

**Tax Year 2010****Date Prepared: February 7, 2011****Payer's Name and Address**CHARLES SCHWAB & CO., INC.  
211 MAIN STREET  
SAN FRANCISCO, CA 94105**Federal ID Number:** 94-1737782**Recipient's Name and Address**20110209-015774-CT09HR2C-SML\*1.2  
CLAUDE A.C. SIMON  
6 EDWARDS LN  
GLEN COVE NY

11542



015774

**Please Note!**

Some companies have not confirmed the final status of their distributions for tax reporting at this time. Revised Form 1099s will be issued should Schwab receive updated information from these companies. Please refer to the enclosed insert for additional information.

**Account Number:** 15 7765-3165**Taxpayer ID Number:** \*\*\*-\*\*-1158**Dividends and Distributions - 2010****Form 1099-DIV**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0110)

Box	Description	Amount	Total
1a	Total Ordinary Dividends (Includes amount shown in box 1b)	\$	\$ 8,926.59
1b	Qualified Dividends	6,159.23	
2a	Total Capital Gain Distributions (Includes amount shown in boxes 2b, 2c and 2d)		11.71
2b	Unrecap. Sec. 1250 Gain	0.00	
2c	Section 1202 Gain	0.00	
2d	Collectibles (28%) Gain	0.00	
3	Nondividend Distributions		0.00
4	<b>Federal Income Tax Withheld</b>		<b>0.00</b>
5	Investment Expenses		0.00
6	Foreign Tax Paid		26.72
8	Cash Liquidation Distributions		0.00
9	Noncash Liquidation Distributions		0.00

**Interest Income - 2010****Form 1099-INT**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0112)

Box	Description	Total
1	Interest income	\$ 0.00
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	<b>Federal Income Tax Withheld</b>	<b>0.00</b>
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
8	Tax-Exempt Interest	270.43
9	Specified Private Activity Bond Interest	8.73
10	Tax-Exempt Bond CUSIP No. (see instructions)	670976109

**Miscellaneous Income - 2010****Form 1099-MISC**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0115)

Box	Description	Total
2	Royalties	\$ 0.00
4	<b>Federal Income Tax Withheld</b>	<b>0.00</b>
8	Substitute Payments in Lieu of Dividends or Interest	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the I.R.S. determines that it has not been reported. If you should have any questions regarding information being reported on this form please call us at 1-800-435-4000.