

Morgan Stanley

Account Owner

MSB FBO CLAUDE SIMON
254 FIFTH AVENUE
3RD FLOOR
NEW YORK NY 10001-6406

1099 Consolidated Tax Statement Tax Year 2013 - ORIGINAL

Date Issued

February 10, 2014

Your Financial Advisor

The Apollo Group
787 7TH AVENUE 36TH FLOOR
NEW YORK, NY 10019
212-492-6335

Account Number

052 062431 222

Customer Service: 866-324-6088

This Morgan Stanley 1099 Consolidated Tax Statement for 2013 is your official tax information. Please use the information in this document when preparing your tax return. It is important to note that the income information reported on your year-end account statement may not include certain adjustments occurring after year-end that are reflected on your 1099 and that are necessary for tax reporting purposes.

The following tax forms are not included in this statement and are sent individually in separate mailings, if required: 1099-Q, 1042-S, 2439, 5498, 5498-ESA, REMIC, Schedule K-1 and Puerto Rico 480.6A, B, C & D.

Morgan Stanley is pleased to provide you with the ability to download your tax information into the following individual tax preparation software applications: **TurboTax®**, **H&R Block Tax Software®**, **CompleteTax®**, **Lacerte®** and **ProSystem fx®**. You also have the ability to download Realized Gain/Loss transactions into Microsoft Excel® from Morgan Stanley Online. You must be registered with Morgan Stanley Online to take advantage of these features. To enroll in Morgan Stanley Online, please visit www.morganstanley.com/online.

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***** WARNING - CORRECTED TAX FORMS POSSIBLE *****

The 1099 forms included in your Morgan Stanley Consolidated Tax Statement were prepared based upon information provided by the issuer of each security you own. Subsequent to the printing and mailing of your tax statement the issuer may change the tax status of a distribution reported to you requiring us to send you one or more corrected tax statements. For more information please refer to the Tax Messages section of this statement.

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**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

MSB FBO CLAUDE SIMON
254 FIFTH AVENUE
3RD FLOOR
NEW YORK NY 10001-6406

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: XXX-XX-1158
Account Number: 052 062431 222

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

IRS BOX	2013 FORM 1099-DIV - DIVIDENDS AND DISTRIBUTIONS OMB NO. 1545-0110		IRS BOX	2013 FORM 1099-INT - INTEREST INCOME OMB NO. 1545-0112	
1a.	TOTAL ORDINARY DIVIDENDS	\$159,078.91	1.	INTEREST INCOME	\$198.87
1b.	QUALIFIED DIVIDENDS	\$0.00	2.	EARLY WITHDRAWAL PENALTY	\$0.00
2a.	TOTAL CAPITAL GAIN DISTRIBUTIONS	\$0.00	3.	INTEREST ON U.S. SAVINGS BONDS AND TREASURY OBLIGATIONS	\$0.00
2b.	UNRECAP. SEC. 1250 GAIN	\$0.00	4.	FEDERAL INCOME TAX WITHHELD	\$0.00
2d.	COLLECTIBLES (28%) GAIN	\$0.00	5.	INVESTMENT EXPENSES	\$0.00
3.	NON-DIVIDEND DISTRIBUTIONS	\$0.00	6.	FOREIGN TAX PAID	\$0.00
4.	FEDERAL INCOME TAX WITHHELD	\$0.00	8.	TAX-EXEMPT INTEREST	\$0.00
5.	INVESTMENT EXPENSES	\$0.00	9.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST	\$0.00
6.	FOREIGN TAX PAID	\$0.00	10.	TAX-EXEMPT BOND CUSIP NO.	
8.	CASH LIQUIDATION DISTRIBUTIONS	\$0.00			
9.	NON-CASH LIQUIDATION DISTRIBUTIONS	\$0.00			
10.	EXEMPT-INTEREST DIVIDENDS	\$152,595.79			
11.	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	\$16,111.07			
IRS BOX	2013 FORM 1099-OID - ORIGINAL ISSUE DISCOUNT OMB NO. 1545-0117		IRS BOX	2013 FORM 1099-MISC - MISCELLANEOUS INCOME OMB NO. 1545-0115	
1.	ORIGINAL ISSUE DISCOUNT FOR 2013	\$0.00*	1.	RENTS	\$0.00
2.	OTHER PERIODIC INTEREST	\$0.00	2.	ROYALTIES	\$0.00
4.	FEDERAL INCOME TAX WITHHELD	\$0.00	3.	OTHER INCOME	\$0.00
8.	OID ON U.S. TREASURY OBLIGATIONS	\$0.00*	4.	FEDERAL INCOME TAX WITHHELD	\$0.00
9.	INVESTMENT EXPENSES	\$0.00	8.	SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	\$0.00
IRS BOX	2013 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715		IRS BOX	2013 FORM 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715	
2a.	SALES PRICE OF STOCKS, BONDS, ETC		2a.	SALES PRICE OF STOCKS, BONDS, ETC	\$8,641,168.82
	NONCOVERED SECURITIES			NONCOVERED SECURITIES	\$0.00
	COVERED SECURITIES			COVERED SECURITIES	\$8,641,168.82
3.	COST AND OTHER BASIS		3.	COST AND OTHER BASIS	\$9,050,835.43
4.	FEDERAL INCOME TAX WITHHELD		4.	FEDERAL INCOME TAX WITHHELD	\$0.00
5.	WASH SALE LOSS DISALLOWED		5.	WASH SALE LOSS DISALLOWED	\$0.00

*This may not be the correct figure to report on your income tax return.
See instructions on the back.

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (TIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS, and, where applicable, to state and/or local governments.

Account Number. May show an account or other unique number the payer assigned to distinguish your account.

Form 1099-DIV (OMB No. 1545-0110)

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on line 9a of Form 1040 or 1040A. Also, report it on Schedule B (1040A or 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040A instructions for how to determine this amount. Report the eligible amount on line 9b, Form 1040 or 1040A.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040A but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company or real estate investment trust. Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2c-2d and you only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on line 13 of Form 1040 (line 10 of Form 1040A) rather than Schedule D. See the Form 1040/1040A instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. Report this amount on the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Schedule D instructions (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to a 50% exclusion and certain empowerment zone business stock that may be subject to a 60% exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the instructions for Schedule D (Form 1040).

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550, Investment Income and Expenses.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows your share of expenses of a nonpublicly offered regulated investment company, generally a nonpublicly offered mutual fund. If you file Form 1040, you may deduct these expenses on the "Other expenses" line on Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1a.

Box 6. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Box 7. This box should be left blank if a regulated investment company reported the foreign tax shown in box 6.

Boxes 8 and 9. Shows cash and noncash liquidation distributions.

Box 10. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. Include this amount on line 8b of Form 1040 or 1040A as tax-exempt interest. This amount may be subject to backup withholding. See box 4.

Box 11. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 10. See the instructions for Form 6251.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2013 General Instructions for Certain Information Returns.

Form 1099-INT (OMB No. 1545-0112)

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, qualified forestry conservation bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2013 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912, Credit to Holders of Tax Credit Bonds.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 1.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 or Form 1040A. This amount may be subject to backup withholding. See box 4.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251.

Box 10. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid to you during the calendar year and reported in box 8. If blank, no CUSIP number was issued for the bond(s).

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other.

Form 1099-OID (OMB No. 1545-0117)

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID is taxable as interest over the life of the obligation. If you are the holder of an OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550, Investment Income and Expenses, for more information. If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, Annual Summary and Transmittal of U.S. Information Returns, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A husband or wife is not required to file a nominee return to show amounts owned by the other. If you bought or sold an obligation during the year and you are not a

nominee, you are not required to file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

Box 1. Shows the OID on the obligation for the past of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID.

Box 2. Shows other interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions.

Box 6. Shows the country or U.S. possession to which the foreign tax was paid.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1.

Box 9. Any amount shown is your share of investment expenses of a single class REMIC. If you file Form 1040, you may deduct these expenses on the "Other expenses" line of Schedule A (Form 1040) subject to the 2% limit. This amount is included in box 2.

Form 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8, CUSIP number. For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 1a. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b. This box may be blank if box 6a is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a. Shows the aggregate cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts. The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) and option premiums was reported to the IRS. Report this amount on Form 8949 or on Schedule D (Whichever is applicable) as explained in the instructions for Schedule D.

Box 2b. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3. Shows the cost or other basis of securities sold. If box 6a is checked, box 3 may be blank. See the Form 8949 instructions, Schedule D instructions, or Pub. 550 for details.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions and Pub. 550.

Box 6a. If this box is checked, the securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan in 2012.

Box 8. Shows a brief description of the item or service for which the proceeds or barter income is being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Regulated Futures Contracts (Boxes 9 Through 12):

Box 9. Shows the profit or loss realized on regulated futures or foreign currency contracts closed during 2013.

Box 10. Shows any year-end adjustment to the profit or (loss) shown in box 9 due to open contracts on December 31, 2012.

Box 11. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2013. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit Or (loss) on open contracts - 12/31/2013 in 2014.

Box 12. Boxes 9, 10, and 11 are all used to figure the aggregate profit or (loss) on regulated futures or foreign currency contracts for the year. Include this amount on your 2013 Form 6781.

1099-MISC (OMB No. 1545-0115)

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business.

Box 2. Report royalties from oil, gas, or mineral properties, copyright and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C, or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040 (or Form 1040NR).

1099-DIV DIVIDENDS & DISTRIBUTIONS

Total Ordinary Dividends

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD
DOUBLELINE TOTAL RETURN I	258620103	01/31/13	\$13,163.32	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	02/28/13	\$12,207.02	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	03/28/13	\$14,078.57	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	04/30/13	\$13,707.03	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	05/31/13	\$14,139.55	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	06/28/13	\$14,185.62	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	07/31/13	\$14,623.71	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	08/30/13	\$14,556.47	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	09/30/13	\$15,477.30	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	10/31/13	\$16,150.32	\$0.00	\$0.00
DOUBLELINE TOTAL RETURN I	258620103	11/29/13	\$14,712.88	\$0.00	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	01/31/13	\$400.90	\$0.00	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	02/28/13	\$1,676.22	\$0.00	\$0.00
Total Ordinary Dividends			\$159,078.91		
Total Qualified Dividends				\$0.00	

Tax-Exempt Interest Dividends

DESCRIPTION	CUSIP	PAY DATE	EXEMPT INTEREST DIVIDENDS	SPECIFIED PRIVATE ACTIVITY BOND INTEREST DIVIDENDS	FEDERAL INCOME TAX WITHHELD
INVESCO INTERM TERM MUNI Y	001419563	01/31/13	\$3,079.71	\$325.16	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	02/28/13	\$12,876.80	\$1,359.54	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	03/28/13	\$15,668.78	\$1,654.31	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	04/30/13	\$16,282.45	\$1,719.10	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	05/31/13	\$14,451.92	\$1,525.83	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	06/28/13	\$14,451.90	\$1,525.83	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	07/31/13	\$14,451.92	\$1,525.83	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	08/30/13	\$14,403.78	\$1,520.75	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	09/30/13	\$14,403.85	\$1,520.76	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	10/31/13	\$14,403.78	\$1,520.75	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	11/29/13	\$14,403.84	\$1,520.76	\$0.00
INVESCO INTERM TERM MUNI Y	001419563	12/31/13	\$3,717.06	\$392.45	\$0.00
Total Tax-Exempt Interest Dividends			\$152,595.79		
Total Specified Private Activity Bond Interest Dividends				\$16,111.07	
Total Federal Income Tax Withheld					\$0.00

1099-INT INTEREST INCOME

Interest Income

<u>DESCRIPTION</u>	<u>CUSIP</u>	<u>PAY DATE</u>	<u>AMOUNT</u>	<u>FEDERAL INCOME TAX WITHHELD</u>
MORGAN STANLEY BANK N.A.	061870903	02/27/13	\$0.20	\$0.00
MORGAN STANLEY BANK N.A.	061870903	03/27/13	\$4.03	\$0.00
MORGAN STANLEY BANK N.A.	061870903	04/29/13	\$3.01	\$0.00
MORGAN STANLEY BANK N.A.	061870903	05/30/13	\$3.66	\$0.00
MORGAN STANLEY BANK N.A.	061870903	06/27/13	\$4.19	\$0.00
MORGAN STANLEY BANK N.A.	061870903	07/30/13	\$5.75	\$0.00
MORGAN STANLEY BANK N.A.	061870903	08/29/13	\$5.89	\$0.00
MORGAN STANLEY BANK N.A.	061870903	09/27/13	\$6.59	\$0.00
MORGAN STANLEY BANK N.A.	061870903	10/30/13	\$8.33	\$0.00
MORGAN STANLEY BANK N.A.	061870903	11/27/13	\$6.00	\$0.00
MORGAN STANLEY BANK N.A.	061870903	12/30/13	\$145.65	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	03/27/13	\$1.34	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	12/30/13	\$4.23	\$0.00
Total Interest Income 1099-INT box 1			\$198.87	
Total Federal Income Tax Withheld 1099-INT box 4				\$0.00

The amount of tax-exempt interest paid to you in 2013 must be reported on the applicable Form 1040, U.S. Individual Income Tax Return, for 2013. The amount of tax-exempt AMT interest paid to you in 2013 must be taken into account in computing the alternative minimum tax reported on Form 1040 for 2013.

**1099 Consolidated Tax Statement
Tax Year 2013 Copy B For Recipient**

MSB FBO CLAUDE SIMON
254 FIFTH AVENUE
3RD FLOOR
NEW YORK NY 10001-6406

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: XXX-XX-1158
Account Number: 052 062431 222

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DOUBLELINE TOTAL RETURN I		CUSIP: 258620103	Symbol (Box 1d): DBLTX					
	285,527.534	01/07/13	12/04/13	\$3,103,681.44	\$3,240,737.51	\$0.00	(\$137,056.07)	\$0.00
	33,900.617	03/06/13	12/04/13	\$368,502.56	\$384,771.66	\$0.00	(\$16,269.10)	\$0.00
Security Subtotal	319,428.151			\$3,472,184.00	\$3,625,509.17	\$0.00	(\$153,325.17)	\$0.00
INVESCO INTERM TERM MUNI Y		CUSIP: 001419563	Symbol (Box 1d): VKLIX					
	430,559.348	01/07/13	12/04/13	\$4,619,897.50	\$4,848,098.26	\$0.00	(\$228,200.76)	\$0.00
	51,172.695	03/06/13	12/04/13	\$549,087.32	\$577,228.00	\$0.00	(\$28,140.68)	\$0.00
Security Subtotal	481,732.043			\$5,168,984.82	\$5,425,326.26	\$0.00	(\$256,341.44)	\$0.00
Total Short Term Covered Securities				\$8,641,168.82	\$9,050,835.43	\$0.00	(\$409,666.61)	\$0.00

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$8,641,168.82
Total Cost or Other Basis (Box 3)	\$9,050,835.43
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

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MUTUAL FUND AND UIT STATE & FEDERAL TAX INFORMATION - INCOME SOURCE BREAKDOWN

DESCRIPTION	DOUBLELINE	INVESCO
SYMBOL	DBLTX	VKLIX
CUSIP	258620103	001419563
Alabama	0.00%	0.20%
Alaska	0.00%	0.89%
Arizona	0.00%	4.47%
Arkansas	0.00%	0.00%
California	0.00%	10.90%
Colorado	0.00%	1.58%
Connecticut	0.00%	0.26%
Delaware	0.00%	0.08%
District of Columbia	0.00%	1.01%
Florida	0.00%	7.27%
Georgia	0.00%	1.23%
Hawaii	0.00%	0.20%
Idaho	0.00%	0.20%
Illinois	0.00%	9.67%
Indiana	0.00%	4.05%
Iowa	0.00%	2.46%
Kansas	0.00%	0.97%
Kentucky	0.00%	1.33%
Louisiana	0.00%	1.81%
Maine	0.00%	0.24%
Maryland	0.00%	2.41%
Massachusetts	0.00%	0.84%
Michigan	0.00%	3.25%
Minnesota	0.00%	0.11%
Mississippi	0.00%	0.21%
Missouri	0.00%	3.19%
Montana	0.00%	0.00%
Nebraska	0.00%	0.54%
Nevada	0.00%	1.63%
New Hampshire	0.00%	0.37%

DESCRIPTION	DOUBLELINE	INVESCO
SYMBOL	DBLTX	VKLIX
CUSIP	258620103	001419563
New Jersey	0.00%	2.17%
New Mexico	0.00%	1.74%
New York	0.00%	1.98%
North Carolina	0.00%	1.05%
North Dakota	0.00%	0.27%
Ohio	0.00%	3.61%
Oklahoma	0.00%	0.57%
Oregon	0.00%	0.49%
Pennsylvania	0.00%	3.77%
Rhode Island	0.00%	0.05%
South Carolina	0.00%	2.06%
South Dakota	0.00%	0.68%
Tennessee	0.00%	1.02%
Texas	0.00%	9.76%
Utah	0.00%	0.58%
Vermont	0.00%	0.00%
Virginia	0.00%	1.70%
Washington	0.00%	2.88%
West Virginia	0.00%	0.39%
Wisconsin	0.00%	1.93%
Wyoming	0.00%	0.18%
U.S. Territories		
American Samoa	0.00%	0.00%
Guam	0.00%	0.91%
Northern Mariana Islands	0.00%	0.00%
Puerto Rico	0.00%	0.05%
U.S. Virgin Islands	0.00%	0.79%
US Federal Source Income	2.32%	0.00%

The table above shows the percentages of each fund's income that was earned from each state, U.S. territory or U.S. Federal obligation. Depending on the state and local tax laws that apply where you file your tax return, you may also be able to reduce the taxable income from the fund on your state tax return(s). Any income earned from U.S. federal obligations or obligations issued by U.S. territories is generally exempt for state purposes, however, California, Connecticut, New Jersey and New York have income source threshold limits that must be met for income from a fund to be considered state tax exempt. Please consult your tax advisor to determine the portion of the fund's income that is exempt in your state.

FEES AND EXPENSES**Fees**

DATE	ACTIVITY	DESCRIPTION	AMOUNT
02/04/13	Charge	ADVISORY INCEPTION FEE	\$(10,312.29)
03/07/13	Charge	DEPOSIT/WITHDRAWAL ADJ	\$(524.77)
04/12/13	Charge	2ND QTR ADVISORY FEE	\$(16,996.73)
07/15/13	Charge	3RD QTR ADVISORY FEE	\$(16,727.82)
10/14/13	Charge	4TH QTR ADVISORY FEE	\$(16,739.43)
11/05/13	Charge Adjustment	DEPOSIT/WITHDRAWAL ADJ	\$6.72
11/22/13	Charge Adjustment	DEPOSIT/WITHDRAWAL ADJ	\$171.02
12/04/13	Charge	ADVISORY FEE REBATE	\$(11,537.74)
12/04/13	Charge	ADVISORY FEE REBATE	\$(171.02)
12/04/13	Charge	ADVISORY FEE REBATE	\$(6.72)
12/04/13	Charge Adjustment	ADVISORY FEE REBATE	\$16,739.43
12/05/13	Charge	ADVISORY FEE REBATE	\$(11,826.78)
12/05/13	Charge Adjustment	DEPOSIT/WITHDRAWAL ADJ	\$3.59
12/05/13	Charge Adjustment	DEPOSIT/WITHDRAWAL ADJ	\$58.40
12/05/13	Charge Adjustment	DEPOSIT/WITHDRAWAL ADJ	\$0.32
12/05/13	Charge Adjustment	ADVISORY FEE REBATE	\$11,537.74
Total Fees			\$(56,326.08)

Consult your tax advisor for the tax deductibility of these fees.

PERTINENT TAX MESSAGES



*** WARNING - CORRECTED TAX FORMS POSSIBLE ***

Morgan Stanley does not always have the necessary information to provide you with a final correct Consolidated Tax Statement by the required February 15th IRS deadline. Some mutual funds, real estate investment trusts (REITs) and unit investment trusts (UITs) may revise their tax information after we have sent it to you. For example, a mutual fund may reclassify a qualified dividend as nonqualified or a REIT may reclassify a dividend as return of capital. If an issuer revises its tax information after February 15th, we are required to send you a corrected tax statement. This is a recurring problem in the financial services industry and is not peculiar to Morgan Stanley. While the possibility of corrected tax statements cannot be completely eliminated we have been successful in reducing their number each year.

If you would like a list or an updated status of those securities that we have identified as consistently reallocating their distributions after February 15th, please consult your Financial Advisor or call our customer service center at 866-324-6088.

We recommend that you keep the entire statement in your files for as long as you retain your tax records. This statement contains important tax information in addition to the 1099 information reported on page 3.

For your protection Morgan Stanley can not provide account information to anyone other than the account owner. If you would like us to share information with your tax advisor please contact your Financial Advisor to set up the advisor as an authorized party. For your advisor to receive information by phone, you must be on the line with him/her.

Form 5498 Information

If you have an IRA with Morgan Stanley, please note that your Form 5498 will be mailed to you separately by May 31, 2014. The Form 5498 confirms all IRA contributions for the prior tax year made up until the tax filing deadline (usually April 15) and also displays incoming rollovers, Roth conversions and recharacterizations. It is not necessary to attach Form 5498 to your tax return.

Please note that Morgan Stanley is not a tax advisor. Please consult your tax advisor for the appropriate treatment of this information. Questions concerning the translation of tax form information onto an individual tax return should be referred to a tax professional.

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