

# Standard Retail Pricing: Commissions, Rates, and Fees



## Contact Information:

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Mailing Address:  
PO Box 2760, Omaha, NE 68103-2760

Our low, straightforward online trading commissions let you concentrate on executing your investment strategy...not on calculating fees.

## Commissions

### Stocks and Exchange Traded Funds (ETFs)

Trade Unlimited Shares (Market or Limit)	Price
Internet	\$0.00*
Interactive Voice Response (IVR) Phone System	\$5.00
Broker-Assisted	\$25.00

**\*Note:** \$0 commission applies to online U.S. exchange-listed stocks and ETFs. A \$6.95 commission applies to online trades of over-the-counter (OTC) stocks which includes stocks not listed on a U.S. exchange.

Orders executed in multiple lots on the same trading day will be charged a single commission. When an order is partially executed over multiple trading days, the order is subject to a separate commission charge for each trading day.

TD Ameritrade receives remuneration from certain ETFs that participate in the commission-free ETF program for shareholder, administrative, and/or other services.

### Mutual Funds

Fund Type	Price
No-Transaction-Fee (NTF) Funds	No Commission
No-Load Funds	\$49.99
Load Funds	No Commission*

\*The Fund Family will charge fees as detailed in the fund prospectuses.

No-transaction-fee (NTF) funds (except ProFunds and Rydex) held 180 days or less are subject to a Short-Term Redemption fee of \$49.99. This fee is in addition to any applicable transaction fees or fees addressed in the fund's prospectus.

TD Ameritrade receives remuneration from mutual fund companies, including those participating in its no-load, NTF program for recordkeeping, shareholder services, and other administrative and distribution services. The amount of TD Ameritrade's remuneration for these services is based in part on the amount of investments in such funds by our clients. NTF mutual funds and other funds offered through TD Ameritrade have other fees and expenses that apply to a continued investment in the fund and are described in the prospectus.

### Options

Equity or Index, Market, and Limit Orders	Price
Internet	\$0.00 + \$0.65 fee per contract
Interactive Voice Response (IVR) Phone System	\$5.00 + \$0.65 fee per contract
Broker-Assisted	\$25.00 + \$0.65 fee per contract

**Please note:** No exercise or assignment fees. Options involve risks and are not suitable for all investors.

## Index Option Fee

Underlying Symbol	Description	Premium	Expiration	Fee Per Contract
SPX	S&P 500 Index	<\$1	Monthly	\$0.57
SPX	S&P 500 Index	>=\$1	Monthly	\$0.66
SPXW	S&P 500 Index	<\$1	Weekly/Quartely	\$0.49
SPXW	S&P 500 Index	>=\$1	Weekly/Quartely	\$0.58
OEX	S&P 100 Index (American-style exercise)	All	Monthly/Quartely	\$0.40
OEX	S&P 100 Index (American-style exercise)	All	Weekly	\$0.30
XEO	S&P 100 Index (European-style exercise)	All	Monthly/Quartely	\$0.40
XEO	S&P 100 Index (European-style exercise)	All	Weekly	\$0.30
*VIX - Simple	CBOE Market Volatility Index	<=\$0.10	All	\$0.10
*VIX - Complex	CBOE Market Volatility Index	<=\$0.10	All	\$0.05
*VIX - Simple	CBOE Market Volatility Index	\$0.11-\$0.99	All	\$0.25
*VIX - Complex	CBOE Market Volatility Index	\$0.11-\$0.99	All	\$0.17
*VIX - Simple	CBOE Market Volatility Index	\$1-\$1.99	All	\$0.40
*VIX - Complex	CBOE Market Volatility Index	\$1-\$1.99	All	\$0.30
*VIX - Simple	CBOE Market Volatility Index	>=\$2	All	\$0.45
*VIX - Complex	CBOE Market Volatility Index	>=\$2	All	\$0.45
RUT	Russell 2000 Index	All	All	\$0.18

**Please note:** The Index Option Fees are fees associated with Proprietary Index Option products provided by the Chicago Board Options Exchange (CBOE) and are subject to change without notice. A copy of the CBOE Options Exchange Fee Schedule can also be found on [www.cboe.com](http://www.cboe.com).

\*After 04/01/2020 the VIX index option fee assessed to the TD Ameritrade account will be distinguished between Simple and Complex. Prior to that date, the lower of the 2 fees will be charged.

Simple Options: Buy or sell an option with only one strike price and expiration date.

Complex Options: Simultaneously buy and/or sell two or more options with more than one strike price and/or expiration date.

## Bonds and CDs

Product Type	Price
Treasuries at Auction	Available Commission Free
Bond and CD Trades	On a net yield basis

TD Ameritrade may act as principal on any fixed-income transaction. When acting as principal, we will add a markup to any purchase, and subtract a markdown from every sale. This markup or markdown will be included in the price quoted to you.

## Cash Sweep Vehicles Interest Rates\*\*

### TD Ameritrade FDIC Insured Deposit Account (IDA) Rates

For current rates, please call 800-669-3900 or go to the TD Ameritrade website at: <https://www.tdameritrade.com/pricing/margin-and-interest-rates.page>. Subject to change without prior notice.

### TD Ameritrade Cash Rates

For current rates, please call 800-669-3900 or go to the TD Ameritrade website at: <https://www.tdameritrade.com/pricing/margin-and-interest-rates.page>. Subject to change without prior notice.

\*\*Interest rates paid on balances in the IDA and TD Ameritrade Cash are based on tiers. The previous day's closing balance determines eligibility for a particular tier each day. Simple interest is accrued daily, based on the interest rate tier applicable to each day's balance, and is credited to your account on the last business day of the month. In accordance with the Client Agreement, TD Ameritrade may change the tiers and rates at its discretion and without notice and is not obligated to pay different rates between tiers. Rate changes may vary by program, location, or arrangement.

TD Ameritrade receives a fee from the IDA Program Banks that ranges from 1.3 to 1.7%. TD Ameritrade has the right to waive all or part of this fee. The rate of the fee that TD Ameritrade receives may exceed the interest rate or effective yield that you receive in your IDA, and the payment of the fee reduces the yield that you receive. Other than the applicable fees charged on brokerage accounts, there will be no charges, fees, or commissions imposed on your account for this cash sweep choice. The current IDA interest rate will be disclosed on the TD Ameritrade website and may be changed without prior notice.

## Fee Schedule

### Service Fees<sup>†</sup>

Certificate Withdrawal Delivery <sup>††</sup>	\$500
Checking	
Checkbook Reorder	No charge/100, IRA No charge/20
Copy of Check	\$15
Miscellaneous	
Overnight Delivery	Billed at cost
Stop Payment	\$25
Reorganization	
Mandatory	\$38
Voluntary Tender Offer	\$38
Statements and Trade Confirmations	
Electronic Statements	No charge
Paper Monthly Statements by U.S. Mail <sup>‡</sup>	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Quarterly Statements by U.S. Mail	No charge: accounts with liquidation value ≥ \$10k \$2: accounts with liquidation value < \$10k
Paper Trade Confirmations by U.S. Mail	No charge
Replacement Paper Statements by U.S. Mail	\$5
Replacement Paper Trade Confirmations by U.S. Mail	\$5
Transfers	
Outbound Full Account Transfer	\$75
Outbound Partial Account Transfer	No Charge
Wires (Outgoing) Domestic/International	\$25

### Exception Fees

Forced Margin Sellout	\$25 + broker commission
Foreign Security	\$15 + broker commission and all applicable local market charges
Removal of Non Marketable Security	No charge
Mutual Fund Short-Term Redemption Fee <sup>‡‡</sup>	\$49.99
Alternative Investments (AI) Custody Fee	\$250 per position
Alternative Investments (AI) Transaction Fee	\$100 per transaction
Regulation T Extension	\$25
Restricted Security Processing	\$250
Returned Check/Electronic Funding	\$25

### Regulatory Fees<sup>‡‡</sup>

“Section 31” Fee <sup>1</sup>	\$0.0000221 per \$1.00 of transaction proceeds
Options Regulatory Fee <sup>2</sup>	\$0.0169 per options contract
Trading Activity Fee <sup>3</sup>	\$0.000119 per equity share \$0.002 per options contract \$0.00075 per bond \$0.00000075 x value per asset-backed security trade

All prices shown in U.S. dollars. Commission, rates, and fees may vary by program, location, or arrangements and are subject to change upon 30 days' notice to you.

<sup>†</sup> All service fees are subject to change.

<sup>††</sup> Certificate requests requiring extraordinary processing, including certificates for foreign securities and requests to break certificates into multiple denominations, may incur additional charges, which will be passed through to your account.

<sup>‡</sup> Some accounts, such as options-approved accounts, are required to receive monthly statements either electronically (free) or via U.S. mail.

<sup>‡‡</sup> Regulatory fees are paid by TD Ameritrade and charged to client accounts and are subject to change without notice.

- 1 Applies to certain sell transactions, assessed at a rate consistent with Section 31 of the Securities Exchange Act of 1934. TD Ameritrade remits these fees to certain self-regulatory organizations and national securities exchanges, which in turn make payment to the SEC. These fees are intended to cover the costs incurred by the government, including the SEC, for supervising and regulating the securities markets and securities professionals. The rate is subject to annual and mid-year adjustments which may not be immediately known to TD Ameritrade; as a result, the fee assessed may differ from or exceed the actual amount of the fee applicable to your transaction. Any excess may be retained by TD Ameritrade. Fees are rounded to the nearest penny.
- 2 This fee varies by options exchange, where an options trade executes, and whether the broker responsible for the trade is a member of a particular exchange. As a result, TD Ameritrade calculates a blended rate that equals or slightly exceeds the amount it is required to remit to the options exchanges.
- 3 This fee is assessed at a rate consistent with Section 1 of Schedule A of FINRA's By-Laws for trading activity. Current rates are: \$0.000119 per share for each sale of a covered equity security, with a maximum charge of \$5.95 per trade; \$0.002 per contract for each sale of an option; \$0.00075 per bond for each sale of a covered TRACE-Eligible Security (other than an Asset-Backed Security) and/or municipal security, with a maximum charge of \$0.75 per trade; \$0.00000075 times the value, as reported to TRACE, of a sale of an Asset-Backed Security, with a maximum charge of \$0.75 per trade. Fees are rounded to the nearest penny.

Investment Products: Not FDIC Insured \* No Bank Guarantee \* May Lose Value

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