

For Return Mail Purposes Only  
N9777-010  
PO BOX 5275  
SIOUX FALLS, SD 57117-5275



August 22, 2013

**Account Verification**  
Account number(s) ending in 2346

000116 12TB3134 2738 001 WRC BRR3  
DEIRDRE CLAIREAUX DORE IRA  
FCC AS CUSTODIAN  
6 EDWARDS LA  
GLEN COVE NY 11542-3209

We appreciate your choice to maintain your investment account(s) with Wells Fargo Advisors, LLC. We are committed to providing investment guidance tailored to your unique financial goals and circumstances.

The accuracy of your account information is vital to us. Therefore, we periodically furnish you a copy of certain account information. To help us better serve you, please take time to review the enclosed information and make updates if necessary.

We look forward to the continued privilege of serving your investment needs.

<b>If the enclosed information is correct and complete:</b>	<ul style="list-style-type: none"><li>No action is necessary.</li></ul>
<b>If the enclosed information is incorrect or incomplete:</b>	<ul style="list-style-type: none"><li>Please make the necessary changes on the enclosed Client Profile Information Verification form(s) and return in the postage paid envelope.</li></ul>
<b>If you have general questions or need to make changes to your account:</b>	<ul style="list-style-type: none"><li>Please contact your Financial Advisor.  WELLS FARGO ADVISORS SOLUTIONS 800-603-1584</li></ul>

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC. Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

Investments and insurance products are:

NOT FDIC-INSURED	NO BANK GUARANTEE	MAY LOSE VALUE
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OPSM-0003



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0000463

## Investment Objectives & Risk Tolerance

All investors have objectives or goals, such as seeking income, growth & income, growth, or trading or speculation. All investors also have risk tolerance, which is the amount of risk of loss they are willing and able to tolerate in order to achieve their investment goals. Although all investments involve risk, including the potential loss of principal, some securities, such as equities (stock), among others, involve more risk. Higher risk investments may have the potential for higher returns, but also have the potential for greater losses. Generally speaking, investment objectives are on a spectrum, with "Income" investors generally holding the smallest percentage of higher risk investments and "Trading and Speculation" investors holding the largest percentage of higher risk investments. Similarly, risk tolerances are on a spectrum such that an investor with a "Conservative" risk tolerance will accept less risk than an investor with a "Moderate" risk tolerance; a "Moderate" investor less than a "Long Term" investor; and a "Long Term" investor less than a "Trading & Speculation" investor. The "Long Term" investor accepts short term market volatility associated with a large proportion of higher risk investments because he or she has a long term time horizon and seeks the higher long term return potential associated with these higher risk investments.

Below are descriptions of the combined Investment Objective and Risk Tolerance, listed in order of least aggressive to most aggressive for both (Investment Objective and Risk Tolerance) categories. Please carefully consider your Investment Objective and Risk Tolerance for your account and select one combination on the attached Client Profile Information form. Your selection is your representation that you meet all the criteria described in the profile.

**Investment Objective- Income:** Income investors seek a maximum amount of income given their risk tolerance, and are willing to forgo capital appreciation and growth of income.

**Risk Tolerance- Conservative:** Conservative Income investors seek the maximum amount of income consistent with a modest degree of risk. They are willing to accept a lower level of income in exchange for lower risk. Higher risk investments, such as high yield bonds and some equities, are typically not a large percentage of the account.

**Risk Tolerance- Moderate:** Moderate Income investors seek to balance the potential risk of capital loss with increased income potential. Higher risk investments, such as high yield bonds and some equities, may be some percentage of the account.

**Risk Tolerance- Long Term:** Long Term Income investors seek a significant level of income, are financially able and willing to risk losing a substantial portion of investment capital, and, due to their long term horizon or other factors, they employ higher risk, more aggressive strategies that may offer higher potential income. Higher risk investments, such as high yield bonds and some equities, may be a significant percentage of the account.

**Investment Objective- Growth & Income:** Growth and Income investors seek current income, but also seek income and capital growth over time. These investors are willing to forgo a portion of current income in order to seek potential future growth.

**Risk Tolerance- Conservative:** Conservative Growth and Income investors seek the maximum growth and income consistent with a relatively modest degree of risk. They are willing to accept lower potential returns in exchange for lower risk. Equities, generally dividend paying equities, may be some percentage of the account.

**Risk Tolerance- Moderate:** Moderate Growth and Income investors seek to balance the risk of capital loss with higher potential growth and income. High yield bonds and equities, generally dividend paying equities, may be a significant percentage of the account.

**Risk Tolerance- Long Term:** Long Term Growth and Income investors seek a significant level of growth and income, are financially able and willing to risk losing a substantial portion of investment capital, and due to their long term horizon or other factors they pursue high risk, more aggressive strategies that may offer higher potential returns. High yield bonds and equities, generally dividend paying equities, may be the primary assets in the account.

**Investment Objective- Growth:** Growth investors do not seek account income and their primary objective is capital appreciation.

**Risk Tolerance- Conservative:** Conservative Growth investors seek maximum growth consistent with a relatively modest degree of risk. They are willing to accept lower potential returns in exchange for lower risk. Equities may be a significant percentage of the account.

**Risk Tolerance- Moderate:** Moderate Growth investors seek to balance the potential risk of capital loss with their goal of higher potential growth. Equities may be the primary asset in the account.

**Risk Tolerance- Long Term:** Long Term Growth investors seek a significant level of growth, are financially able and willing to risk losing a substantial portion of investment capital, and due to their long term time horizon or other factors, they employ higher risk, more aggressive strategies that may offer higher potential returns. Higher risk investments such as equities may be as much as 100% of the account.

**Trading & Speculation:** Trading and Speculation investors seek out maximum return through a broad range of investment strategies, which generally involve a high level of risk, including potential for significant loss of investment capital.

## Class Code Descriptions

- U Associates of Wells Fargo Advisors, their spouse, dependent children, or any other person who is supported directly or indirectly to a material extent by the associate.** Also included are accounts in which any of these individuals has a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships.
- V Non-dependent immediate family members of an associate of Wells Fargo Advisors which includes:** non-dependent children, parents, parents-in-law, brothers or sisters, brothers-in-law or sisters-in-law, sons-in-law or daughters-in-law, children or other persons supported directly or indirectly to a material extent by any other of these individuals, and any accounts in which they have a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships.
- W Employees or brokers of other security firms, their dependent accounts and accounts in which they have a financial or beneficial control or interest, such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships.**
- Y Associates of Wells Fargo & Company, their spouse, dependent children, or any other person who is supported directly or indirectly to a material extent by the associate.** Also included are accounts in which any of these individuals has a financial or beneficial control or interest such as guardian, custodian, trustee, executor, corporate or legal officer or agent, investment clubs, joint accounts or partnerships.
- Z Non-dependent immediate family members of an associate of Wells Fargo & Company which includes:** non-dependent children, parents, parents-in-law, brothers or sisters, brothers-in-law or sisters-in-law, sons-in-law or daughters-in-law, children or other persons supported directly or indirectly to a material extent by any of these individuals, and any accounts in which they have a financial or beneficial control or interest such as guardian, custodian, trustee, executor, in which they have a financial corporate or legal officer or agent, investment clubs, joint accounts or partnerships.

## Occupation Codes

- A** Proprietor, Professional, Managerial
- B** Information Technology Systems
- C** Craftsman, Skilled Worker
- D** Sales
- E** Administrative, Clerical
- F** Public Service
- G** Personal Service Provider
- H** Farming, Fishing, & Forestry

- I** Education
- J** Clergy
- K** Other
- L** Unemployed
- M** Retired
- N** Student
- P** Homemaker

## Financial Codes

- A** \$0 - \$49,999
- B** \$50,000 - \$99,999
- C** \$100,000 - \$199,999
- D** \$200,000 - \$499,999
- E** \$500,000 - \$999,999
- F** Update Required
- G** \$1,000,000 - \$4,999,999
- H** \$5,000,000 - \$9,999,999
- I** \$10,000,000 or more



Sub Firm# 001 Branch Code PSW2 FA Code P004 Account Number 2739-2346

The information we have on file for your account is provided on this document. Please review this information for accuracy. If the information is correct and complete, you do not need to do anything. If the information is incomplete or inaccurate, please make the change in the space provided and return the forms in the enclosed postage paid envelope. If any information on this document changes after your response to this mailing, please notify your Financial Advisor of those changes.

Neither your social security number nor date of birth have been pre-filled on this document. "On File" will appear in the field if we have your social security number and/or date of birth. If we are missing a social security number and/or date of birth for an owner on the account, a series of X's will be pre-filled on this document. Please provide the correct information in the space provided.

Account Profile Information

Any necessary changes to your mailing address may be made in the Address Lines provided below:

Account Title and Mailing Address  
DEIRDRE CLAIREAUX DORE IRA  
FCC AS CUSTODIAN  
6 EDWARDS LA  
GLEN COVE NY 11542-3209

Address Line  
Address Line (cont.)  
City State Zip+4  
Country (If foreign address)

Reference the financial codes on the reverse of the cover letter and make changes where applicable in the box provided to the right of the code we have currently for your account.

		Code
Financials	Annual Income	\$0 - \$49,999
	Net Worth (excluding primary residence)	\$0 - \$49,999
	Liquid Assets	\$0 - \$49,999

See the Investment Objective and Risk Tolerance definitions on reverse of the cover letter. If applicable, indicate a new Investment Objective and Risk Tolerance by checking one box from the list below.

Investment Objective and Risk Tolerance

Current Investment Objective and Risk Tolerance on your account: L TRADING & SPECULATION

<input type="checkbox"/> Conservative Income	<input type="checkbox"/> Conservative Growth & Income	<input type="checkbox"/> Conservative Growth	<input type="checkbox"/> Trading & Speculation
<input type="checkbox"/> Moderate Income	<input type="checkbox"/> Moderate Growth & Income	<input type="checkbox"/> Moderate Growth	
<input type="checkbox"/> Long Term Income	<input type="checkbox"/> Long Term Growth & Income	<input type="checkbox"/> Long Term Growth	

If changes have been made to this document, return in postage paid envelope or mail to:

H0004-014  
Wells Fargo Advisors, LLC  
PO Box 68534  
St. Louis, MO 63168  
United States of America

Wells Fargo Advisors, LLC, brokerage account(s) carried by First Clearing, LLC. Wells Fargo Advisors, LLC and First Clearing, LLC, Members FINRA/SIPC are separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company.

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N27392346



WFBRR

Primary Owner Information

First Name	DEIRDRE		
Middle Name	CLAIREAUX		
Last Name	DORE		
Additional Name			
Legal Address	6 EDWARDS LA		
Legal Address (continued)			
City	GLEN COVE		
State	NY	Domestic ZIP+4	11542
Country of Citizenship		Country of Residency	
SSN (If U.S. citizen or NRA):	ON FILE	Date of Birth (MMDDYYYY):	ON FILE
Home Phone:	Foreign Dialing Code:	Phone #:	212-683-9300
FINRA Information	Is Client, Client's Spouse or immediate relative employed by Wells Fargo Advisors or another FINRA Member or any other financial services company?	Current FINRA Status Class Code	<div> <div>                     If applicable, indicate how FINRA insider classification. See definitions on reverse of letter. If No, leave class code blank.                 </div> <div>                     New FINRA Status  <input type="radio"/> Yes   <input type="radio"/> No   Class Code                 </div> </div>
		No	