

Forms 1099 and 2011 Year End Summary

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MR CLAUDE SIMON

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160 MADISON AVE, 7TH FLOOR
NEW YORK NY 10016-5412

Account Number 39A-49816-12 853

Important income tax return document enclosed. For tax advice, please consult your tax advisor.

If you have questions about your Forms 1099 or Year End Summary, call toll-free Monday through Friday, 9:00 a.m. to 5:00 p.m. EST., 1-800-727-1099

Forms 1099 for 2011

Account Number 39A-49816-12 853 SS # 106-50-1158

Payer

CITIGROUP GLOBAL MKTS INC.
388 GREENWICH STREET
NEW YORK, NEW YORK 10013
Attn: Tax Reporting Service Center
Tax ID Number 11-2418191

Your Financial Advisor

MORGANSTANLEY SMITHBARNEY LLC
787 7TH AVENUE
36TH FLOOR
NEW YORK NY 10019
Branch Office : 212-492-6900

MR CLAUDE SIMON
160 MADISON AVE, 7TH FLOOR
NEW YORK NY
10016-5412

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-INT Interest Income 2011

(OMB No.1545-0112)

Interest income	Early withdrawal penalty	Interest on US Savings Bonds and Treas. obligations	Federal income tax withheld
Box 1	Box 2	Box 3	Box 4

Investment expenses	Foreign tax paid	Foreign country or US possession	Tax-exempt interest	Specified private activity bond interest	Tax-exempt bond CUSIP no.
Box 5	Box 6	Box 7	Box 8	\$ 296.36 Box 9 \$ 9.84	Box 10 670976109000

Forms 1099 for 2011

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV Dividends and Distributions 2011

(OMB No. 1545-0110)

Total ordinary dividends	Qualified dividends	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Collectibles (28%) gain	
\$ 7,454.28	\$ 5,121.85	\$ 12.07				
Box 1a	Box 1b	Box 2a	Box 2b	Box 2c	Box 2d	
Nondividend distributions	Federal income tax withheld	Investment expenses	Foreign tax paid	Foreign country or US possession	Cash liquidation distributions	Non cash liquidation distributions
Box 3	Box 4	Box 5	Box 6	\$ 31.07 Various Box 7	Box 8	Box 9

Forms 1099 for 2011

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Form 1099-B Proceeds from Broker and Barter Exchange Transactions 2011

(OMB# 1545-0715)

The gross proceeds from each transaction are individually reported to the IRS after deducting commissions. For bond transactions, accrued stated interest income is also deducted. Accrued stated interest income is reported on Form 1099-INT and included in your "Details of 1099 Reported Interest Income".

Reference Number	Description (Box 9) / CUSIP Number	Date of Sale or Exchange (Box 1a)	Date of Acquistion (Box 1b)	Quantity	Covered or Noncovered (Box 6) / Type of gain or loss (Box 8)	Gross proceeds less commissions (Box 2)	Cost or other basis (Box 3)	Wash sale loss disallowed (Box 5)	Check if loss not allowed based on amount in Box 2 (Box 15)	Federal income tax withheld (Box 4)
120005000	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L 879403780001	10/28/11		2,932	Noncovered	\$ 46,703.08			<input type="checkbox"/>	
120005100	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L 879403780001	10/31/11		.7729	Noncovered	11.89			<input type="checkbox"/>	
Totals										\$ 46,714.97

Forms 1099 for 2011

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This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-MISC Miscellaneous Income 2011

(OMB No. 1545-0115)

Rents	Royalties	Other income*	Federal Income tax withheld	Nonemployee compensation	Substitute payments in lieu of dividends or interest
Box 1	Box 2	Box 3	Box 4	Box 7	\$ 579.77 Box 8

* Includes settlement payments and other adjustments.

**We are pleased to provide you with this overview of activity in your account this year.
This information will help you understand the performance of your investments and manage your account with the assistance of your Financial Advisor. This information is not provided to the IRS.**

Value of your portfolio

Description	Amount
Combined account balance	\$ -28,385.29
Common stocks & options	263,809.64
Closed end funds	68,331.19
Pending reinvested cash	42.99
Value of your account on 12/31/11	\$ 303,798.53

Interest you paid 2011

Description	Amount
Margin loan	\$ 5,078.12

2011 Year End Summary

MR CLAUDE SIMON

Account Number 39A-49816-12 853

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Earnings summary 2011

Due to IRS reporting requirements, these figures may not be the same as totals reported on your monthly statement.

Description	1099 Reported	1099 Exempt	Total
Interest *	\$ 296.36		\$ 296.36
Other dividends **	2,344.50		2,344.50
Qualified dividends	5,121.85		5,121.85
Totals	\$ 7,762.71		\$ 7,762.71

**If you received accrued interest, it is included in this amount. 1099 Reported amount is a total of Boxes 1, 3, and 8 on Form 1099-INT. This includes tax-exempt interest and dividend income.*

***If you received money funds earnings, capital gain distributions or non-taxable distributions, they are included in this amount.*

Additional summary information 2011

Description	Amount
Substitute Payments in lieu of dividends *	\$ 579.77
Total Additional Income	\$ 579.77

** Reported to the IRS on Form 1099-MISC*

Summary of miscellaneous tax withheld 2011

The following taxes have been withheld in compliance with U.S. federal, state or foreign regulations.

Description	Amount
Foreign tax paid	\$ 31.07
Total	\$ 31.07

2011 Year End Summary

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Account Number 39A-49816-12 853

Details of 1099 Reported Interest Income 2011, Boxes 5-9

The following details show how we derived your total interest reported on Form 1099-INT. Amount in Box 9 is subject to AMT. This amount is already included in Box 8. Box 8 reports tax-exempt interest and dividend income.

Reference number	CUSIP Description	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Tax-exempt interest (Box 8)	Specified private activity bond interest (Box 9)
130000100	670976109000 NUVEEN NEW YORK SELECT QUALITY MUN FUND INC			\$ 296.36	\$ 9.84
Totals				\$ 296.36	\$ 9.84

Details of 1099 Reported Dividends and Distributions 2011, Boxes 1a - 2d

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	CUSIP Description	Total Dividends* (Box 1a)	Ordinary Dividends Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000100	003009107000 ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 2,332.43						
150000200	02364W105001 *** AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	3,305.59		3,305.59				

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2011 Year End Summary

MR CLAUDE SIMON
Account Number 39A-49816-12 853

Details of 1099 Reported Dividends and Distributions 2011, Boxes 1a - 2d - continued

Reference number	CUSIP Description	Total Ordinary Dividends Dividends* (Box 1a)	Short term capital gain* (Box 1a)	Qualified dividends (Box 1b)	Total capital gain distributions (Box 2a)	Unrecaptured Sec. 1250 gain (Box 2b)	Section 1202 gain (Box 2c)	Collectibles (28%) gain (Box 2d)
150000300	670976109000 NUVEEN NEW YORK SELECT QUALITY MUN FUND INC					\$ 12.07		
150000400	879403780001 *** TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L	1,816.26		1,816.26				
Totals		\$ 7,454.28		\$ 5,121.85		\$ 12.07		

* The total of these two categories equals the amount reported in Form 1099-DIV, Box 1a.

Please note:

- "Dividends" includes both Qualified and non-qualified dividends received.
- "Total capital gain distributions" is a total of long term capital gain distributions and the amounts reported in Boxes 2b through 2d.

Details of 1099 Reported Dividends and Distributions 2011, Boxes 3 - 9

The following details show how we derived your total dividends reported on Form 1099-DIV. Amount displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	CUSIP Description	Nondividend distributions (Box 3)	Federal income tax withheld (Box 4)	Investment expenses (Box 5)	Foreign tax paid (Box 6)	Cash liquidation distributions (Box 8)	Non cash liquidation distributions (Box 9)
150000100	003009107000 ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED				\$ 31.07		
Totals					\$ 31.07		

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2011 Year End Summary

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Details of Additional Summary Information 2011

Substitute Payments in lieu of dividends	The following details show how we derived your total substitute payments in lieu of dividends reported on Form 1099-MISC.			
Reference number	Description	Amount	Federal Income tax withheld	NRA tax withheld
190000100	ABERDEEN ASIA-PACIFIC PRIME INCOME FUND INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	\$ 579.77		
Totals		\$ 579.77		

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	31.9533	TELEFONOS DE MEXICO SP ADR	04/01/11	10/28/11	\$ 508.98	\$ 588.90	(\$ 79.92)	
	40.539	SPONSORED ADR REPSTG SH SR L	06/24/11		645.74	654.30	(8.56)	
	37.5077		09/30/11		597.45	561.49		35.96
125000020	.7729	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L LIQUIDATION OF FRACTIONAL SHS	09/30/11	10/31/11	11.89	11.57		.32
Total					\$ 1,764.06	\$ 1,816.26	(\$ 88.48)	\$ 36.28

Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	1,699.456	TELEFONOS DE MEXICO SP ADR	Unavailable	10/28/11	\$ 27,070.19			
	23.12	SPONSORED ADR REPSTG SH SR L	10/01/98		368.27	82.57		285.70 C
	21.126		12/31/98		326.67	95.49		251.18 C

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2011 Year End Summary

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Account Number 39A-49816-12 853

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain	2011
	14.964		04/07/99		\$ 238.36	\$ 81.23		\$ 157.13 C	Y
	14.296		07/01/99		227.72	95.12		132.60 C	E
	16.368		09/30/99		260.72	97.19		163.53 C	N
	10.568		12/30/99		168.33	97.02		71.31 C	S
	8.488		03/30/00		135.20	98.72		36.48 C	M
	12.144		06/29/00		193.44	106.10		87.34 C	A
	13		09/28/00		207.07	111.72		95.35 C	R
	21.414		12/28/01		341.10	208.47		132.63 C	D
	27.956		06/27/02		445.30	238.91		206.39 C	S
	29.784		09/26/02		474.42	234.16		240.26 C	U
	26.214		12/27/02		417.56	233.69		183.87 C	E
	27.474		03/27/03		437.63	220.13		217.50 C	N
	29.156		06/26/03		464.42	253.15		211.27 C	S
	29.396		09/25/03		468.24	247.99		220.25 C	M
	27.41		12/26/03		436.61	243.37		193.24 C	A
	26.97		03/26/04		429.60	250.68		178.92 C	R
	29.8		06/25/04		474.68	268.91		205.77 C	D
	30.002		09/24/04		477.89	267.46		210.43 C	S
	26.82		12/23/04		427.21	275.76		151.45 C	U
	20.93		03/24/05		333.39	200.27		133.12 C	E
	22.726		06/30/05		362.00	234.66		127.34 C	N
	20.918		09/29/05		333.20	234.98		98.22 C	S
	18.288		12/28/05		291.30	239.45		51.85 C	M
	26.988		03/30/06		429.88	329.74		100.14 C	A
	31.262		06/29/06		497.96	340.25		157.71 C	R
	25.708		09/28/06		409.50	356.85		52.65 C	D
	22.902		01/03/07		364.80	355.10		9.70 C	S
	19.244		03/29/07		306.53	351.74	(45.21) C		U
	18.929		06/28/07		301.52	395.35	(93.83) C		E
	21.654		09/27/07		344.92	391.89	(46.97) C		N
	19.649		12/28/07		312.98	400.41	(87.43) C		S
	19.09		04/03/08		304.08	407.80	(103.72) C		M
	29.72		06/27/08		473.40	689.51	(216.11) C		A
	24.664		09/25/08		392.87	659.52	(266.65) C		R
	25.578		12/29/08		407.43	541.54	(134.11) C		D
	31.439		04/02/09		500.78	506.24	(5.46) C		S
	39.598		06/26/09		630.75	626.65			U
	38.612		09/25/09		615.04	640.59	(25.55) C	4.10 C	E

2011 Year End Summary

MR CLAUDE SIMON

Account Number 39A-49816-12 853

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	139.275		12/25/09		\$ 2,218.48	\$ 2,384.13	(\$ 165.65) C	
	38.89		12/25/09		619.47	666.19	(46.72) C	
Total					\$ 44,950.91	\$ 14,750.70	(\$ 1,237.41)	\$ 4,367.43

^c Based on information supplied by Client or other financial institution, not verified by us.

Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	03/11/11	CREDIT CASH BALANCE ACCT-TRANSFRD-03 11 11 CHARLES SCHWAB & CO., INC.	\$ 19.54
210000300	11/14/11	MONEY TRANSFER 11/14/11MH RECD 11/14/11 FROM /0000798309 38 CLAUDE SIMON 71 TONJES ROAD CALLICOON NY 127235 412 FINAL CREDIT ACCOUNT:CLAUDE SI MON39A-49816-12-853 REFERENCE # 53374540	50,000.00
Total			\$ 74,301.47

Reference number	Date	Description	Amount
210000200	03/22/11	CREDIT CASH BALANCE ACCT-TRANSFRD-03 22 11 CHARLES SCHWAB & CO., INC.	\$ 281.93
210000400	11/15/11	MONEY TRANSFER 11/15/11MH RECD 11/15/11 FROM /0000798309 38 CLAUDE SIMON 71 TONJES ROAD CALLICOON NY 127235 412 FINAL CREDIT ACCOUNT:CLAUDE SI MON39A-49816-12-853 REFERENCE # 53432690	24,000.00

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	03/02/11	DEBIT CASH BALANCE ACCT-TRANSFRD-03 02 11 CHARLES SCHWAB & CO., INC.		\$ 50.00
220000300	03/17/11	MONEY TRANSFER 03/17/11MH THRU HSBC BANK USA HSBC BANK U SA BUFFALO NY VERATEX, INC., 160 MADISON AVE NUE, NEW YORK, NY 10016 REFERENCE # 47182994		30,000.00

Reference number	Date	Description	Referral number	Amount
220000200	03/02/11	DEBIT CASH BALANCE ACCT-TRANSFRD-03 02 11 CHARLES SCHWAB & CO., INC.		\$ 24,942.31
220000400	03/31/11	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L ADR FEE OF .00500 PER SHR RECORD 03/23/11 PAY 03/31/11		14.11

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2011 Year End Summary

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Account Number 39A-49816-12 853

Details of Deposits and Withdrawals 2011 - *continued*

Withdrawals - *continued*

Reference number	Date	Description	Referral number	Amount
220000500	04/13/11	MONEY TRANSFER 04/13/11MH THRU CITIBANK N.A. CITIBANK N. A. NEW YORK NY 160 MADISON AVENUE OWNERS CORP REFERENCE # 47882174		\$ 30,000.00
220000700	06/23/11	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L ADR FEE OF .00500 PER SHR RECORD 06/15/11 PAY 06/23/11		14.27
220000900	07/07/11	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR ADR FEE OF .05000 PER SHR RECORD 06/28/11 PAY 06/30/11		288.45
220001100	09/29/11	TELEFONOS DE MEXICO SP ADR SPONSORED ADR REPSTG SH SR L ADR FEE OF .00500 PER SHR RECORD 09/21/11 PAY 09/29/11		14.47
Total				\$ 144,323.61

Reference number	Date	Description	Referral number	Amount
220000600	06/01/11	MONEY TRANSFER 06/01/11MH THRU CITIBANK N.A. CITIBANK N. A. NEW YORK NY 160 MADISON AVENUE OWNERS CORP REFERENCE # 49028865		\$ 10,000.00
220000800	06/29/11	MONEY TRANSFER 06/29/11MH THRU CITIBANK N.A. CITIBANK N. A. NEW YORK NY 160 MADISON AVENUE OWNERS CORP REFERENCE # 49740822		30,000.00
220001000	09/26/11	MONEY TRANSFER 09/26/11MH THRU CITIBANK N.A. CITIBANK N. A. NEW YORK NY 160 MADISON AVENUE OWNERS CORP REFERENCE # 52073514		19,000.00

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