



Investor Relations Department  
P.O. Box 1005  
Charlotte, NC 28201-1005  
(704) 382-3853 CHARLOTTE  
(800) 488-3853 TOLL FREE  
[www.duke-energy.com/investors/](http://www.duke-energy.com/investors/)

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VICKI SIMON &  
JOHN M SIMON JT TEN  
534 WEST 42ND STREET  
APT 8  
NEW YORK, NY 10036-6221



## Account Statement

For Overnight Delivery:  
526 S. Church St., EC01W  
Charlotte, NC 28202

Optional investments to purchase additional shares is available to plan participants only.  
Shareholders may enroll in the Plan by obtaining a copy of the prospectus either in electronic form at [www.duke-energy.com/investors/](http://www.duke-energy.com/investors/) or in paper form by calling us and then signing the reverse side of this statement.

### Optional Investment

Make check payable to: Duke Energy  
(minimum \$50 per investment)

\$



Amount Enclosed

Account Number

561792

Address Change only (Otherwise leave blank)

Street

City

State

Zip Code

00005617923



Manage Your Account Online. Visit: [www.duke-energy.com/investors/online/](http://www.duke-energy.com/investors/online/)  
See reverse side for notes and to conduct a Plan transaction.

Certificate Shares :	0	NYSE Close :	\$84.11	Statement Date :	01/03/18
Direct Registration Shares :	0	Market Value :	\$46,028.39	Account Number :	561792
Plan Shares :	547.2404				
Total Shares :	547.2404				

① TRANSACTION DATE	TYPE OF TRANSACTION	DOLLAR AMOUNT	② PRICE PER SHARE	TRANSACTION SHARES	SHARE BALANCE
01/02/17	BEGINNING BALANCE				525.2098
03/16/17	DIVIDENDS TO BE REINVESTED	\$449.05		0	525.2098
03/16/17	SHARES PURCHASED	\$449.05	\$81.6767	5.4979	530.7077
06/16/17	DIVIDENDS TO BE REINVESTED	\$453.76		0	530.7077
06/22/17	SHARES PURCHASED	\$453.76	\$86.5399	5.2434	535.9511
09/18/17	DIVIDENDS TO BE REINVESTED	\$477.00		0	535.9511
09/21/17	SHARES PURCHASED	\$477.00	\$85.375	5.5871	541.5382
12/18/17	DIVIDENDS TO BE REINVESTED	\$481.97		0	541.5382
12/21/17	SHARES PURCHASED	\$481.97	\$84.5229	5.7022	547.2404

③ Record Date :	11/17/17	Certificate/DRS Record Date Shares :	
Dividend Rate :	0.89	Plan Record Date Shares :	541.5382

YTD Taxable Income	
Dividends :	\$1,861.78
④ Earned Income :	\$0.66

### Broker / Dealer Information

(Page 1 of 2)

⑥ Firm Name  
Participant No.  
Account No.

YTD Tax Withheld : \$0.00  
⑤ Tax Certification Status : CERTIFIED

**Sell shares (send me a check):**

- Sell \_\_\_\_\_ Plan shares.
- Sell ALL Plan shares and close my account.
- Deposit enclosed common stock certificate(s) into my Plan account and sell \_\_\_\_\_ shares.
- Deposit \_\_\_\_\_ Direct Registration System (DRS) shares into my Plan account and sell.

**Move Plan shares to Direct Registration Systems (DRS):**

- Move ALL Plan shares to my DRS account and sell remaining fractional share.
- Move ALL whole Plan shares to my DRS account and allow me to send optional cash investments into my Plan account.
- Move \_\_\_\_\_ Plan shares to my DRS account.

**Online account access through DUK-Online:**

- Send a security code to establish online account access
- Please change my email address to:

**Dividends:**

- Fully reinvest dividends on ALL shares.
- Reinvest dividends earned on all Plan shares, and pay dividends earned on DRS shares in cash.
- Reinvest \_\_\_\_\_ % of dividends earned on Plan shares only (minimum 10%), and pay all remaining dividends in cash.

**Bank Draft – (minimum \$25.00):**

- Start a monthly bank draft, pursuant to the terms of the Plan, for \$ \_\_\_\_\_ per month on my **checking** or **savings** (circle one) account (attach a voided check).
- Change my bank account information effective \_\_\_\_\_ (attach a voided check).
- Change my bank draft amount to \$ \_\_\_\_\_.
- Stop my monthly bank draft effective \_\_\_\_\_ (month).

**⑦ Signatures (all owners must sign):**

Transactions specified in Note 7 require enrollment in the Plan. Any selection of these transactions combined with signatures of ALL owners on this form is a request to be enrolled in the Plan. You certify that the Plan Prospectus has been made available to you in electronic form at [www.duke-energy.com/investors](http://www.duke-energy.com/investors) or in paper form and you agree to abide by the terms and conditions of the Plan.

(Signature and daytime phone)

(Signature and daytime phone)

If faxing your request, please fax **both sides** of the top portion to (704) 382-3814.

↑ DETACH HERE ↑

**InvestorDirect Choice Plan (the Plan) information – please read**

Investment dates for optional investments generally occur each Thursday. Note: Optional investments received fewer than two business days before an Investment Date will be held until the next Investment Date. Please see the Plan Prospectus for more information.

When you provide a check as payment, you authorize us either to use information from your check to make a one-time electronic fund transfer from your account or to process the payment as a check transaction.

You may change your participation in the **InvestorDirect Choice Plan** (the Plan), request a partial withdrawal of shares held for you in the Plan, or terminate your participation in the Plan as outlined in the Plan Prospectus. Please provide written notice to Duke Energy Corporation Investor Relations or mark the appropriate box above. Fill in all necessary blanks and sign exactly as your name(s) appear(s) on the front of the statement. Detach and mail to Duke Energy at the address listed below.

Duke Energy will send to you, your stock certificates and/or proceeds as soon as practicable. If a notice of termination is received after a dividend record date but before the related dividend payment date, a separate dividend check will be mailed to you on the dividend payment date. Thereafter, cash dividends on any remaining Duke Energy Common Stock you hold will be paid to you and will not be reinvested.

When sending certificates for Safekeeping, it is recommended (although not required) they be sent by insured, registered mail for 2% of the market value.

Backup withholding at a rate of 28% of the proceeds from Plan sales will apply if your account is not certified. (Foreign Persons are subject to a rate of 30% if not certified). Your tax certification status is printed on the reverse side. In order to have certified your tax identification number, you should have returned the substitute Form W-9 which was mailed to you when the account was established. If you have not returned the Form W-9, please call our office and we will send you another form to complete and mail back to us. To avoid the required IRS backup withholding, we must have the completed Form W-9 before initiating the sale or payment of dividends. Participants enrolling after July 31, 1998 should have certified their tax identification number in the W-9 section on the Enrollment Form. (Note: If you are a Foreign Person, do not use Form W-9. Instead, use the appropriate Form W-8.)

**If you have any questions about your statement, please write or call:**

Duke Energy Corporation  
Investor Relations Department  
P.O. Box 1005  
Charlotte, NC 28201-1005

(704) 382-3853 Charlotte  
(800) 488-3853 Toll Free

Email form: [www.duke-energy.com/contactIR/](http://www.duke-energy.com/contactIR/)

**Note:**

1. The transaction date represents the date shares are credited to your account.
2. The price per share represents the weighted average price of shares purchased or sold on the open market, or the price of newly issued shares purchased directly from the company.
3. Information pertaining to record date, dividend rate and record date shares appears in these boxes only on quarterly statements.
4. Brokerage fees paid by company.
5. The status of "Certified" indicates that you have returned the substitute Form W-9 that was mailed to you when the account was established. If you have not returned the Form W-9, your status is "Uncertified". Please call our office and we will send you another form to complete and mail back to us. To avoid the required IRS backup withholding, we must have the completed Form W-9 before initiating the sale of Plan shares or payment of dividends. (Note: If you are a Foreign Person, do not use Form W-9. Instead, use the appropriate Form W-8.)
6. Broker / Dealer information is used in Direct Registration processing.
7. All shareholders who desire to conduct transactions on their account related to optional investments, dividend reinvestment, safekeeping into the Plan, bank draft, or sale of shares must be enrolled in the InvestorDirect Choice Plan. Shareholders may enroll in the Plan by obtaining a copy of the Plan Prospectus, either in electronic form at [www.duke-energy.com/investors](http://www.duke-energy.com/investors) or in paper form by calling us and then signing the top portion of this statement.