

PAYER'S name, address and phone number

**Duke Energy Corporation**  
Investor Relations Department  
P.O. Box 1005  
Charlotte NC 28201-1005

Phone: 1.800.488.3853

CORRECTED (if checked)

1a Total ordinary dividends OMB No 1545-0110

\$12.49

1b Qualified dividends

\$12.49

**2021**

Form 1099-DIV

4 Federal income tax withheld

\$0.00

3 Nondividend distributions

Stock type

COMMON & DIV. REIN.

PAYER'S TIN

20-2777218

RECIPIENT'S TIN

\*\*\*\*6649

Account Number (see instructions)

1074048

## Dividends and Distributions

### Copy B For Recipient

This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



RECIPIENT'S name and address

CLAUDE SIMON ADM  
EST VICKI SIMON  
534 WEST 42ND ST APT 8  
NEW YORK, NY 10036

Form 1099-DIV

(Keep for your records)

Department of the Treasury - Internal Revenue Service

## Duke Energy Corporation IMPORTANT TAX INFORMATION FOR SHAREHOLDERS

### Federal Income Tax:

Based upon operations for the year, the common dividends paid in each quarter of 2021 are fully taxable. Accordingly, all 2021 common dividends should be reported as taxable income.

### Instructions for Recipient:

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the instructions for Forms 1040 and 1040-SR instructions for how to determine this amount and where to report.

Charlotte, N.C., December 31, 2021

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2021 General Instructions for Certain Information Returns.

\*Listed below are the 2021 ex-dividend dates which may be needed to determine eligible amount included in Box 1b.

2/11/2021

5/13/2021

8/12/2021

11/11/2021