

PAYER'S name, address and phone number

Duke Energy Corporation
Investor Relations Department
PO Box 1005
Charlotte NC 28201-1005

Phone: 800.488.3853

RECIPIENT'S name and address

0005240 01 MB 0.485 **AUTO T4 0 2027 10036-622108 -C01



CLAUDE SIMON ADM
EST VICKI SIMON
534 WEST 42ND ST APT 8
NEW YORK NY 10036-6221



1a Description of property	OMB No. 1545-0715
6.5065 share(s) of Duke Energy Corporation common stock [DUK]	2021 Form 1099-B
1c Date sold or disposed 05/17/21	

**Proceeds From
Broker and
Barter Exchange
Transactions**

**Copy B
For Recipient**

This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



PAYER'S TIN

20-2777218

RECIPIENT'S TIN

XX-XXX6649

1d Proceeds

\$667.78

Account number

0001074048

CUSIP number

26441C204

6 Reported to IRS:

Gross Proceeds

Net Proceeds

Form **1099-B**

(Keep for your records)

Department of the Treasury - Internal Revenue Service

Shares liquidated	1b Date acquired	1d Proceeds	1e Cost or other basis	1g Wash sale loss disallowed	2 Type of gain or loss	4 Federal Income Tax Withheld	5 If checked, non covered security
0.2740 shares		\$28.12	\$24.33		short-term	\$0.00	

Report on Form 8949, with **Box A** checked:

0.2740 shares		\$28.12	\$24.33		short-term	\$0.00	
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Report on Form 8949, with **Box D** checked:

6.2325 shares		\$639.66	\$566.06		long-term	\$0.00	
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Instructions for Recipient:

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number.

Box 1a. Shows a brief description of the item or service for which amounts are being reported.

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates.

Box 1c. Shows the trade date of the sale or exchange.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts.

Box 1e. Shows the cost or other basis of securities sold. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. Short-term or **long-term** pertains to short-term gain or loss and long-term gain or loss. If box 5 is checked, box 2 may be blank.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, or stock purchased in or transferred to a dividend reinvestment plan before 2012.