contact information on the statement cover page, or the Client Service Center at (800) 869-3326.

### Errors and Inquiries

Be sure to review your statement promptly and immediately address any concerns regarding entries that you do not understand or believe were made in error by contacting the Branch Manager of the office where you maintain your account. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Your statement will be deemed correct unless we receive a written inquiry of a suspected error. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered. For concerns or complaints, contact our Client Relations Department at (866) 227-2256 or mail to P.O. Box 95002, South Jordan, UT 84095, or contact us at [www.morganstanley.com](http://www.morganstanley.com).

### Senior Investor Helpline

In order to provide Morgan Stanley's senior investor clients a convenient way to communicate with us, we offer a Senior Investor Helpline. Senior investors or those acting on their behalf may call (800) 280-4534, Monday-Friday 9am-7pm Eastern Time.

### Account Valuation

Account values are computed by adding (1) the market value of all priced positions and (2) market values provided by pricing services and/or outside custodians, as applicable for other positions, and by adding any credit or subtracting any debit to your closing Cash, Money Market Funds and/or Deposit balance. Cash, Deposits and Money Market Funds are displayed on a settlement date basis, and other positions are displayed in your account on a trade date basis. The values of fixed income positions include accrued value and accrued interest are also displayed in separate columns.

Accrued interest is the interest earned but not yet paid on the bond since its last interest payment. In most cases, it is calculated from the date of the last coupon payment (or "dated date") through the closing date of the statement. Foreign Currency Deposits are reflected in U.S. dollars as of the statement end date. The Annual Percentage Yield (APY) for deposits represents the applicable rate in effect for your deposits at the statement ending date. This APY may be different than

the APY that was in effect during the statement period. For current Bank Deposit or Money Market Fund yields, go to [www.morganstanley.com/wealth-investmentstrategies/ratemonitor.html](http://www.morganstanley.com/wealth-investmentstrategies/ratemonitor.html).

### Additional Retirement Account Information

Tax-qualified account contributions are subject to IRS eligibility rules and regulations. The Contributions information in this statement reflects contributions for a particular account, without reference to any other account. Check with your tax advisor to verify how much you can contribute, if the contribution will be tax deductible, and if other special rules apply (e.g., to conversions/recharacterizations of Traditional to Roth/Roth to Traditional IRAs). Tax reporting is provided for IRA, VIP Basic and 403(b) accounts but not for VIP Plus and RPM accounts.

The account value used for your Required Minimum Distribution calculation, if any, is based on the prior December 31st Account Value, including accrued interest. Additionally, for IRAs (1) the "Max. Individual Contributions Allowed (by SSN)" reflects the annual limit on contributions that you can make to Traditional and Roth IRAs under the Internal Revenue Code (this limit applies on a per person basis, not per account; other rules apply to IRAs which are part of employer-sponsored plans); (2) you cannot make an individual contribution to a Traditional IRA for the year in which you reach age 70 1/2 or any later year; and (3) the categorization of any contribution's deductibility is based upon information provided by you. The information included in this statement is not intended to constitute tax, legal or accounting advice. Contact us if any of this information is incorrect.

### Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-3], we may use funds comprising free credit balances carried for customer accounts here, provided that these funds are payable to customers on demand (i.e., are free of a lien or right of set-off in our favor or on behalf of some third party to whom you have given control). A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

### Gain/(Loss) Information

Gain/(Loss) is provided for informational purposes. It is not a substitute for Internal Revenue Service (IRS) Form 1099 (on which we report cost basis for covered securities) or any other IRS tax form, and should not be used for tax preparation. Unrealized Gain/(Loss) provided on this statement is an estimate. Contact your own independent legal or tax advisor to determine the appropriate use of the Gain/(Loss) information on this statement. For more information, go to [www.morganstanley.com/wealth/disclosures/disclosures.asp](http://www.morganstanley.com/wealth/disclosures/disclosures.asp), or call Client Service Center.

### Tax Reporting

Under Federal Income Tax law, we are required to report gross proceeds of sales (including entering into short sales) on Form 1099-B by February 15 of the year following the calendar year of the transaction for reportable (i.e. non-retirement) accounts. For sales of certain securities acquired on or after January 1, 2011 (or applicable date for the type of security) we are also required to report cost basis and holding period. Under Internal Revenue Service regulations, if you have not provided us with a certification of either U.S. or foreign status on applicable Form W-9 or W-8, your accounts may be subject to either 28% back-up withholding or 30% nonresident alien withholding on payments made to your accounts.

### Investment Objectives

The following is an explanation of the investment objective alternatives applicable to your account(s): Income - for investors seeking regular income with low to moderate risk to principal; Capital Appreciation - for investors seeking capital appreciation with moderate to high risk to principal; Aggressive Income - for investors seeking higher returns either as growth or as income with greater risk to principal; Speculation - for investors seeking high profits or quick returns with considerable possibility of losing most or all of their investment.

### Listed Options

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

### Important Information if you are a Margin Customer

(not available for certain retirement accounts)

If you have margin privileges, you may borrow money from us in exchange for pledging assets in your accounts as collateral. The amount you may borrow is based on the value of eligible securities in your margin accounts. If a security has eligible shares the number of shares pledged as collateral is indicated below the position. If you have a

margin account, as permitted by law, we may use certain securities in your account for, among other things, settling short sales or lending the securities for short sales, for which we may receive compensation.

### Margin Interest Charges

We calculate interest charges on margin loans as follows: (1) multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the



## Expanded Disclosures (CONTINUED)

applicable interest rate changes and at the close of every statement month. For interest rate information, log into your Morgan Stanley account at [morganstanley.com/online](http://morganstanley.com/online). Select your account with a Margin agreement and click Interest Rates for more information.

### Information regarding Special Memorandum Account

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account, as required by Regulation T is available for your inspection at your request.

### Money Market Fund (MMF) Pricing

You could lose money in MMFs. Although MMFs classified as government funds (i.e., MMFs that invest 99.5% of total assets in cash and/or securities backed by the U.S. government) and retail funds (i.e., MMFs open to natural person investors only) seek to preserve value at \$1.00 per share, they cannot guarantee they will do so. The price of other MMFs will fluctuate and when you sell shares they may be worth more or less than originally paid. MMFs may impose a fee upon sale or temporarily suspend sales if liquidity falls below required minimums. During suspensions, shares would not be available for purchases, withdrawals, check writing or ATM debits. A MMF investment is not insured or guaranteed by the Federal Deposit Insurance Corporation or other government agency.

### Notice Regarding Global Investment Manager Analysis

Morgan Stanley's Global Investment Manager Analysis team conducts analysis on various mutual funds and exchange-traded funds for clients holding those funds in certain investment advisory programs. If you have invested in any of these funds in another type of account, such as a brokerage account, you will not receive the same materials and status updates on the funds, as we provide to investment advisory clients (including instructions on selling fund shares).

### Pricing of Securities

The prices of securities are derived from various sources, and do not necessarily represent the prices at which those securities could have been bought or sold. Although we attempt to use reliable sources of information, we can offer no assurance as to their accuracy. Prices of securities not actively traded may not be available, and are indicated by N/A (not available). For additional information on how we price securities, go to [www.morganstanley.com/wealth/disclosures/disclosures.asp](http://www.morganstanley.com/wealth/disclosures/disclosures.asp).

### Important Information About Auction Rate Securities

For certain Auction Rate Securities there is no or limited liquidity. Therefore, the price(s) for these Auction Rate Securities are indicated by N/A (not available). There can be no assurance that a successful

auction will occur or that a secondary market exists or will develop for a particular security.

### Structured Investments Risks and Considerations

Structured Investments (Structured Products) are complex products and may be subject to special risks, which may include, but are not limited to: loss of initial investment; issuer credit risk and price volatility resulting from any actual or anticipated changes to issuer's and/or guarantor's credit ratings or credit spreads; limited or no appreciation and limits on participation in any appreciation of underlying asset(s); risks associated with the underlying reference asset(s); no periodic payments; call prior to maturity; early redemption fees for market linked deposits; lower interest rates and/or yield compared to conventional debt with comparable maturity; unique tax implications; limited or no secondary market; and conflicts of interest due to affiliation, compensation or other factors which could adversely affect market value or payout to investors. Investors also should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Investments, which may appear in various statement product categories and are identified on the Position Description Details line as "Asset Class: Struct Inv," may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category. When displayed, the accrued interest, annual income and yield for structured investments with a contingent income feature (e.g., Range Accrual Notes and Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. Actual accrued interest, annual income and yield will be dependent upon the performance of the underlying asset(s) and may be significantly lower than the estimates shown. For information on the risks and conflicts of interest related to Structured Investments generally, log in to Morgan Stanley Online and go to [www.morganstanley.com/structuredproductsrisksandconflicts](http://www.morganstanley.com/structuredproductsrisksandconflicts). For more information on the risks specific to your Structured Investments, contact us.

### Security Measures

This statement features several embedded security elements to safeguard its authenticity. One is a unique blue security rectangle, printed in heat-sensitive ink on the back of every page. When exposed to warmth, the color will disappear, and then reappear.

### SIPC Protection

We are a member of Securities Investor Protection Corporation (SIPC), which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at [www.sipc.org](http://www.sipc.org). Losses due to market fluctuation are not protected by SIPC and assets not held with us may not be covered by SIPC protection. To obtain information about SIPC, including an explanatory SIPC brochure, contact SIPC at 1-202-371-8300 or visit [www.sipc.org](http://www.sipc.org).

**Certain Assets Not Held at Morgan Stanley Smith Barney LLC**

You may purchase certain assets through us that may be held at another financial institution. Assets not held with us may not be covered by SIPC protection. We may include information about certain assets on this statement solely as a service to you and are not responsible for information provided by external sources. Generally, any financial institution that holds securities is responsible for year-end reporting (1099s) and separate periodic statements, which may vary from our information due to different tax reporting periods. In the case of networked mutual funds, we perform all year-end tax reporting. Under certain circumstances, such as IRA accounts, we perform all tax reporting.

### Total Income

Total income, as used in the income summaries, represents dividends and/or interest on securities we receive on your behalf and credit to your account(s) during the calendar year. We report dividend distributions and taxable bond interest credited to your account to the Internal Revenue Service. The totals we report may differ from those indicated as "This Year" figures on the last statement for the calendar year. In the case of Real Estate Investment Trusts (REITs), Master Limited Partnerships, Regulated Investment Companies and Unit Investment Trusts, some sponsors may reclassify the distribution to a different tax type for year-end reporting.

### Transaction Dates and Conditions

Transactions display trade date and settlement date. Transactions are included on this statement on trade date basis (excluding BDP and MMFs). Trades that have not settled as of statement month end will also be displayed in the "Unsettled Purchases/Sales Activity" section.

Upon written request, we will give you the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

### Tax and Legal Disclosure

Morgan Stanley does not provide legal or tax advice. Please consult your own tax advisor.


 Portfolio Management Active Assets Account  
 052-0802017-222

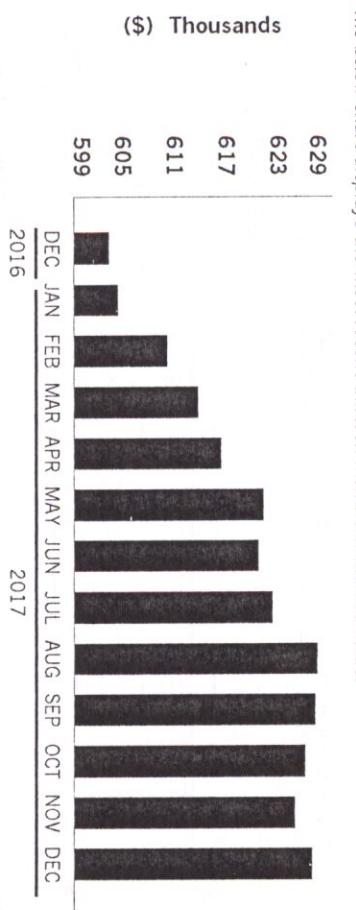
## Account Summary

### CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (12/1/17-12/31/17)	This Year (1/1/17-12/31/17)
<b>TOTAL BEGINNING VALUE</b>	<b>\$624,663.84</b>	<b>\$603,120.00</b>
Credits	—	—
Debits	(4,620.50)	617
Security Transfers	—	611
<b>Net Credits/Debits/Transfers</b>	<b>\$14,620.50</b>	<b>605</b>
<b>Change in Value</b>	<b>1,968.99</b>	<b>28,133.33</b>
<b>TOTAL ENDING VALUE</b>	<b>\$626,632.83</b>	<b>\$626,632.83</b>

Net Credits / Debits include investment advisory fees as applicable. See Activity section for details.

### MARKET VALUE OVER TIME



This chart does not reflect corrections to Market Value made subsequent to the dates depicted. It may exclude transactions in Annuities or positions where we are not the custodian, which could delay the reporting of Market Value.

### ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Cash	\$6,342.81)	(1.01)
Fixed Income & Preferreds	632,975.64	101.01
<b>TOTAL VALUE</b>	<b>\$626,632.83</b>	<b>100.00%</b>

(\$ ) Thousands



FDIC rules apply and Bank Deposits are eligible for FDIC insurance but are not covered by SIPC coverage. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, which are provided to you as a courtesy, and may not be covered by SIPC. For additional information, refer to the corresponding section of this statement.

This asset allocation represents holdings on a trade date basis, and projected settled Cash/ BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

 CONSOLIDATED SUMMARY  
 PERSONAL ACCOUNTS

 RETIREMENT ACCOUNTS  
 EDUCATION ACCOUNTS

 TRUST ACCOUNTS  
 BUSINESS ACCOUNTS

## Account Summary

Portfolio Management Active Assets Account  
052-080207-222  
VICKI C SIMON

### BALANCE SHEET (includes accrued interest)

	Last Period (as of 11/30/17)	This Period (as of 12/31/17)		
<b>Mutual Funds</b>	\$631,006.65	\$632,975.64		
<b>Total Assets</b>	<b>\$631,006.65</b>	<b>\$632,975.64</b>		
Cash, BDP, MMFs (Debit)	(6,342.81)	(6,342.81)		
<b>Total Liabilities</b> (outstanding balance)	<b>\$6,342.81</b>	<b>\$6,342.81</b>		
<b>TOTAL VALUE</b>	<b>\$624,663.84</b>	<b>\$626,632.83</b>		

### CASH FLOW

		This Period (12/1/17-12/31/17)	This Year (1/1/17-12/31/17)
<b>OPENING CASH, BDP, MMFs</b>		<b>\$6,342.81</b>	<b>\$1,722.31</b>
Dividend Reinvestments		(2,143.02)	(19,994.30)
Income and Distributions		2,143.02	19,994.30
<b>Total Investment Related Activity</b>		—	—
Other Debits		—	(4,620.50)
<b>Total Cash Related Activity</b>		—	<b>\$4,620.50</b>
<b>Total Card/Check Activity</b>		—	—
<b>CLOSING CASH, BDP, MMFs</b>		<b>\$6,342.81</b>	<b>\$6,342.81</b>

### INCOME AND DISTRIBUTION SUMMARY

	This Period (12/1/17-12/31/17)	This Year (1/1/17-12/31/17)	
Other Dividends	\$1,294.07	\$9,592.94	
<b>Total Taxable Income And Distributions</b>	<b>\$1,294.07</b>	<b>\$9,592.94</b>	
Dividends	848.95	8,998.60	
<b>Total Tax-Exempt Income</b>	<b>\$848.95</b>	<b>\$8,998.60</b>	
<b>TOTAL INCOME AND DISTRIBUTIONS</b>	<b>\$2,143.02</b>	<b>\$18,591.54</b>	

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

### GAIN/(LOSS) SUMMARY

	Realized This Period (12/1/17-12/31/17)	Realized This Year (1/1/17-12/31/17)	Unrealized Inception to Date (as of 12/31/17)
Short-Term Gain	—	—	\$60.38
Short-Term (Loss)	—	—	(106.17)
<b>Total Short-Term</b>	<b>—</b>	<b>—</b>	<b>\$45.79</b>
Long-Term Gain	—	—	8,212.34
Long-Term (Loss)	—	—	(11,831.38)
<b>Total Long-Term</b>	<b>—</b>	<b>—</b>	<b>\$3,619.04</b>
<b>TOTAL GAIN/(LOSS)</b>	<b>—</b>	<b>—</b>	<b>\$3,664.83</b>

The Gain/(Loss) Summary, which may change due to basis adjustments, is provided for informational purposes and should not be used for tax preparation. Refer to Gain/(Loss) in the Expanded Disclosures.

## Account Detail

Portfolio Management Active Assets Account  
052-080207-222

VICKI C SIMON

**Investment Objectives (in order of priority)†:** Capital Appreciation, Income, Aggressive Income, Speculation  
† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for securities that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current yield reflects the income generated by an investment, and does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	CASH	Market Value	Current Yield %	7-Day Est Ann Income	APY %
	\$6,342.81	\$6,342.81	—	—	—

CASH, BDP, AND MMFs	Percentage of Holdings	Market Value	Est Ann Income
Total Cash, BDP, MMFs Total Cash, BDP, MMFs (Debit)	(1.01)%	\$6,342.81	—

## MUTUAL FUNDS

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/(Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes. Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
DOUBLELINE TOTAL RETURN I (DBLTX)	6/27/13	14,692.392	\$11.060	\$10.630	\$162,497.85	\$156,180.12	(\$6,317.73) LT 1		
	Purchases	14,692.392			162,497.85	156,180.12	(6,317.73) LT	(863.80) LT H	
		2,829.501			30,941.39	30,077.59	(863.80) LT H		
		Long Term Reinvestments							

## Account Detail

Portfolio Management Active Assets Account  
052-080207-222

VICKI C SIMON

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Current Gain/(Loss)	Est Ann Income	Current Yield %
<b>Short Term Reinvestments</b>										
<i>GIMA Status: AL: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Basis Adjustment Due to Wash Sale: \$2,67; Asset Class: FI &amp; Pref</i>										
<b>INVESTCO INTERM TERM MUNI Y (VKLIX)</b>	<u>6/27/13</u>	9,854.462	10.820	11.150	106,625.28	109,877.25	3,251.97	LT 1		
Purchases		9,854.462			106,625.28	109,877.25	3,251.97	LT 1		
Long Term Reinvestments		1,310.820			14,505.94	14,615.64	109.70	LT 1		
Short Term Reinvestments		315.353			3,494.10	3,516.19	22.09	ST		
<b>Total</b>		11,480.635			124,625.32	128,009.08	3,361.67	LT	3,869.00	3.02
<b>Total Purchases vs Market Value</b>										
<b>Net Value Increase/(Decrease)</b>										
<i>Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI &amp; Pref</i>										
<b>LORD ABBETT INTERM TX FRF (LISFX)</b>	<u>6/27/13</u>	7,564.721	10.580	10.810	80,034.75	81,774.63	1,739.88	LT 1		
Purchases		7,564.721			80,034.75	81,774.63	1,739.88	LT		
Long Term Reinvestments		967.643			10,395.00	10,460.22	65.22	LT 1		
Short Term Reinvestments		199.741			2,149.11	2,159.20	10.09	ST		
<b>Total</b>		8,732.105			92,578.86	94,394.06	1,805.10	LT	2,375.00	2.51
<b>Total Purchases vs Market Value</b>										
<b>Net Value Increase/(Decrease)</b>										
<i>GIMA Status: AL: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI &amp; Pref</i>										
<b>NUVEEN INT DUR MUNI BOND I (NUUVBX)</b>	<u>6/27/13</u>	11,779.771	9.000	9.250	106,017.94	108,962.88	2,944.94	LT 1		
Purchases		11,779.771			106,017.94	108,962.88	2,944.94	LT		
Long Term Reinvestments		1,551.144			14,247.45	14,348.08	100.63	LT 1		
Short Term Reinvestments		365.793			3,355.39	3,383.59	28.20	ST		
<b>Total</b>		13,696.708			123,620.78	126,694.55	3,045.57	LT	3,698.00	2.91
<b>Total Purchases vs Market Value</b>										
<b>Net Value Increase/(Decrease)</b>										
<i>GIMA Status: AL: Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Asset Class: FI &amp; Pref</i>										
<b>TEMPLETON GLOBAL BD FD ADV (TGBAX)</b>	<u>6/27/13</u>	6,274.269	12.552	11.850	78,751.56	74,350.09	(4,401.47)	LT 1		
Purchases		6,274.269			78,751.56	74,350.09	(4,401.47)	LT		
Long Term Reinvestments		1,163.153			14,031.74	13,783.36	(248.38)	LT H		

Security Mark  
at Right

## Account Detail

Portfolio Management Active Assets Account  
052-080207-222

VICKI C SIMON

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
Short Term Reinvestments		265.952			3,229.86	3,151.53	(78.33) ST		
<b>Total</b>		7,703.374			96,013.16	91,284.98	(4,649.85) LT (78.33) ST	3,289.00	3.60
<b>Total Purchases vs Market Value</b>					78,751.56	91,284.98			
<b>Net Value Increase/(Decrease)</b>									
<i>G/M/R Status: FL Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest; Basis Adjustment Due to Wash Sale: \$10.25; Asset Class: FI &amp; Pref</i>									

MUTUAL FUNDS	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	101.01%	\$636,640.44	\$632,915.64	\$(-13,619.04) LT \$(-45.79) ST	\$20,333.00	3.21%

TOTAL VALUE	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est. Ann Income	Current Yield %
	100.00%	\$636,640.44	\$626,632.83	\$(-13,619.04) LT \$(-45.79) ST	\$20,333.00	3.24%

*Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included. H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for a disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.*

*1 - This information reflects your requested adjustments to the transaction details.*

## ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Annuities & Insurance	Structured Investments	Other
Cash, BDP, MMFs (Debit)	\$6,342.81	—	—	—	—	—	—
Mutual Funds	—	—	\$632,915.64	—	—	—	—
<b>TOTAL ALLOCATION OF ASSETS</b>	<b>\$6,342.81</b>	<b>—</b>	<b>\$632,915.64</b>	<b>—</b>	<b>—</b>	<b>—</b>	<b>—</b>



## Account Detail

### ACTIVITY

#### CASH FLOW ACTIVITY BY DATE

Activity Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/1		Tax Exempt Dividend	INVESCO INTERM TERM MUNI Y				\$326.40
			DIV PAYMENT				307.45
		Tax Exempt Dividend	NUVEEN INT DUR MUNI BOND I				
			DIV PAYMENT				200.04
		Tax Exempt Dividend	LORD ABBETT INTERM TX FR F				
			DIV PAYMENT				
		Dividend	DOUBLELINE TOTAL RETURN I				571.80
			DIV PAYMENT				
		Dividend Reinvestment	DOUBLELINE TOTAL RETURN I	REINVESTMENT a/o 11/30/17	53.791	10.6300	(571.80)
12/1			INVESCO INTERM TERM MUNI Y	REINVESTMENT a/o 11/30/17	29.432	11.0900	(326.40)
12/1		Dividend Reinvestment	NUVEEN INT DUR MUNI BOND I	REINVESTMENT a/o 11/30/17	33.418	9.2000	(307.45)
12/1			LORD ABBETT INTERM TX FR F	REINVESTMENT a/o 11/30/17	18.608	10.7500	(200.04)
12/1		Dividend Reinvestment	NUVEEN INT DUR MUNI BOND I				15.06
			DIV PAYMENT				
		Tax Exempt Dividend	NUVEEN INT DUR MUNI BOND I	REINVESTMENT a/o 12/06/17	1.619	9.3000	(15.06)
			TEMPLETON GLOBAL BD FDF ADV				722.27
		Dividend	DIV PAYMENT				
12/18			TEMPLETON GLOBAL BD FDF ADV	REINVESTMENT a/o 12/15/17	60.290	11.9800	(722.27)
		NET CREDITS/(DEBITS)					\$0.00

*Purchase and Sale transactions above may have received an average price execution. Details regarding the actual prices are available upon request.*

### MESSAGES

#### FINRA BrokerCheck

FINRA has established the public disclosure program, known as BrokerCheck, to provide certain information regarding the disciplinary history of FINRA members and their associated persons. The BrokerCheck Hotline Number is 1-800-289-9999. The FINRA web site address is [www.finra.org](http://www.finra.org). An investor brochure that includes information describing FINRA BrokerCheck may be obtained from FINRA.

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Receiving documents through eDelivery is secure, convenient, green and may even help you save on annual account fees. Accounts enrolled in eDelivery of all eligible account documents for every account within an Account Link Group may qualify for a reduced annual fee. Contact us for additional details regarding annual account fees.

Simply visit [www.morganstanley.com/edelivery](http://www.morganstanley.com/edelivery) to set your eDelivery preferences today. Please note, if you have not already done so, you will first need to register for Morgan Stanley Online prior to making your eDelivery selections.

#### Important Information About Advisory Accounts

Please contact us if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable ADV Brochure for Morgan Stanley Smith Barney LLC, or for any investment adviser with whom we contract to manage your investment advisory account, please visit [www.morganstanley.com/ADV](http://www.morganstanley.com/ADV). These ADV Brochures contain important information about advisory programs.



## CLIENT STATEMENT

**Morgan Stanley**  
PRIVATE WEALTH MANAGEMENT

PRIVATE WELFARE MANAGEMENT

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# 2017 Recap of Cash Management Activity

52-080207-222  
Active Assets Account

VICKI SIMON

We are pleased to enclose your 2017 Recap of Cash Management Activity. This section includes a summary of your electronic transfers, checking and card activity for the year (including ATM transactions, automated payments and Billpay), and security transfers.

Information related to Income, Distributions, Purchases, Sales, and Redemptions will be provided to accounts subject to IRS reporting on Forms 1099 in the Consolidated Tax Package.

For your convenience, this Recap is also available as a separately retrievable document on Morgan Stanley Online under Statements within the Account Documents tab.

We recommend that you visit the [IDC Forum](http://www.IDC.com) (<http://www.IDC.com>) 1000 before committing your bid volume. This forum is made available for the official account statements that you have received.

**WE RECOMMEND THAT YOU WAIT FOR YOUR IRS FORMS 1099 BEFORE COMPLETING YOUR TAX RETURNS.** This recap is not a substitute for the official account statements that you have received from us throughout the year; and is for informational purposes only to provide you with a recap of your cash management activity. If there are any discrepancies between your account

## CASH RELATED ACTIVITY

OTHER DEBTS

## TOTAL CASH REVENUE

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PRIVATE WEALTH MANAGEMENT

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