

Tax Year 2010

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Date Prepared: February 7, 2011

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Federal ID Number: 94-1737782

Recipient's Name and Address

2010209-015774-CT09HR2C-SML*1,2
CLAUDE A. C. SIMON
6 EDWARDS LN
GLEN COVE NY

11542



015774

Please Note!

Some companies have not confirmed the final status of their distributions for tax reporting at this time. Revised Form 1099s will be issued should Schwab receive updated information from these companies. Please refer to the enclosed insert for additional information.

Account Number: 15 7765-3165

Taxpayer ID Number: ***-**-1158

Form 1099-DIV

Dividends and Distributions - 2010

Copy B for Recipient (OMB No. 1545-0110)

Box	Description	Amount	Total
1a	Total Ordinary Dividends (Includes amount shown in box 1b)	\$ 8,926.59	
1b	Qualified Dividends	6,159.23	
2a	Total Capital Gain Distributions (Includes amount shown in boxes 2b, 2c and 2d)		11.71
2b	Unrecap. Sec. 1250 Gain	0.00	
2c	Section 1202 Gain	0.00	
2d	Collectibles (28%) Gain	0.00	
3	Nondividend Distributions	0.00	
4	Federal Income Tax Withheld	0.00	
5	Investment Expenses	0.00	
6	Foreign Tax Paid	26.72	
8	Cash Liquidation Distributions	0.00	
9	Noncash Liquidation Distributions	0.00	

Interest Income - 2010

Form 1099-INT

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0112)

Box	Description	Total
1	Interest Income	\$ 0.00
3	Interest on U.S. Savings Bonds and Treasury Obligations	0.00
4	Federal Income Tax Withheld	0.00
5	Investment Expenses	0.00
6	Foreign Tax Paid	0.00
8	Tax-Exempt Interest	270.43
9	Specified Private Activity Bond Interest	8.73
10	Tax-Exempt Bond CUSIP No. (see instructions)	670976109

Miscellaneous Income - 2010

Form 1099-MISC

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0115)

Box	Description	Total
2	Royalties	\$ 0.00
4	Federal Income Tax Withheld	0.00
8	Substitute Payments in Lieu of Dividends or Interest	0.00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the I.R.S. determines that it has not been reported. If you should have any questions regarding information being reported on this form please call us at 1-800-435-4000.