

**External Account Transfer Form**

Thank you for completing the External Account Transfer Form.

Your next step is to print, sign and mail or fax this form to TD Ameritrade. Please include a copy of a recent statement from the delivering firm(s) so that we can accurately complete the transfer.

Once we've received your paperwork, most total electronic (ACATS) transfers are typically completed within five to eight business days. Partial transfers, mutual funds transfers, or total transfers from firms that are not ACATS eligible (not eligible for total electronic transfers) are typically completed within three to six weeks.

**Mail this form to:**

Standard Delivery: TD Ameritrade, Inc  
PO Box 2760, Omaha, NE 68103-2760  
Overnight Delivery: TD Ameritrade, Inc  
200 South 108th Ave, Omaha, NE 68154-2631  
Or fax to: 866-468-6268

**Transfer to:**

Name	<b>CLAUDE SIMON IRA TD AMERITRADE CLEARING, CUSTODIAN</b>
Account type	<b>Traditional IRA</b>
Account number	<b>868405395</b>
TAX ID	<b>106-50-1158</b>

**Transfer from:**

Company/Firm	<b>Citigroup</b>
Account type	<b>Traditional IRA</b>
Name on account	<b>CLAUDE SIMON</b>
Account number	<b>C51-002514</b>
Planned transfer amount	<b>\$3,600.00</b>
Asset to transfer	<b>Total</b>

All account owners/trustees must sign.

**TRANSFER AGREEMENT**

Unless otherwise indicated in the instructions above, please transfer in kind all assets in my account to TD Ameritrade. I understand that to the extent any assets in my account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by applicable regulations. I understand I will be contacted by the carrying and/or receiving firm with regard to any assets that are nontransferable.

Unless otherwise indicated, I authorize the Transferor to liquidate any nontransferable proprietary money market fund assets that are part of my account and to transfer the resulting credit balance to my account with TD Ameritrade. I authorize the Transferor to deduct any outstanding fees due to Transferor from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees, I authorize Transferor to liquidate assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct Transferor to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, Transferor will cancel all open orders for my account.

I affirm that I have destroyed or returned to the Transferor all credit/debit cards and/or unused checks issued to me in connection with my account.

If this TD Ameritrade account is a qualified retirement account, I have amended the applicable plan to designate the successor custodian. Alternatively, if this TD Ameritrade account is an Individual Retirement Account (IRA), I have adopted an IRA plan so that it names the successor custodian. If you have any questions about a possible loss of coverage, please contact your current firm before closing an account.

Account Holder Signature(s):

Date

If we need to contact you about this request, we will call you at **2126839300**  
Please provide an alternative phone number where we can reach you, if necessary. \_\_\_\_\_

For TD Ameritrade Clearing use only

Letter of Acceptance: The undersigned organization agrees to serve as successor Custodian for the account of the above-named individual, and as Custodian, we agree to accept the assets being transferred.

Successor Custodian Authorized Signature (sign & date): \_\_\_\_\_

**For Delivery Firm use only**

Receiving Firm Information:

Clearing Firm: TD Ameritrade Clearing

Tax ID number: 47-0533629

TD Ameritrade Clearing  
Attn: Transfer of Accounts Department  
4211 S. 102nd Street  
Omaha, NE 68127-1031

*Delivery Instructions: All deliveries MUST include Client Name and TD Ameritrade Clearing Account Number.*

Depository Trust Company:  
DTC #0188

Wire Instructions:  
First National Bank of Omaha  
16th & Dodge Streets

Federal Book Entry:  
BK of NYC/AMERITRADE  
ABA #021000018

Omaha, NE 68102  
A/C of TD Ameritrade Clearing  
ABA # 104000016  
A/C # 16424641  
For Further Credit to: Client Name  
and Account Number

**Mutual Fund Registration:**

TD Ameritrade Clearing  
Tax ID No. 47-0533629  
FBO Client's Name and Account Number  
PO Box 2226  
Omaha, NE 68103-2226  
Broker/Dealer: TD Ameritrade, Inc.  
Options Clearing Corp:  
OCC #0777

Forward Checks and Physical Certificates:  
TD Ameritrade Clearing, Inc.  
FBO Client's Name and Account Number  
PO Box 2229  
Omaha, NE 68103-2229  
200 South 108th Ave  
Omaha, NE 68154-2631

**For TD Ameritrade Clearing Use Only**

**Broker #:** \_\_\_\_\_

Account number: **868405395**

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