



Member FINRA/SIPC



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534 W 42ND ST APT 8
NEW YORK, NY 10036-6221



www.tdameritrade.com/taxinfo/1099.pdf

We are enclosing your **corrected Consolidated Form 1099** for tax year 2019. It reflects changes to some of your tax reporting information since we sent out your original form. (All changes are marked with a "c.") Please consult your tax advisor to learn how these changes may impact your tax reporting, or if you have any questions.

Why we send out corrected Consolidated Form 1099s

We send out your original Consolidated Form 1099 as early as possible, so you can file your taxes promptly. Sometimes, though, new information or "corrections" are reported to us by securities issuers after we've sent out the form.

This happens most often when an investment instrument, such as an equity, a mutual fund, or a Real Estate Investment Trust (REIT), reallocates payments it made for a previous tax year. For example, an issuer may reclassify ordinary dividends as return of capital.

When a correction occurs, the Internal Revenue Service (IRS) requires us to send out an updated Consolidated Form 1099 in order to accurately report the new information.

1099 Guide for Tax Year 2019

Please visit www.tdameritrade.com/1099guide to access our helpful **1099 Information Guide**.

Learn More

To learn more about your taxes and our tax reporting, please log in to your account and go to My Account > Tax Center. If you have any general tax questions, please write us by going to Client Services > Message Center. You can also call Client Services, 24/7, at 800-669-3900.*

*TD Ameritrade does not provide tax advice. We suggest you contact your tax-planning professional about your personal situation.

Market volatility, volume, and system availability may delay account access and trade executions.

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Member FDIC/NC

PO BOX 2209
OMAHA, NE 68103-2209
Client Services: 800-669-3900

PAYER'S TIN: 47-0533629

11. FATCA filing requirement (see instructions)

Tax Information
Account 866733665

Statement Date: 02/21/2020 CORRECTED
Document ID: 554Q N4U 73Z0

2019
Tax Information
Account 866733665

CLAUDE SIMON & CAROLYN JANE SIMON
JT TEN
534 W 42ND ST APT 8
NEW YORK, NY 10036-6221

RECIPIENT'S TIN: XXX-XX-1158

Summary Information

MISCELLANEOUS INCOME 2019 1099-MISC* OMB No. 1545-0115

1a- Total ordinary dividends (includes lines 1b, 5)	44.52 C
1b- Qualified dividends	44.52 C
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 gain	0.00
2d- Collectibles (28%) gain	0.00
3- Nondividend distributions	52.88 C
4- Federal income tax withheld	0.00
5- Section 199A dividends	0.00
6- Investment expenses	0.00
8- Foreign country or US possession:	7- Foreign tax paid:
9- Cash liquidation distributions	0.00
10- Noncash liquidation distributions	0.00
11- Exempt-interest dividends (includes line 12)	0.00
12- Specified private activity bond interest dividends (AMT)	0.00

SECTION 1256 CONTRACTS 2019 1099-B* OMB No. 1545-0715

8- Profit or (loss) realized in 2019 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2018	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2019	0.00
11- Aggregate profit or (loss) on contracts	0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term Form 8949 type **Proceeds** **Cost basis** **Market discount** **Wash sale loss disallowed** **Net gain or loss(-)**

Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00
	Total Short-term	0.00	0.00	0.00	0.00

Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00

Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00
	Grand total	0.00	0.00	0.00	0.00

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

Summary Information

(continued)

02/21/2020 CORRECTED

INTEREST INCOME

2019 1099-INT
is being furnished

OMB No. 1545-0112
venue Service.

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

imposed on you if this income is taxable and the IRS determines that it has not been reported.

income for your income tax return(s). The amounts shown in this statement when preparing your income tax return(s).

- 1- Interest income (not included in line 3)
- 2- Early withdrawal penalty
- 3- Interest on US Savings Bonds & Treasury Bonds
- 4- **Federal Income tax withheld**
- 5- Investment expenses
- 7- Foreign country or US possession
- 8- Tax-exempt interest (includes line 9)
- 9- Specified private activity bond interest
- 10- Market discount (covered lots)
- 11- Bond premium (covered lots)
- 12- Bond premium on Treasury obligations
- 13- Bond premium on tax-exempt bonds (*Tax-exempt obligations (covered lots)*)
- 14- Tax-exempt and tax credit bond CUSIP numbers (*Tax-exempt private activity obligations (covered lots)*)

Acquisition premium
Original issue discount
Acquisition premium
Acquisition premium
Tax-exempt OID
Acquisition premium
Acquisition premium
Tax-exempt OID on
Tax-exempt OID on
Acquisition premium
Acquisition premium
Market discount (all)
Early withdrawal premium

(covered lots)
(noncovered lots)
on Treasury obliga-
, Treasury obligations
, Treasury obligations
s not reported
(covered)
(lots not reported)
private activity bonds
private activity bonds
(AMT, covered)
(AMT, lots not report
lots)
ally

ed)
(lots not reported)

when preparing your tax return(s).

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES	The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).
Other Receipts & Reconciliations- Partnership distributions	95.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amount of your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld
1099-INT total withheld
1099-OLD total withheld
1099-MISC total withheld
1099-B total withheld

0.00000

TD Ameritrade Clearing, Inc.**2019****Detail for Dividends and Distributions**

Account 866733665

02/21/2020 CORRECTED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BLACKSTONE GROUP INC COM CL A	09260D107 BX		08/05/19	26.17	Nondividend distribution	03 C
			08/05/19	21.83	Qualified dividend	03 C
			11/12/19	26.71	Nondividend distribution	03 C
			11/12/19	22.29	Qualified dividend	03 C
				97.00	Total Dividends & distributions	
PJT PARTNERS INC COM CL A	69343T107 PJT		03/26/19	0.10	Qualified dividend	
			06/19/19	0.10	Qualified dividend	
			09/18/19	0.10	Qualified dividend	
			12/18/19	0.10	Qualified dividend	
				0.40	Total Dividends & distributions	
				97.40	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.**2019****Detail for Interest Income**

02/21/2020 CORRECTED

Account 866733665

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This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
FDIC INSURED DEPOSIT ACCOUNT CORE	9ZZZFD104	MMDA1	01/31/19	2.05	Interest- money mkt
NOT COVERED BY SIPC		02/28/19	2.05	Interest- money mkt	
		03/31/19	2.27	Interest- money mkt	
		04/30/19	1.86	Interest- money mkt	
		05/31/19	1.82	Interest- money mkt	
		06/30/19	1.76	Interest- money mkt	
		07/31/19	1.82	Interest- money mkt	
		08/31/19	1.14	Interest- money mkt	
		09/30/19	0.97	Interest- money mkt	
		10/31/19	0.45	Interest- money mkt	
		11/30/19	0.22	Interest- money mkt	
		12/31/19	0.23	Interest- money mkt	
		16.64		Total Interest	
			16.64		

TD Ameritrade Clearing, Inc.**2019****Other Receipts and Reconciliations**Account 866733665
02/21/2020 CORRECTED

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedules K-1 (Forms 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
BLACKSTONE GROUP LP NIC 7/1/19	09260D107	02/19/19	16.50	Partnership distribution	
		02/19/19	12.30	Partnership distribution	
		02/19/19	11.60	Partnership distribution	
		02/19/19	10.60	Partnership distribution	
		02/19/19	5.70	Partnership distribution	
		02/19/19	1.30	Partnership distribution	
		05/06/19	13.90	Partnership distribution	
		05/06/19	11.50	Partnership distribution	
		05/06/19	10.50	Partnership distribution	
		05/06/19	0.70	Partnership distribution	
		05/06/19	0.40	Partnership distribution	
			95.00	Total Partnership distribution	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to consider your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID). Copy A with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

Line 1b. Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet— in the instructions for Schedule D (Form 1040).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub 550.

Line 4. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 1040.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Lines 8, 9 and 10. This line should be left blank if a RIC reported the foreign tax shown on line 7.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8939. For a taxable security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 3. If the amount on line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the payment(s). If you did not want to amortize your premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not want to amortize your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown on line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2019 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be taxable. See Pub 550. This interest is exempt from state and local income taxes. This interest is not included on line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included on line 1.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. Report this amount on line 8a or Form 1040A. This amount may be subject to backup withholding. See line 4. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8a or Form 1040 or Form 1040A. This amount may be subject to backup withholding. See line 4. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions above for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, determine the net amount of interest includable in income on Form 1040 with respect to the security. If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 1. If the amount in line 11 is greater than the amount of interest paid to you by the amount of premium amortization, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest

includable in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported on this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 3. If the amount on line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported on this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest on line 8b or Form 1040A. If an amount is not reported on this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest on line 8 or 9, whichever is applicable. If the amount on line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) on which tax-exempt bond(s) on which taxable interest was paid or tax credit bond(s) on which taxable interest was paid or tax credit was allowed to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Line 15-17. State tax withheld reporting lines.

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