

Date Prepared: February 10, 2012

Recipient's Name and Address

10/02-CT2B3504-002613-MED1-115423209 1794565 *1-2-3

CLAUDE A.C. SIMON

6 EDWARDS LN

GLEN COVE NY 11542



002613

Items for Attention

- If you've had realized gain or loss transactions during the tax year, you will no longer receive Year-End Gain/Loss Report separately. To make your tax preparation easier, we have consolidated Year-End Gain/Loss Report information into the Year-End Summary of this report as **Realized Gain or (Loss)** section.
- Some companies have not confirmed the final status of their distributions for tax reporting at this time. Should Schwab receive updated information for your security, CORRECTED Form(s) 1099 may be delivered to you.
- Electronic delivery of this report is available now. Sign up at www.schwab.com/paperless.
- Please refer to the enclosed insert(s) for additional information.

Important Official IRS Form(s) 1099 Enclosed

The report in this package contains your income tax return documents and year-end summary. Please retain this package for tax preparation purposes.

For tax advice, please consult with a qualified tax advisor, CPA, or financial planner.

To contact Schwab:

If you have any questions or need additional information about your Form(s) 1099 or your year-end summary, please call 1-800-435-4000, 24 hours a day, 7 days a week. We're always here for you.

To contact the IRS:

Tax questions for individuals: 1-800-829-1040

Tax questions for businesses: 1-800-829-4933

To order tax forms or publications: 1-800-829-3676

To pay taxes by credit card: 1-888-272-9829

For additional information and to print forms and publications, visit www.irs.gov.

charles SCHWAB

Schwab One® Account of
CLAUDE A.C. SIMON

Account Number
7765-3165

TAX YEAR 2011
FORM 1099 COMPOSITE
& YEAR-END SUMMARY

Date Prepared: February 10, 2012

Recipient's Name and Address

CLAUDE A.C. SIMON
6 EDWARDS LN
GLEN COVE NY 11542

Taxpayer ID Number: ***-**-1158

Account Number: 7765-3165

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 435-4000

Federal ID Number: 94-1737782

Dividends and Distributions — 2011

Form 1099-DIV

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0110)

Box	Description	Amount	Total
1a	Total Ordinary Dividends (Includes amount shown in box 1b)	\$	572.05
1b	Qualified Dividends	\$ 0.00	
2a	Total Capital Gain Distributions (Includes amounts shown in boxes 2b, 2c and 2d)	\$	0.00
2b	Unrecap. Sec. 1250 Gain	\$ 0.00	
2c	Section 1202 Gain	\$ 0.00	
2d	Collectibles (28%) Gain	\$ 0.00	
3	Nondividend Distributions	\$	0.00
4	Federal Income Tax Withheld	\$	0.00
5	Investment Expenses	\$	0.00
6	Foreign Tax Paid	\$	9.64
7	Foreign Country or U.S. Possession		
8	Cash Liquidation Distributions	\$	0.00
9	Noncash Liquidation Distributions	\$	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



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INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

We are required by the Internal Revenue Service ("IRS") to furnish the appropriate **Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B** to you. The amounts listed on this composite form represent dividends, interest, and/or other reportable payments made or credited to you through your brokerage account during 2011. This information will be reported to the IRS.

Account Number

May show an account or other unique number the payer assigned to distinguish your account.

1099-DIV: Dividends and Distributions**Instructions for Recipient**

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a.

Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (Form 1040 or 1040A), if required.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 1b.

Shows the portion of the amount in box 1a that may be eligible for the 15% or zero capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

Box 2a.

Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b.

Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c.

Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d.

Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3.

Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4.

Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6.

Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7.

This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9.

Shows cash and noncash liquidation distributions.

Nominees.

If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2011 General Instructions for Certain Information Returns.

Date Prepared: February 10, 2012

Recipient's Name and Address

CLAUDE A.C. SIMON
6 EDWARDS LN
GLEN COVE NY 11542

Taxpayer ID Number: ***-**-1158

Account Number: 7765-3165

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 435-4000

Federal ID Number: 94-1737782

Interest Income — 2011

Form 1099-INT

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0112)

Box	Description	Total
1	Interest Income	\$ 0.00
3	Interest on U.S. Savings Bonds and Treasury Obligations	\$ 0.00
4	Federal Income Tax Withheld	\$ 0.00
5	Investment Expenses	\$ 0.00
6	Foreign Tax Paid	\$ 0.00
7	Foreign Country or U.S. Possession	
8	Tax-Exempt Interest	\$ 56.72
9	Specified Private Activity Bond Interest	\$ 1.87
10	Tax-Exempt Bond CUSIP No. (see instructions)	670976109

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

We are required by the Internal Revenue Service ("IRS") to furnish the appropriate **Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B** to you. The amounts listed on this composite form represent dividends, interest, and/or other reportable payments made or credited to you through your brokerage account during 2011. This information will be reported to the IRS.

Account Number

May show an account or other unique number the payer assigned to distinguish your account.

1099-INT: Interest Income**Box 1.**

Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, Gulf tax credit bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, Midwestern tax credit bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2011 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Box 2.

Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3.

Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5.

Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6.

Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 8.

Shows tax-exempt interest, including exempt-interest dividends from a mutual fund or other regulated investment company, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9.

Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251.

Box 10.

Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8. If blank, no CUSIP number was issued for the bond(s).

Nominees.

If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

Date Prepared: February 10, 2012

Recipient's Name and Address

CLAUDE A.C. SIMON
6 EDWARDS LN
GLEN COVE NY 11542

Taxpayer ID Number: ***-**-1158

Account Number: 7765-3165

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 435-4000

Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2011

Form 1099-B

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0715)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

8-Type of gain or loss: Short-Term

9-Description	CUSIP Number	Quantity	**	1b-Date of acquisition 1a-Date of sale or exchange	2-Sales price of stocks, bonds, etc. (less commissions and options premiums)	3-Cost or other basis	6-If this box is checked, boxes 1b, 3, 5 and 8 may be blank	5-Wash sale loss disallowed	4-Federal income tax withheld
ABERDEEN ASIA PAC INCM	003009107	0.06	S	02/14/11 03/04/11	\$ 0.41	\$ 0.41	X	\$ 0.00	\$ 0.00
Security Subtotal					\$ 0.41	\$ 0.41		\$ 0.00	\$ 0.00
AMERICA MOVIL SAB L ADRFSPONSORED ADR	02364W105	0.05	S	12/02/10 03/04/11	\$ 3.22	\$ 3.31	X	\$ 0.00	\$ 0.00
Security Subtotal					\$ 3.22	\$ 3.31		\$ 0.00	\$ 0.00
NUVEEN NY SELCT QLTY MUNNY SELECT QUALIT	670976109	0.19	S	02/02/11 03/04/11	\$ 2.57	\$ 2.57	X	\$ 0.00	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

We are required by the Internal Revenue Service ("IRS") to furnish the appropriate **Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B** to you. The amounts listed on this composite form represent dividends, interest, and/or other reportable payments made or credited to you through your brokerage account during 2011. This information will be reported to the IRS.

Account Number

May show an account or other unique number the payer assigned to distinguish your account.

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 9.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 1a.

Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 10 through 13, no entry will be present.

Box 1b.

This box may be blank if box 6 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2.

Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount as explained in the instructions for Schedule D (Form 1040).

Box 3.

Shows the cost or other basis of securities sold. If box 6 is checked, box 3 may be blank. See the Schedule D (Form 1040) instructions or Pub. 550 for details about basis.

Box 4.

Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.

Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6.

If this box is checked, the securities sold were noncovered securities and boxes 1b, 3, 5, and 8 may be blank. Generally, a noncovered security is a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies; and stock held in a dividend reinvestment plan in 2011.

Box 8.

Shows type of gain or loss.

Box 9.

Shows a brief description of the item or service for which the proceeds or bartering income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Taxpayer ID Number: ***-**-1158

Date Prepared: February 10, 2012

Proceeds from Broker Transactions — 2011 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0715)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

8-Type of gain or loss: Short-Term

9-Description	CUSIP Number	Quantity	**	1b-Date of acquisition 1a-Date of sale or exchange	2-Sales price of stocks, bonds, etc. (less commissions and options premiums)	3-Cost or other basis	6-If this box is checked, boxes 1b, 3, 5 and 8 may be blank	5-Wash sale loss disallowed	4-Federal income tax withheld
NUVEEN NY SELCT QLTY MUNNY SELECT QUALIT	670976109	0.11	S	03/02/11 03/04/11	\$ 1.58	\$ 1.58	X	\$ 0.00	\$ 0.00
Security Subtotal					\$ 4.15	\$ 4.15		\$ 0.00	\$ 0.00
Total Short-Term Sales Price from Broker Transactions					\$ 7.78	\$ 7.87			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Taxpayer ID Number: ***-**-1158

Date Prepared: February 10, 2012

Proceeds from Broker Transactions — 2011 (continued)
Form 1099-B

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0715)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

8-Type of gain or loss: Long-Term

9-Description	CUSIP Number	Quantity	**	1b-Date of acquisition 1a-Date of sale or exchange	2-Sales price of stocks, bonds, etc. (less commissions and options premiums)	3-Cost or other basis	6-If this box is checked, boxes 1b, 3, 5 and 8 may be blank	5-Wash sale loss disallowed	4-Federal income tax withheld
AMERICA MOVIL SAB L ADRFSPONSORED ADR	02364W105	200.00	S	05/29/91 01/28/11	\$ 11,197.03	Missing	X	--	\$ 0.00
Security Subtotal					\$ 11,197.03	--		--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	25.00	S	05/29/91 02/03/11	\$ 447.84	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	37.00	S	05/29/91 02/03/11	\$ 662.76	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	69.00	S	05/29/91 02/03/11	\$ 1,236.09	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	74.00	S	05/29/91 02/03/11	\$ 1,325.60	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	100.00	S	05/29/91 02/03/11	\$ 1,791.40	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	100.00	S	05/29/91 02/03/11	\$ 1,791.54	Missing	X	--	\$ 0.00
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	158.00	S	05/29/91 02/03/11	\$ 2,830.16	Missing	X	--	\$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Taxpayer ID Number: ***-**-1158

Date Prepared: February 10, 2012

Proceeds from Broker Transactions — 2011 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service Copy B for Recipient (OMB No. 1545-0715)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

8-Type of gain or loss: Long-Term

9-Description	CUSIP Number	Quantity	**	1b-Date of acquisition 1a-Date of sale or exchange	2-Sales price of stocks, bonds, etc. (less commissions and options premiums)	3-Cost or other basis	6-If this box is checked, boxes 1b, 3, 5 and 8 may be blank	5-Wash sale loss disallowed	4-Federal income tax withheld
TELEFON DE MEX L ADR XXXADR PROGRAM TERM	879403780	637.00	\$	05/29/91 02/03/11	\$ 11,410.72	Missing	X	--	\$ 0.00
Security Subtotal			\$		21,496.11	--		--	\$ 0.00
Total Long-Term Sales Price from Broker Transactions			\$		32,693.14	--			
Total Sales Price from Broker Transactions			\$		32,700.92				
Total Federal Income Tax Withheld			\$		0.00				

Notes for your Form 1099-B

For explanation of Cost Basis Method, please refer to Cost Basis Disclosure. See Terms and Conditions. Schwab has provided realized gain and loss information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.

When value for the report is unavailable, it is noted as followed: "Missing" or "--"

-- Schwab is not providing Cost Basis on this security type .

Missing Cost Basis may be missing due to one of the following reasons:

- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
- The security was purchased more than 10 years ago.

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Taxpayer ID Number: ***-**-1158

Date Prepared: February 10, 2012

Notes for your Form 1099-B (continued)

Symbol	Box (6) This box will only be checked if it is a Noncovered Security.
Blank	Covered Security - Specified securities acquired on or after the applicable date for when cost basis must be reported. <ul style="list-style-type: none"> • January 1, 2011—for equities • January 1, 2012—for mutual funds and dividend reinvestment plans (DRIPS) • January 1, 2013—for all other securities (i.e., fixed income and options)
X	Noncovered Security - If box 6 is checked, then the security is a noncovered security. The cost basis of these noncovered securities is not reported to the IRS because they were acquired before the IRS cost-basis legislation's effective date. However, cost basis is provided whenever available to help you with your tax preparation.
Symbol	Box (8) Type of Gain or Loss
Long-term	Long-Term Realized Gain or Loss has a holding period greater than one year.
Short-term	Short-Term Realized Gain or Loss has a holding period of one year or less.

**Activity Codes (Not reported to the IRS)

C = Cash in Lieu	E = Exchange	P = Principal	S = Sale	T = Tender
CV = Conversion	M = Cash Merger	MT = Maturity	R = Redemption	SS = Short Sale

- The cost basis and basis adjustments for covered securities are reported to the IRS.
- Gross Proceeds from each of your security transactions are reported individually to the IRS.
- Gross Proceeds in aggregate are not reported to the IRS and should not be so reported on your tax return.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Date Prepared: February 10, 2012

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The details and specific transactions in this section and in all subsequent sections are provided to you as a courtesy, should you need additional information when completing your tax return. When relevant, IRS box numbers are referenced for individual items that comprise the totals that appear on the actual Form(s) 1099. Please note that only the information contained in boxes on Form(s) 1099 is reported to the IRS. Please consult with your tax advisor or financial advisor regarding specific questions.

Date Prepared: February 10, 2012

INTEREST & DIVIDENDS

The information in the following sections may be helpful for, but not limited to, Schedule B. Please consult with your tax advisor or financial advisor regarding specific questions.

Detail Information of Dividends and Distributions

Description	CUSIP Number	Paid in 2011		Paid / Adjusted in 2012 for 2011		Amount
Ordinary Dividends						
Non-Qualified Dividends						
ABERDEEN ASIA PAC INCM	003009107	\$	562.41	\$	9.64	\$ 572.05
Total Non-Qualified Dividends (Included in Box 1a)		\$	562.41	\$	9.64	\$ 572.05
Total Ordinary Dividends (Box 1a)		\$	562.41	\$	9.64	\$ 572.05
(Total Non-Qualified Dividends, Short-Term Capital Gains and Qualified Dividends)						
Foreign Tax Paid		Country				
ABERDEEN ASIA PAC INCM	Not Provided	003009107	\$ 0.00	\$ (9.64)	\$	(9.64)
Total Foreign Tax Paid (Box 6)		\$	0.00	\$	(9.64)	\$ (9.64)

Detail Information of Interest Income

Description	CUSIP Number	Paid in 2011		Paid / Adjusted in 2012 for 2011		Amount
Tax-Exempt Interest						
Tax-Exempt Dividends						
NUVEEN NY SELCT QLTY MUN	670976109	\$	56.72	\$	0.00	\$ 56.72
Total Tax-Exempt Dividends (Included in Box 8)		\$	56.72	\$	0.00	\$ 56.72
Total Tax-Exempt Interest (Box 8) (Tax-Exempt Interest and Tax-Exempt Dividends)		\$	56.72	\$	0.00	\$ 56.72

Date Prepared: February 10, 2012

Detail Information of Interest Income (continued)

Description	CUSIP Number	Paid in 2011		Paid / Adjusted in 2012 for 2011		Amount
Specified Private Activity Bond Interest (Amount subject to Alternative Minimum Tax already included in Box 8)						
Tax-Exempt Dividends						
NUVEEN NY SELCT QLTY MUN	670976109	\$	0.00	\$	1.87	\$ 1.87
Total Tax-Exempt Dividends (Box 9 and included in Box 8)		\$	0.00	\$	1.87	\$ 1.87
Total Specified Private Activity Bond Interest (Box 9)		\$	0.00	\$	1.87	\$ 1.87

REALIZED GAIN OR (LOSS)

The information in the following sections includes all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

Description	CUSIP Number	Quantity/Par	Acquisition Date	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ABERDEEN ASIA PAC INC	003009107	0.06	02/14/11	03/04/11	\$ 0.41	\$ 0.41	\$ 0.00	\$ 0.00
Security Subtotal					\$ 0.41	\$ 0.41	\$ 0.00	\$ 0.00
AMERICA MOVIL SAB L AD	02364W105	0.05	12/02/10	03/04/11	\$ 3.22	\$ 3.31	\$ 0.00	\$ (0.09)
Security Subtotal					\$ 3.22	\$ 3.31	\$ 0.00	\$ (0.09)
NUVEEN NY SELCT QLTY	670976109	0.19	02/02/11	03/04/11	\$ 2.57	\$ 2.57	\$ 0.00	\$ 0.00
NUVEEN NY SELCT QLTY	670976109	0.11	03/02/11	03/04/11	\$ 1.58	\$ 1.58	\$ 0.00	\$ 0.00
Security Subtotal					\$ 4.15	\$ 4.15	\$ 0.00	\$ 0.00
Total Short-Term					\$ 7.78	\$ 7.87	\$ 0.00	\$ (0.09)

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Date Prepared: February 10, 2012

Long-Term Realized Gain or (Loss)
COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
AMERICA MOVIL SAB L AD	02364W105	200.00	05/29/91	01/28/11	\$ 11,197.03	Missing	--	--
Security Subtotal					\$ 11,197.03	--	--	--
TELEFON DE MEX L ADR	879403780	25.00	05/29/91	02/03/11	\$ 447.84	Missing	--	--
TELEFON DE MEX L ADR	879403780	37.00	05/29/91	02/03/11	\$ 662.76	Missing	--	--
TELEFON DE MEX L ADR	879403780	69.00	05/29/91	02/03/11	\$ 1,236.09	Missing	--	--
TELEFON DE MEX L ADR	879403780	74.00	05/29/91	02/03/11	\$ 1,325.60	Missing	--	--
TELEFON DE MEX L ADR	879403780	100.00	05/29/91	02/03/11	\$ 1,791.40	Missing	--	--
TELEFON DE MEX L ADR	879403780	100.00	05/29/91	02/03/11	\$ 1,791.54	Missing	--	--
TELEFON DE MEX L ADR	879403780	158.00	05/29/91	02/03/11	\$ 2,830.16	Missing	--	--
TELEFON DE MEX L ADR	879403780	637.00	05/29/91	02/03/11	\$ 11,410.72	Missing	--	--
Security Subtotal					\$ 21,496.11	--	--	--
Total Long-Term					\$ 32,693.14	--	--	--

Total Realized Gain or (Loss)
COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss)	\$ 7.78	\$ 7.87	\$ 0.00	(0.09)
Total Long-Term Realized Gain or (Loss)	\$ 32,693.14	\$ 0.00ⁱ	\$ 0.00	0.00ⁱ
Total Realized Gain or (Loss)	\$ 32,700.92	\$ 7.87ⁱ	\$ 0.00	(0.09)ⁱ

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

Date Prepared: February 10, 2012

Total Realized Gain or (Loss)(continued)

COST BASIS METHOD: Mutual Funds: Average; All Other Investments: First In First Out (FIFO)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
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Schwab has provided realized gain and loss information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. For explanation of Cost Basis Method, please refer to Cost Basis Disclosure. See Terms and Conditions.

When value for the report is unavailable, it is noted as follows: "Missing" or "--"

-- Schwab is not providing Cost Basis on this security type .

- Missing** Cost Basis may be missing due to one of the following reasons:
- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
 - The security was purchased more than 10 years ago.

Endnotes for Your Realized Gain or (Loss)

Symbol	Endnote Legend
i	Value includes incomplete cost basis.



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Date Prepared: February 10, 2012

SUMMARY OF FEES & EXPENSES

The information in the following sections may be helpful for, but not limited to, calculating your itemized deductions for Schedule A. Please consult with your tax advisor or financial advisor regarding specific questions.

Margin Interest

Description	Amount
Total Margin Interest Charged	\$ (139.38)

Date Prepared: February 10, 2012

COST BASIS DISCLOSURE**Changes to Cost Basis Reporting Requirements**

Starting with the 2011 tax year, Charles Schwab & Co. will be required to report adjusted cost basis and gain/loss details to you and the IRS on your Form 1099-B for covered securities in your taxable brokerage accounts that you sell and that are covered by the new Emergency Economic Stabilization Act regulations. In the event of transfers of securities, we are also required to report adjusted cost basis information to other custodians for covered securities transferred to them.

Schwab will treat securities as covered when they are acquired on or after the following effective dates:

- January 1, 2011 for stocks and other equities
- January 1, 2012 for mutual funds, ETFs, and Dividend Reinvestment Plan ("DRIP") shares
- January 1, 2013 for other securities (e.g., fixed income and options)

Because these new reporting requirements could impact the cost basis used to compute your taxable gains and losses, it's important to understand when and how they will apply to you. The information in this document is intended as informational only and is not individualized tax advice. Schwab does not provide tax advice and encourages you to consult with your tax professional to understand how the new reporting requirements will affect you and your tax situation.

What Does This Mean for You

1. When you sell covered securities, as described above, Schwab will be required to report details about your gain or loss to you and the IRS on Form 1099-B. You will continue to be responsible for reporting all cost basis information for both covered and uncovered securities to the IRS on your tax returns.
2. Unless you've indicated otherwise, Schwab will calculate your gains and losses using the IRS default cost basis method of Average Cost for mutual funds and FIFO (First In, First Out) for all other securities. It is your responsibility to choose the cost basis method appropriate to your tax situation. See "Cost Basis Methods" for the methods offered by Schwab.
3. When you sell a security, the cost basis method used to calculate your gain or loss cannot be changed after your trade settles. So it's important to consider the tax implications at the time of trade.

What is Cost Basis?

Cost basis is the original purchase price you paid for a security plus commissions and any fees. Adjusted cost basis includes any other adjustments to the price. Adjusted cost basis also includes any adjustments due to wash sales, amortization, accretion, and corporate actions.

Your realized gain/loss on a security is determined by subtracting the adjusted cost basis from the sales proceeds in an account less commissions and fees.

For covered securities that were not both purchased and sold at Schwab, Schwab calculates cost basis using data that you have provided to us or that we have obtained from third-party sources such as your prior custodian or another third-party source. Because the accuracy of cost basis data depends upon these third-party inputs, we are not able to guarantee the availability, accuracy, or completeness

of such cost basis data on transactions that did not occur at Schwab. If we are not provided with adequate information to report cost basis data for covered securities that were not both purchased and sold at Schwab, those securities will be considered uncovered and the cost basis will not be reported to the IRS even if acquired after the effective date.

Cost Basis Methods

It is your responsibility to choose the cost basis method appropriate to your tax situation. Failure to select the proper cost basis method may cause you to pay more tax than necessary. Schwab does not provide tax advice and encourages you to consult with your tax professional regarding this decision.

To view and change your default cost basis method on your brokerage account, go to the Service tab on schwab.com, then the Cost Basis Method page in Account Settings. You can also make a change by calling 800-435-4000. If your account is managed by an investment advisor, please call your advisor to make a change.

Default Cost Basis Methods

We apply the IRS default method of Average Cost for your mutual funds and the default method of FIFO (First In, First Out) to all other securities unless you inform us of a preferred method.

FIFO	Shares you acquired first are sold first
Average Cost	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.

Cost Basis Methods Offered by Schwab:

You may select a different cost basis method for your brokerage account other than the default methods described above. The following cost basis methods are offered by Schwab:

FIFO (First In, First Out)	Shares you acquired first are sold first
LIFO (Last In, First Out)	Shares you acquired last are sold first
HCOST (High Cost)	Shares with the highest cost are sold first
LCOST (Low Cost)	Shares with lowest cost are sold first

Tax Lot Optimizer™: Lots are selected and sold with the objective of taking losses first (short-term then long-term) and gains last (long-term then short-term). Lots are sold in this order:

Short Term Losses	Lots that reflect a short term loss are sold first, beginning with lots that generate the greatest short term loss down to the least short term loss
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Date Prepared: February 10, 2012

COST BASIS DISCLOSURE (continued)

Long Term Losses	Lots that reflect a long term loss are sold, beginning with lots that generate the greatest long term loss down to the least long term loss
Short Term No Gains or Losses	Short term lots that reflect no gain or loss
Long Term No Gains or Losses	Long term lots that reflect no gain or loss
Long Term Gains	Lots that reflect a long term gain, beginning with lots that generate the least long term gain up to the greatest long term gain.
Short Term Gains	Lots that reflect a short term gain, beginning with lots that generate the least short term gain up to the greatest short term gain.
Average Cost (mutual funds only)	Cost is derived by dividing the total dollar amount invested in a particular fund position by the number of shares held prior to the trade date. Shares are removed in FIFO order.
Specific Identification	The IRS allows taxpayers to identify specific lots to be sold. Such identification must be made with the broker at the time of trade and no later than close of business on the day the trade settles.

The cost basis method used to determine the gain/loss of a trade cannot be changed after the trade settles. If Schwab does not receive a specific instruction by the end of settlement date, we will use the current cost basis method you have selected for your account or the default cost basis method if you have made no alternate selection to calculate the gain/loss that is reported to you and the IRS at the end of year.

The Internal Revenue Service does not recognize LIFO, HCost, LCost and Tax Lot Optimizer as accounting methods, but it does recognize standing instructions to sell lots as adequate identification for reporting gains/losses.

Cost Basis Adjustments

Cost basis is generally based on your purchase price plus commissions and any fees; however the original cost basis may be subject to adjustments that increase or decrease your basis.

Schwab will adjust the cost basis of securities in your brokerage account in the following circumstances:

Wash Sales: If you sell shares at a loss and buy additional shares in the same security 30 days before or after that date (61-day range), you may not claim the loss on your tax return until you sell the new shares. If a wash sale occurs, the loss is disallowed for federal income tax purposes but may be added to the cost basis of the purchased shares. Schwab will adjust the cost basis and holding period of shares when a wash sale

occurs within an account as a result of a purchase of an identical security with the same CUSIP. It's important to note that the wash sale reporting requirements for you as a taxpayer are different and broader than the reporting requirements for Schwab. For more details on your wash sale reporting requirements, please see IRS publication 550 or speak with your tax professional.

Corporate Actions: In some instances corporate actions can affect cost basis of your securities. Regulations require issuers to provide statements describing the effects of a corporate action on the cost basis of a security. Schwab will adjust cost basis for corporate actions based on the information provided in the issuer statement.

Return of Capital Payments: Cost basis will be reduced for any return of capital (principal) distributions.

Option Adjustments: Schwab will adjust the cost basis or realized gain/loss (proceeds) of the underlying security for option assignments and exercises (by factoring the premium paid or received).

Inheritance: Schwab will adjust the cost basis of securities that have been inherited based on the fair market value on the date of death unless alternate instructions are received from an authorized representative of the estate.

Gifts: Shares will be gifted based on your default cost basis method unless otherwise specified with a given purchase date and cost prior to the gift transfer. Schwab will track the fair market value and gift date in addition to the donor's adjusted cost basis and will apply IRS gift rules at the time of sale to determine gain or loss.

You will still be required to track and make adjustments to your securities as it is possible that you could have other situations that require cost basis adjustments that Schwab is not required to report.

Please Note: This information is not intended to be a substitute for specific individualized tax, legal or investment planning advice. Where specific advice is necessary or appropriate, Schwab recommends consulting with a qualified tax advisor, CPA, financial planner or investment manager. Independent investment advisors are not owned by, affiliated with, or supervised by Schwab.

Foreign Investors should note that the information provided is from a U.S. tax perspective. The information provided may or may not have relevance in other jurisdictions.

Contact Us

If you have any questions or need more information about the cost basis methods or terminology in this Disclosure Statement, please call us at 1-800-435-4000. If your account is managed by an investment advisor, please call Schwab Alliance at 1-800-515-2157.

Date Prepared: February 10, 2012

TERMS AND CONDITIONS**GENERAL INFORMATION****Form 1099-Composite**

For U.S. taxpayers, the information reported to you on Form 1099 is given to the Internal Revenue Service (IRS). Form 1099-Composite is comprised of the following substitute forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-OID, and 1099-B. The form(s) you receive in Form 1099 Composite depends on the income reportable to the IRS.

Year-End Summary

The information in the Year-End Summary is provided to you as a courtesy, should you need additional information when completing your tax return. When relevant, IRS box numbers are referenced to indicate individual items that make up the totals appearing on your Form(s) 1099. With the exception of the IRS box numbers referenced, Charles Schwab does not provide the details of the Year-End Summary to the IRS.

We recommend that all customers consult their investment and tax advisors prior to using this information.

Corrected Form 1099 and Year-End Summary

We are required to send you a corrected form with the revisions clearly highlighted when we receive updates or revisions to information contained in the form. This generally occurs if one or more of the issuers of the securities in your account reallocated certain income distribution (e.g., dividends or capital gains) after we mailed your original Form 1099. As a result, the supplemental information in Year-End Summary may be updated. Upon receiving the corrected form, you may want to consider filing an amended return based on the changes in your taxable income as reported on your corrected Form 1099. We suggest that you consult with a qualified tax advisor, CPA, financial planner, or investment manager before you proceed.

Duplicate Form 1099 and Year-End Summary

If you request a duplicate Form 1099, please be aware that Schwab uses the most up-to-date information available at the time of the production. Thus, your duplicate Form 1099 and Year-End Summary may have more up-to-date information than the original Form 1099—please note that information mandated by the IRS is not updated for the duplicate form.

Realized Gain or (Loss) in Year-End Summary

Realized Gain or (Loss) section provides information for all your realized gain or (loss) transactions during the tax year. It contains all transactions in the Form 1099-B as well as transactions that are not reported on Form 1099-B (for example: sales and expirations of option activities, cash in lieu under \$20, negative proceed sales, bankruptcy, and worthless securities. This supplemental information is believed to be accurate as of the date the data was compiled but they may not be updated for any corrections after the data was initially compiled.

IN CASE OF QUESTIONS: If you have questions about this report or about specific Schwab accounts or Schwab One® transactions (other than wire transfers or check transactions), contact Schwab at 1-800-435-4000. If you have a complaint regarding your Schwab statement or our products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105. Outside of the U.S., call +1-415-667-5009.



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