



Ameritrade

Member FINRA/SIPC



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1099 Brochure for Tax Year 2017

Please visit www.tdameritrade.com/1099brochure to access our helpful **1099 Information Brochure**.

Go paperless

You have the choice to receive your tax documents electronically or by mail. If you choose electronic delivery*, you will stop receiving paper tax documents and instead will get an email with instructions on how to access and download your documents when they're available. To sign up for electronic delivery of your tax documents please log into your account and go to Client Services > My Profile > Communication Preferences.

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Ameritrade Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900		Tax Information Account 872678500 CLAUDE SIMON 534 W 42ND ST APT 8 NEW YORK, NY 10036-6221		Statement Date: 02/08/2018 Document ID: G66Y 03U F1HV	2017
PAYER'S Federal ID No: 47-0533629		RECIPIENT'S ID No: XXX-XX-1158			

(I) FATCA filing requirement (see instructions)

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2017 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2017 1099-MISC*	OMB No. 1545-0115
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1a- Total ordinary dividends (includes line 1b)		5,476.43	2- Royalties		0.00
1b- Qualified dividends		320.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)		0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain		0.00	8- Substitute payments in lieu of dividends or interest		0.00
2c- Section 1202 gain		0.00			
2d- Collectibles (28%) gain		0.00			
3- Nondividend distributions		2,863.71			

4- Federal income tax withheld		0.00	REGULATED FUTURES CONTRACTS 2017 1099-B*		OMB No. 1545-0715
5- Investment expenses		0.00	8- Profit or (loss) realized in 2017 on closed contracts		0.00
7- Foreign country or US possession: See detail		0.00	9- Unrealized profit or (loss) on open contracts-12/31/2016		0.00
8- Cash liquidation distributions		253.71	10- Unrealized profit or (loss) on open contracts-12/31/2017		0.00
9- Noncash liquidation distributions		0.00	11- Aggregate profit or (loss) on contracts		0.00
10- Exempt-interest dividends (includes line 11)		0.00			
11- Specified private activity bond interest dividends (AMT)		0.00			

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	25,000.00	25,000.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	25,000.00	25,000.00	0.00	0.00	0.00
Long	D (basis reported to the IRS)	76,998.33	74,663.27	0.00	0.00	2,335.06
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	76,998.33	74,663.27	0.00	0.00	2,335.06
Undetermined	B or E (basis not reported to the IRS)	131.17	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	131.17	0.00	0.00	0.00	0.00
	Grand total	102,129.50	99,663.27	0.00	0.00	2,335.06
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2017 may require an amended tax form.

Summary Information
(continued)

INTEREST INCOME 2017 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.92
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	0.00
6- Foreign tax paid:	1,250.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	908.00
Tax-exempt obligations (covered lots)	908.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	288.19
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2017	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual-UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	1,053.54
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	131.17
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 872678500

2017 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

02/08/2018

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
METROPOLITAN TRANSN AUTH N Y R REV BDS / CUSIP: 59259YT74 / Symbol:							
11/15/17	25,000.000	25,000.00	02/03/17	25,000.00	...	0.00	Bond maturity
Totals :		25,000.00		25,000.00		0.00	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 3)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN EXPRESS CO COM / CUSIP: 025816109 / Symbol: AXP							
01/31/17	1,000.000	76,998.33	09/01/15	74,663.27	...	2,335.06	Sale
Totals :		76,998.33		74,663.27		2,335.06	

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 3)

*Date acquired, *Cost or other basis, *Accrued market discount, *Wash sale loss disallowed, and *Gain or loss (-) are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD							
02/03/17	0.000	11.45	N/A	...	...	...	Principal payment ¹⁶
03/06/17	0.000	10.76	N/A	...	...	...	Cost Basis Factor: 0.000329832 Principal payment ¹⁶
04/07/17	0.000	11.91	N/A	...	...	...	Cost Basis Factor: 0.000306097 Principal payment ¹⁶
							Cost Basis Factor: 0.000332880

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TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account 872678500

2017 1099-B* OMB No. 1545-0715

02/08/2018

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 3)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TR GOLD SHS ETF / CUSIP: 78463V107 / Symbol: GLD (cont'd)							
05/04/17	0.000	11.81	N/A	...	...	...	Principal payment 16
06/06/17	0.000	12.02	N/A	...	...	...	Cost Basis Factor: 0.000336565
07/10/17	0.000	12.16	N/A	...	...	...	Principal payment 16
08/07/17	0.000	12.55	N/A	...	...	...	Cost Basis Factor: 0.000325639
09/07/17	0.000	11.81	N/A	...	...	...	Principal payment 16
10/05/17	0.000	12.21	N/A	...	...	...	Cost Basis Factor: 0.000351616
11/06/17	0.000	12.51	N/A	...	...	...	Principal payment 16
12/05/17	0.000	11.98	N/A	...	...	...	Cost Basis Factor: 0.000349841
Totals :		131.17		...	...	...	Principal payment 16
Security total:		131.17		...	...	...	Cost Basis Factor: 0.000308183
				...	...	...	Principal payment 16
				...	...	...	Cost Basis Factor: 0.000336074
				...	...	...	Principal payment 16
				...	...	...	Cost Basis Factor: 0.000345455
				...	...	...	Principal payment 16
				...	...	...	Cost Basis Factor: 0.000332194

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TD Ameritrade Clearing, Inc.

Account 872678500

Detail for Dividends and Distributions

2017

02/08/2018

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ABERDEEN ASIA-PAC PRIME INC COM	003009107	FAX	01/30/17	364.08	Nonqualified dividend	03
			01/30/17	277.43	Nondividend distribution	03
			01/30/17	-18.86	Foreign tax withheld-Variou	03
			02/28/17	366.73	Nonqualified dividend	03
			02/28/17	279.45	Nondividend distribution	03
			02/28/17	-18.99	Foreign tax withheld-Variou	03
			03/27/17	369.31	Nonqualified dividend	03
			03/27/17	281.42	Nondividend distribution	03
			03/27/17	-19.13	Foreign tax withheld-Variou	03
			04/28/17	371.91	Nonqualified dividend	03
			04/28/17	283.40	Nondividend distribution	03
			04/28/17	-19.26	Foreign tax withheld-Variou	03
			05/26/17	374.52	Nonqualified dividend	03
			05/26/17	285.39	Nondividend distribution	03
			05/26/17	-19.40	Foreign tax withheld-Variou	03
			06/27/17	377.12	Nonqualified dividend	03
			06/27/17	287.38	Nondividend distribution	03
			06/27/17	-19.53	Foreign tax withheld-Variou	03
			07/31/17	379.74	Nonqualified dividend	03
			07/31/17	289.37	Nondividend distribution	03
			07/31/17	-19.67	Foreign tax withheld-Variou	03
			08/28/17	382.30	Nonqualified dividend	03
			08/28/17	291.32	Nondividend distribution	03
			08/28/17	-19.80	Foreign tax withheld-Variou	03
			09/29/17	384.88	Nonqualified dividend	03
			09/29/17	293.28	Nondividend distribution	03
			09/29/17	-19.93	Foreign tax withheld-Variou	03
			10/27/17	387.49	Nonqualified dividend	03
			10/27/17	295.27	Nondividend distribution	03
			10/27/17	-20.07	Foreign tax withheld-Variou	03
			11/29/17	696.69	Nonqualified dividend	03
			11/29/17	-29.43	Foreign tax withheld-Variou	03
			01/08/18	701.66	Nonqualified dividend	03
			01/08/18	-29.64	Foreign tax withheld-Variou	03
				8,020.14	Total Dividends & distributions	
				-253.71	Total Foreign tax withheld	
AMERICAN EXPRESS CO COM	025816109	AXP	02/10/17	320.00	Qualified dividend	

TD Ameritrade Clearing, Inc.

Account 872678500

Detail for Interest Income

2017

02/08/2018

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		02/28/17	0.25	Credit interest	
		03/31/17	0.23	Credit interest	
		04/28/17	0.02	Credit interest	
		06/30/17	0.18	Credit interest	
		07/31/17	0.17	Credit interest	
		09/29/17	0.02	Credit interest	
		11/30/17	0.02	Credit interest	
		12/29/17	0.03	Credit interest	
			0.92	Total Interest	
			0.92	Total Interest	

TD Ameritrade Clearing, Inc.

Account 872678500

2017

02/08/2018

Detail for Tax-Exempt Interest

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction Type	Notes
METROPOLITAN TRANSN AUTH N Y R REV BDS	59259YT74	NY	02/08/17	-288.19	Tax-exempt accrued interest pd	
			05/14/17	-328.22	Bd prem covered	
			05/15/17	625.00	Tax-exempt interest	
			11/14/17	-579.78	Bd prem covered	
			11/15/17	625.00	Tax-exempt interest	
				1,250.00	Total Tax-exempt interest	
				-288.19	Total Accrued interest paid	
				-908.00	Total Bond premium- covered lot	

State of residence (NY)

Tax-exempt interest	1,250.00
Accrued interest paid	-288.19
Bond premium- covered lot	-908.00

TD Ameritrade Clearing, Inc.

Account 872678500

Fees and Expenses

2017

02/08/2018

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged					
		01/31/17	-192.62	Margin interest paid	
		02/28/17	-24.21	Margin interest paid	
		04/28/17	-11.41	Margin interest paid	
		05/31/17	-112.51	Margin interest paid	
		06/30/17	-96.85	Margin interest paid	
		08/31/17	-204.09	Margin interest paid	
		09/29/17	-261.64	Margin interest paid	
		10/31/17	-84.22	Margin interest paid	
		11/30/17	-53.90	Margin interest paid	
		12/29/17	-12.09	Margin interest paid	
			-1,053.54	Total Margin interest paid	
SPDR GOLD TR GOLD SHS ETF 78463V107 GLD		02/03/17	-11.45	Gross proceeds investment expense	15
		03/06/17	-10.76	Gross proceeds investment expense	15
		04/07/17	-11.91	Gross proceeds investment expense	15
		05/04/17	-11.81	Gross proceeds investment expense	15
		06/06/17	-12.02	Gross proceeds investment expense	15
		07/10/17	-12.16	Gross proceeds investment expense	15
		08/07/17	-12.55	Gross proceeds investment expense	15
		09/07/17	-11.81	Gross proceeds investment expense	15
		10/05/17	-12.21	Gross proceeds investment expense	15
		11/06/17	-12.51	Gross proceeds investment expense	15
		12/05/17	-11.98	Gross proceeds investment expense	15
			-131.17	Total Gross proceeds investmnt exp	
			-1,053.54	Total Margin interest paid	
			-131.17	Total Gross proceeds investmnt exp	

Account 872678500

02/08/2018

Mutual Fund and UIT Supplemental Information

FOREIGN SOURCE INCOME PERCENTAGES

26.29%	Fgn Source Inc Qual
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Fgn Source Inc Adj

0.00%

TD Ameritrade Clearing, Inc.

Account 872678500

Foreign Income and Taxes Summary

2017

02/08/2018

This schedule lists all income and foreign tax by country. Foreign withholding from mutual funds is listed in a separate section with a country designation of "RIC."

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
Total	ABERDEEN ASIA-PAC PRIME INC COM	003009107	5,156.43	0.00	0.00	5,156.43	-253.71
			5,156.43	0.00	0.00	5,156.43	-253.71
Grand Total	Total Foreign Source Income*			5,156.43			
	Qualified Foreign Source Income**			0.00			
	Foreign Tax			-253.71			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

TD Ameritrade Clearing, Inc. 2017 End Notes for Account 872678500

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).

16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 \times 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.

Common Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nonrecipients. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners (as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Line 1b. Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A and treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on line 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1a.

Line 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Line 7. This line should be left blank if a regulated investment company reported the foreign tax shown in line 6.

Lines 8 and 9. Shows cash and noncash liquidation distributions.

Line 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See line 4.

Line 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 10. See the instructions for Form 6251.

Lines 12-14. State income tax withheld reporting lines.

Instructions for Recipient

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zero academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2017 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1. **Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See line 4. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported on this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 3. If the amount on line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported on this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on line 8b of Form 1040 or Form 1040A. If an amount is not reported on this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest on line 8 or 9, whichever is applicable. If the amount on line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Line 15--17. State tax withheld reporting lines.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which your own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. This column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or exercised on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or exercised before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the instructions for Form 8949, instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1f may be blank.

Instructions for Recipient

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, "Instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If line 5 is checked, line 2 may be blank.

Line 3. If the basis in column 1d has been reported to the IRS, short or long term or ordinary must be indicated on line 2. If line 3 indicates basis is reported to IRS on Form(s) 1099-B and NO adjustment is required, see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If Ordinary gain or loss is indicated for the transaction, an adjustment may be required.

Line 4. See "Backup Withholding" section.

Line 5. If the securities sold were noncovered securities columns 1b, 1c, 1f, 1g may be blank and line 2 may indicate short, long or undetermined term. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, proceeds are from a transaction involving collectibles.

Lines 14-16. State income tax withheld reporting lines.



Ameritrade

Member FINRA/SIPC



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CLAUDE SIMON
534 W 42ND ST 8TH FLOOR
NEW YORK, NY 10036-6221



1099 Brochure for Tax Year 2017

Please visit www.tdameritrade.com/1099brochure to access our helpful **1099 Information Brochure**.

Go paperless

You have the choice to receive your tax documents electronically or by mail. If you choose electronic delivery*, you will stop receiving paper tax documents and instead will get an email with instructions on how to access and download your documents when they're available. To sign up for electronic delivery of your tax documents please log into your account and go to Client Services > My Profile > Communication Preferences.

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Ameritrade Member FINRA/SIPC	Tax Information Account 488499586	Statement Date: 02/08/2018 Document ID: 7337 K30 K0Q2	2017
PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	CHARLES HENRY PROPERTIES LLC ATTN CLAUDE SIMON 534 W 42ND ST 8TH FLOOR NEW YORK, NY 10036-6221		
PAYER'S Federal ID No: 47-0533629			
RECIPIENT'S ID No: XX-XXX6945			

Summary Information			
DIVIDENDS AND DISTRIBUTIONS		2017 1099-DIV*	OMB No. 1545-0110
1a- Total ordinary dividends (includes line 1b)	1,535.91		
1b- Qualified dividends	67.50		
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		
2b- Unrecaptured Section 1250 gain	0.00		
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
3- Nondividend distributions	0.00		
4- Federal income tax withheld	0.00		
5- Investment expenses	0.00		
7- Foreign country or US possession:	0.00		
8- Cash liquidation distributions	0.00		
9- Noncash liquidation distributions	0.00		
10- Exempt-interest dividends (includes line 11)	0.00		
11- Specified private activity bond interest dividends (AMT)	0.00		
* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.			

OMB No. 1545-0115

MISCELLANEOUS INCOME

2- Royalties 0.00

3- Other income 0.00

4- Federal income tax withheld 0.00

8- Substitute payments in lieu of dividends or interest 0.00

REGULATED FUTURES CONTRACTS 2017 1099-B*

OMB No. 1545-0715

8- Profit or (loss) realized in 2017 on closed contracts 0.00

9- Unrealized profit or (loss) on open contracts-12/31/2016 0.00

10- Unrealized profit or (loss) on open contracts-12/31/2017 0.00

11- Aggregate profit or (loss) on contracts 0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING					
Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.					
Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed
Short	A (basis reported to the IRS)	49,716.98	51,198.88	0.00	0.00
Short	B (basis not reported to the IRS)	19,836.58	19,989.99	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00
Total Short-term		69,553.56	71,188.87	0.00	0.00
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00
Total Undetermined-term		0.00	0.00	0.00	0.00
Grand total		69,553.56	71,188.87	0.00	0.00
Withholding					
Federal income tax withheld		Amount			
		0.00			
					-1,635.31

Changes to dividend tax classifications processed after your original tax form is issued for 2017 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 488499586

2017

(continued)

02/08/2018

INTEREST INCOME 2017 1099-INT

OMB No. 1545-0012

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	40.15	0.00
2- Early withdrawal penalty	0.00	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00	0.00
4- Federal income tax withheld	0.00	0.00
5- Investment expenses	0.00	0.00
7- Foreign country or US possession:	0.00	0.00
8- Tax-exempt interest (includes line 9)	1,000.00	0.00
9- Specified private activity bond interest (AMT)	0.00	0.00
10- Market discount (covered lots)	0.00	0.00
11- Bond premium (covered lots)	0.00	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00	0.00
13- Bond premium on tax-exempt bonds (categorized below)	720.56	0.00
Tax-exempt obligations (covered lots)	720.56	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail	0.00

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	182.64
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2017	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	19.99
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account 488499586

2017 1099-B* OMB No. 1545-0715

02/08/2018

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
FIDELITY MSCI FINLS IDX ETF / CUSIP: 316092501 / Symbol: FNCL							
03/27/17	500.000	17,127.68	02/06/17	17,608.39	...	-480.71	Sale
ISHARES RUS 2000 VAL ETF / CUSIP: 464287630 / Symbol: IWN							
01/30/17	100.000	11,849.74	01/03/17	12,056.00	...	-206.26	Sale
MICHAEL KORS HOLDINGS COM / CUSIP: G60754101 / Symbol: KORS							
01/30/17	500.000	20,739.56	12/30/16	21,534.49	...	-794.93	Sale
Totals :		49,716.98		51,198.88		-1,481.90	

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 3)
"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
DEUTSCHE BK AG LONDON ETN 14/11/22 (LKD DJ HY SEL / CUSIP: 25153Q658 / Symbol: DOD							
02/01/17	1,000.000	19,836.58	02/01/17	19,989.99	...	-153.41	Sale
Totals :		19,836.58		19,989.99		-153.41	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 488499586

Detail for Dividends and Distributions

2017

02/08/2018

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DOUBLELINE TOTAL RETURN BOND I	258620103	DBLT	08/31/17	292.97	Nonqualified dividend	
			09/29/17	292.87	Nonqualified dividend	
			10/31/17	297.52	Nonqualified dividend	
			11/30/17	293.64	Nonqualified dividend	
			12/29/17	280.71	Nonqualified dividend	
				1,457.71	Total Dividends & distributions	
FIDELITY MSCI FINLS IDX ETF	316092501	FNCL	03/23/17	67.50	Qualified dividend	03
PROSHARES PSHS SHORT DOW30 ETF	74347R701	DOG	01/04/18	10.70	Nonqualified dividend	

1,535.91 Total Dividends & distributions

TD Ameritrade Clearing, Inc.

Account 488499586

Detail for Interest Income

2017

02/08/2018

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		02/28/17	0.02	Credit interest	
		09/29/17	0.03	Credit interest	
			0.05	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE	9ZZZFD104	01/31/17	2.10	Interest- money mkt	
NOT COVERED BY SIPC	MMDA1	01/31/17	0.18	Interest- money mkt	
		02/28/17	1.63	Interest- money mkt	
		02/28/17	0.04	Interest- money mkt	
		03/31/17	1.70	Interest- money mkt	
		04/30/17	1.67	Interest- money mkt	
		05/31/17	1.54	Interest- money mkt	
		06/30/17	1.47	Interest- money mkt	
		07/31/17	1.52	Interest- money mkt	
		08/31/17	5.54	Interest- money mkt	
		09/30/17	4.44	Interest- money mkt	
		10/31/17	6.09	Interest- money mkt	
		11/30/17	5.91	Interest- money mkt	
		12/31/17	6.27	Interest- money mkt	
			40.10	Total Interest	
			40.15	Total Interest	

TD Ameritrade Clearing, Inc.

Account 488499586

2017

02/08/2018

Detail for Tax-Exempt Interest

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction Type	Notes
METROPOLITAN TRANS AUTH REV BDS	59261ABW6	NY	02/08/17	-172.92	Tax-exempt accrued interest pd	
			05/14/17	-178.84	Bd prem covered	
			05/15/17	375.00	Tax-exempt interest	
			11/14/17	-316.22	Bd prem covered	
			11/15/17	375.00	Tax-exempt interest	
				750.00	Total Tax-exempt interest	
				-172.92	Total Accrued interest paid	
				-495.06	Total Bond premium- covered lot	
NEW YORK CITY NY TRANSITIONAL REV BDS	64971WHK9	NY	02/08/17	-9.72	Tax-exempt accrued interest pd	
			07/31/17	-225.50	Bd prem covered	
			08/01/17	250.00	Tax-exempt interest	
				250.00	Total Tax-exempt interest	
				-9.72	Total Accrued interest paid	
				-225.50	Total Bond premium- covered lot	

State of residence (NY)

Tax-exempt interest	1,000.00
Accrued interest paid	-182.64
Bond premium- covered lot	-720.56

TD Ameritrade Clearing, Inc.

Account 488499586

Fees and Expenses

2017

02/08/2018

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ISHARES RUS 2000 VAL ETF	464287630 IWN	01/30/17	-19.99	Miscellaneous fee	
			-19.99	Total Miscellaneous fee	

TD Ameritrade Clearing, Inc.

Account 488499586

2017

02/08/2018

Mutual Fund and UIT Supplemental Information

DOUBLELINE TOTAL RETURN BOND I / 258620103 / DBLTX

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

2.79% (A detailed breakdown is shown below when available)

Agency	%	Agency	%
U.S. Treasury	2.29	Fed Farm Credit	0.00
Fed Home Loan	0.50	Student Loan	0.00
		TN Valley Auth	0.00
		Other Dir. Fed	0.00

PROSHARES PSHS SHORT DOW30 ETF / 74347R701 / DOG

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

97.45% (A detailed breakdown is shown below when available)

Agency	%	Agency	%
U.S. Treasury	97.45	Fed Farm Credit	0.00
Fed Home Loan	0.00	Student Loan	0.00
		TN Valley Auth	0.00
		Other Dir. Fed	0.00

TD Ameritrade Clearing, Inc. 2017 End Notes for Account 488499586

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Instructions for Recipient

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2017 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of line savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See line 4. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 or 1040A. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the

Common Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nonlinees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners (as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Line 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b of Form 1040 or 1040A. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown on line 2a on Schedule D (Form 1040), line 13. But, if no amount is shown on line 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet—Line 19 in the Schedule D instructions (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet—Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included on line 1a.

Line 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Line 7. This line should be left blank if a regulated investment company reported the foreign tax shown in line 6.

Lines 8 and 9. Shows cash and noncash liquidation distributions.

Line 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See line 4.

Line 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 10. See the instructions for Form 6251.

Lines 12-14. State income tax withheld reporting lines

Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported on this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 3. If the amount on line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported on this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on line 8b of Form 1040 or Form 1040A. If an amount is not reported on this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest on line 8 or 9, whichever is applicable. If the amount on line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Line 15-17. State tax withheld reporting lines

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which your stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. This column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the instructions for Form 8949, instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1f may be blank.

Instructions for Recipient

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions. Instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If line 5 is checked, line 2 may be blank.

Line 3. If the basis in column 1e has been reported to the IRS, short or long term or ordinary must be indicated on line 2. If line 3 indicates basis is reported to IRS on Form(s) 1099-B and NO adjustment is required, see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If Ordinary gain or loss is indicated for the transaction, an adjustment may be required.

Line 4. See "Backup Withholding" section.

Line 5. If the securities sold were noncovered securities columns 1b, 1e, 1f, 1g may be blank and line 2 may indicate short, long or undetermined term. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, proceeds are from a transaction involving collectibles.

Lines 14-16. State income tax withheld reporting lines.

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