

Morgan Stanley



#BWNJGWM

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN
254 FIFTH AVENUE
3RD FLOOR
NEW YORK NY 10001-6406

Your Branch

787 7TH AVENUE 36TH FLOOR
NEW YORK, NY 10019
Telephone: 212-492-6900
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Client Interaction Center

800-869-3326
24 Hours a Day, 7 Days a Week

Access your accounts online

www.morganstanley.com/online

TOTAL VALUE LAST PERIOD

\$575,552.95

(as of 10/31/12)

TOTAL VALUE OF YOUR ACCOUNTS

\$557,305.77

(as of 11/30/12)

(Total Values include accrued interest)

Your Financial Advisors

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Kenneth Morris

Senior Vice President
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Consolidated Summary

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

OVERVIEW OF YOUR ACCOUNTS

	Beginning Value (incl. accr. int.) (11/1/12)	Ending Value (incl. accr. int.) (11/30/12)	Begins on Page
TOTAL ACCOUNTS	\$575,552.95	\$557,305.77	
Personal Accounts	\$575,552.95	\$557,305.77	
MR JOHN M SIMON AND MRS VICKI C SIMON JT TEN Active Assets Account 052-132387-853 (Brokerage)	575,552.95	557,305.77	7
MR JOHN M SIMON AND MRS VICKI C SIMON JT TEN Active Assets Account 052-145189-853 (Brokerage)	—	—	*

This summary may include assets held in either brokerage and/or advisory (i.e., managed) accounts, and may include assets not held here. For additional information about the differences between brokerage and advisory accounts, please visit <http://www.morganstanley.com/ourcommitment>. *This account had no activity and held no assets this period, so a statement has not been included.

Consolidated Summary

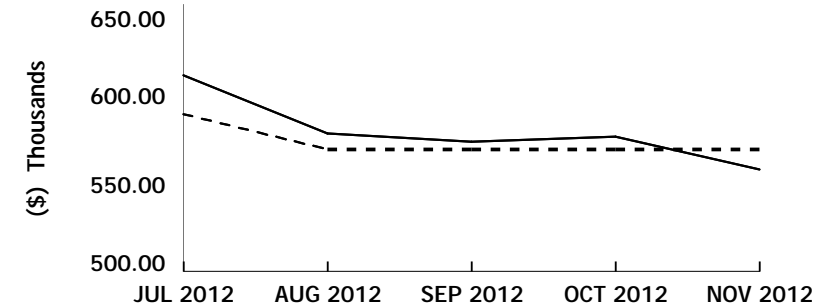
MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

CHANGE IN VALUE OF YOUR ACCOUNTS

	This Period (11/1/12-11/30/12)	This Year (1/1/12-11/30/12)
Total Beginning Value (includes accrued interest)	\$575,552.95	—
Total Ending Value (includes accrued interest)	\$557,305.77	\$557,305.77

CHANGE IN VALUE OVER TIME

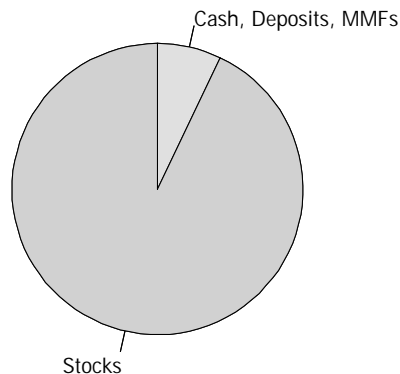
This graph compares the total value of your account to the net amount invested. Net investment is the total amount invested minus the total amount withdrawn.



— Total Market Value - - - Net Invested Capital Since 07/31/12

Net Contributions / Withdrawals include investment advisory fees to the extent applicable. Please see the activity section of your statement for more specific details on any advisory fees. This exhibit may not include transactions for investments in Annuities or where Morgan Stanley Smith Barney LLC is not the custodian. This may affect the reported Net Invested Capital. If we are not the custodian there may also be a delay in the reporting of your Market Value. Please speak to your Financial Advisor if you have any questions.

ALLOCATION OF HOLDINGS



	Market Value	Percentage %
Cash, Deposits, MMFs*	\$39,800.95	7.1
Stocks	\$517,504.82	92.9
TOTAL VALUE	\$557,305.77	100.0%

This allocation represents holdings on a trade date basis, and projected settled Cash/Deposits/MMF balances; and may differ from Asset Allocation reports you might receive. These classifications are not intended to serve as a suitability analysis. * Bank Deposits are eligible for FDIC insurance; FDIC rules apply and deposits are not SIPC insured. Cash and securities (including Money Market Funds) are eligible for SIPC coverage. Please see Expanded Disclosures.

Consolidated Summary

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

BALANCE SHEET

	Last Period (as of 10/31/12)	This Period (as of 11/30/12)
TOTAL VALUE (incl. accr. int.)	\$575,552.95	\$557,305.77
ASSETS	\$575,552.95	\$557,305.77
Cash, Deposits, Money Market Funds	36,918.25	39,800.95
Stocks	538,634.70	517,504.82

LIABILITIES (Outstanding Balance)

There are no liabilities for these accounts.

CASH FLOW

	This Period (11/1/12-11/30/12)	This Year (1/1/12-11/30/12)
OPENING CASH, DEPOSITS, MMFs	\$36,918.25	—
INVESTMENT RELATED ACTIVITY	\$2,882.70	\$8,720.34
Dividend Reinvestments	—	(12.72)
Income	2,882.70	8,733.06
CASH RELATED ACTIVITY	—	\$31,080.61
Electronic Transfers-Debits	—	(20,000.00)
Other Credits	—	51,080.61
DEBIT CARD/CHECK ACTIVITY	—	—
Debit Card	—	—
ATM/Cash Advances	—	—
Checks Written	—	—
Automated Payments	—	—
CLOSING CASH, DEPOSITS, MMFs	\$39,800.95	\$39,800.95

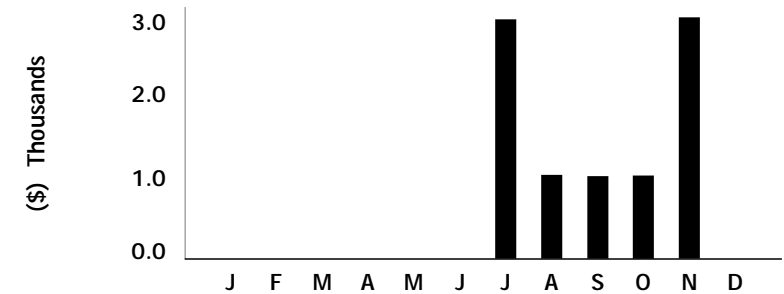
Consolidated Summary

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

INCOME SUMMARY, THIS PERIOD

	Taxable Income (11/1/12-11/30/12)	Tax-Exempt Income (11/1/12-11/30/12)	Total Income (11/1/12-11/30/12)
Accounts			\$2,882.70
Personal Accounts	2,882.70	—	2,882.70
Retirement Accounts	—	—	—
Education Accounts	—	—	—
Trust Accounts	—	—	—
Business Accounts	—	—	—

TOTAL INCOME YEAR TO DATE



GAIN/(LOSS) SUMMARY

	UNREALIZED GAIN	UNREALIZED (LOSS)
Personal Accounts	\$56,543.17	\$(8,390.26)
Long-Term	56,541.42	(8,390.26)
Short-Term	1.75	—
Retirement Accounts	—	—
Education Accounts	—	—
Trust Accounts	—	—
Business Accounts	—	—

NET REALIZED GAIN/(LOSS)	
This Period (11/1/12-11/30/12)	This Year (1/1/12-11/30/12)
—	—
—	—
—	—
—	—
—	—
—	—
—	—

Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments. Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account. Please refer to the Gain/(Loss) Information in the Expanded Disclosures for additional information.

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Account Summary

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

Brokerage Account

Householding Anniversary Date: 6/23/12

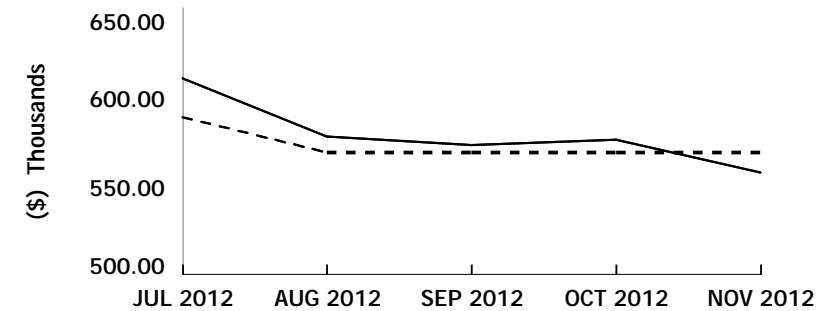
Investment Objectives[†]: Income, Speculation, Capital Appreciation

CHANGE IN VALUE OF YOUR ACCOUNT

	This Period (11/1/12-11/30/12)	This Year (1/1/12-11/30/12)
Total Beginning Value (includes accrued interest)	\$575,552.95	—
Total Ending Value (includes accrued interest)	\$557,305.77	\$557,305.77

CHANGE IN VALUE OVER TIME

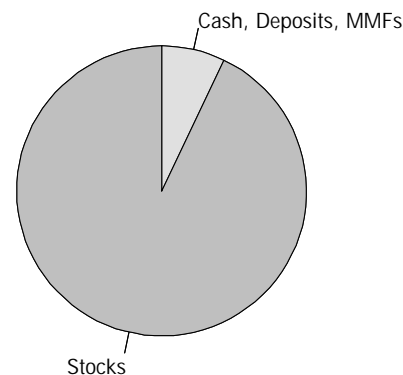
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— Total Market Value - - - Net Invested Capital Since 07/31/12

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ALLOCATION OF HOLDINGS



	Market Value	Percentage %
Cash, Deposits, MMFs*	\$39,800.95	7.1
Stocks	\$517,504.82	92.9
TOTAL VALUE	\$557,305.77	100.0%

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Account Summary

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

BALANCE SHEET

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Debit Card	—	—
ATM/Cash Advances	—	—
Checks Written	—	—
Automated Payments	—	—
CLOSING CASH, DEPOSITS, MMFs	\$39,800.95	\$39,800.95

Account Summary

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

INCOME SUMMARY

	This Period (11/1/12-11/30/12)	This Year (1/1/12-11/30/12)
TOTAL INCOME	\$2,882.70	\$8,733.06
TAXABLE INCOME	2,882.70	8,733.06
Qualified Dividends	1,877.03	3,744.89
Other Dividends	1,005.05	4,985.65
Long Term Capital Gains Distributions	—	—
Interest	0.62	2.52
Other Income	—	—
TAX-EXEMPT INCOME	—	—
Dividends	—	—
Interest	—	—
Other Income	—	—

Taxable and tax exempt income classifications are based on the characteristics of the underlying securities and not the taxable status of the account.

GAIN/(LOSS) SUMMARY

This Period (11/1/12-11/30/12)	Short-Term	Long-Term
NET REALIZED	—	—
Gain	—	—
(Loss)	—	—
NET UNREALIZED (11/30/12)	\$1.75	\$48,151.16
Gain	1.75	56,541.42
(Loss)	—	(8,390.26)
This Year (1/1/12-11/30/12)	Short-Term	Long-Term
NET REALIZED	—	—
Gain	—	—
(Loss)	—	—

Gain/(Loss) and income information are provided for informational purposes only and should not be used for tax preparation. Gain/(Loss) summary information may change due to basis adjustments.

Please refer to the Gain/(Loss) Information in the Expanded Disclosures for additional information.

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Holdings

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
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This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. Please see the "Unsettled Purchases/Sales Activity" section for more information.

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date.

Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures.

New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis. However, Global Currency Deposits may include both settled and unsettled positions. Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions. In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available.

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	\$13.08			
CITIBANK, N.A. #	39,787.87	8.00	—	0.020
	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest	
CASH, DEPOSITS AND MONEY MARKET FUNDS	7.1%	\$39,800.95	\$8.00	\$0.00

Bank Deposits are at Morgan Stanley Bank, N.A., Morgan Stanley Private Bank, and National Association, or Citibank, N.A. (Members FDIC), affiliates of Morgan Stanley Smith Barney LLC.

Holdings

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

STOCKS

COMMON STOCKS

Morgan Stanley & Co. LLC (Morgan Stanley), Citi Investment Research & Analysis (CIRA), and Standard & Poor's equity research ratings may be shown for certain securities. All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance. Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. Where a particular company is covered by both Morgan Stanley and CIRA, you can and should view both research reports. CIRA's equity research ratings are (1) Buy, (2) Neutral and (3) Sell. For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, CIRA and Standard & Poor's ratings. Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ABERDEEN ASIA-PAC PR INC FD (FAX)	10/30/07	22,114.802	\$6.090	\$134,679.14	\$174,706.94	\$40,027.80	LT 1	
	12/14/07	130.308	5.940	774.03	1,029.43	255.40	LT 1	
	1/11/08	129.549	6.010	778.59	1,023.44	244.85	LT 1	
	2/15/08	128.803	6.080	783.12	1,017.54	234.42	LT 1	
	3/14/08	126.629	6.220	787.63	1,000.37	212.74	LT 1	
	4/11/08	127.341	6.220	792.06	1,005.99	213.93	LT 1	
	5/16/08	127.443	6.250	796.52	1,006.80	210.28	LT 1	
	6/13/08	128.568	6.230	800.98	1,015.69	214.71	LT 1	
	7/11/08	132.263	6.090	805.48	1,044.88	239.40	LT 1	
	8/15/08	136.612	5.930	810.11	1,079.23	269.12	LT 1	
	9/12/08	159.782	5.100	814.89	1,262.28	447.39	LT 1	
	10/10/08	237.133	3.460	820.48	1,873.35	1,052.87	LT 1	
	11/14/08	208.629	3.973	828.78	1,648.17	819.39	LT 1	
	12/12/08	214.875	3.891	836.08	1,697.51	861.43	LT 1	
	1/16/09	259.949	4.636	1,205.15	2,053.60	848.45	LT 1	
	1/16/09	181.963	4.636	843.60	1,437.51	593.91	LT 1	
	2/13/09	181.468	4.734	859.07	1,433.60	574.53	LT 1	
	3/13/09	208.404	4.153	865.42	1,646.39	780.97	LT 1	
	4/16/09	178.208	4.897	872.72	1,407.84	535.12	LT 1	
	5/15/09	166.499	5.279	878.95	1,315.34	436.39	LT 1	
	6/12/09	156.598	5.650	884.78	1,237.12	352.34	LT 1	
	7/10/09	164.285	5.419	890.26	1,297.85	407.59	LT 1	
	8/14/09	150.116	5.969	896.01	1,185.92	289.91	LT 1	
	9/11/09	147.801	6.098	901.26	1,167.63	266.37	LT 1	
	10/16/09	139.917	6.478	906.44	1,105.34	198.90	LT 1	
	11/13/09	140.435	6.489	911.34	1,109.44	198.10	LT 1	
	12/11/09	139.892	6.550	916.25	1,105.15	188.90	LT 1	

CONTINUED

Holdings

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	1/15/10	140.879	6.539	921.15	1,112.94	191.79 LT 1		
	2/12/10	149.082	6.212	926.08	1,177.75	251.67 LT 1		
	3/12/10	141.765	6.569	931.30	1,119.94	188.64 LT 1		
	4/16/10	138.940	6.739	936.26	1,097.63	161.37 LT 1		
	5/14/10	146.341	6.431	941.12	1,156.09	214.97 LT 1		
	6/11/10	155.384	6.090	946.24	1,227.53	281.29 LT 1		
	7/16/10	150.112	6.340	951.68	1,185.88	234.20 LT 1		
	8/13/10	142.445	6.718	956.93	1,125.32	168.39 LT 1		
	9/10/10	141.861	6.781	961.92	1,120.70	158.78 LT 1		
	10/15/10	136.766	7.070	966.88	1,080.45	113.57 LT 1		
	11/12/10	138.592	7.011	971.67	1,094.88	123.21 LT 1		
	12/10/10	147.897	6.603	976.52	1,168.39	191.87 LT 1		
	1/14/11	147.197	6.669	981.70	1,162.86	181.16 LT 1		
	2/11/11	146.467	6.738	986.85	1,157.09	170.24 LT 1		
Total		28,342.000		170,295.44	223,901.80	53,606.36 LT	11,903.64	5.31

Share Price: \$7.900; Next Dividend Payable 12/14/12

AMERICA MOVIL SA DE CV ADR L (AMX)	—	9,102.000	—	Please Provide	214,716.18	N/A		
	1/14/04	2,401.917	26.305	63,182.44	56,661.22	(6,521.22) LT 1		
	3/26/04	19.922	10.318	205.55	469.96	264.41 LT 1		
	6/25/04	21.827	10.021	218.73	514.90	296.17 LT 1		
	9/24/04	22.151	9.900	219.30	522.54	303.24 LT 1		
	12/23/04	19.802	11.419	226.11	467.13	241.02 LT 1		
	3/24/05	21.463	10.626	228.07	506.31	278.24 LT 1		
	6/30/05	23.360	11.467	267.87	551.06	283.19 LT 1		
	9/29/05	21.557	12.476	268.94	508.53	239.59 LT 1		
	12/28/05	18.892	14.541	274.70	445.66	170.96 LT 1		
	3/30/06	20.115	13.568	272.93	474.51	201.58 LT 1		
	6/29/06	23.300	12.087	281.62	549.65	268.03 LT 1		
	9/28/06	19.161	15.415	295.36	452.01	156.65 LT 1		
	1/3/07	17.069	17.219	293.91	402.66	108.75 LT 1		

CONTINUED

Holdings

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	3/29/07	14.343	20.298	291.14	338.35	47.21 LT 1		
	6/28/07	14.108	23.195	327.23	332.81	5.58 LT 1		
	9/27/07	16.140	20.097	324.36	380.74	56.38 LT 1		
	12/28/07	14.645	22.630	331.42	345.48	14.06 LT 1		
	1/18/08	600.000	26.567	15,940.47	14,154.00	(1,786.47) LT 1		
	4/3/08	14.228	23.722	337.52	335.64	(1.88) LT 1		
Total		12,426.000		83,787.67	293,129.34	(5,374.51) LT	3,740.23	1.27

Share Price: \$23.590; Rating: Morgan Stanley: 3, Citigroup: 2, S&P: 2

AMERIGO ENERGY INC COM (AGOE)	—	1.000	—	Please Provide	0.01	N/A	—	—
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Share Price: \$0.010

DNI METALS INC COM (DMNKF)	—	4.000	—	Please Provide	0.87	N/A	—	—
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Share Price: \$0.219

EATON VANCE TAX MGD DIV EQU FD (EXG)	—	0.000	0.00	0.00	0.00			
Long Term Reinvestments		47.453		499.22	418.53	(80.69) LT		
Short Term Reinvestments		6.153		52.51	54.26	1.75 ST		
Total		53.606		551.73	472.80	(80.69) LT 1.75 ST	52.32	11.06

Share Price: \$8.820; Next Dividend Payable 02/2013

EVERGOOD PRODUCTS (EVGD)	—	1,500.000	—	Please Provide	0.00	N/A	—	—
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Share Price: \$0.000

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
STOCKS	92.9%	\$254,634.84	\$517,504.82	\$48,151.16 LT \$1.75 ST	\$15,696.19 \$0.00	3.03%

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	\$254,634.84	\$557,305.77	\$48,151.16 LT \$1.75 ST	\$15,704.19 \$0.00	2.82%

TOTAL VALUE (includes accrued interest)

\$557,305.77

1 - This information reflects your requested adjustments to the transaction details.

Unrealized Gain/(Loss) Totals only reflect positions that have cost basis information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

CLIENT STATEMENT | For the Period November 1-30, 2012

Activity

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

INVESTMENT RELATED ACTIVITY

TAXABLE INCOME

Date	Activity Type	Description	Comments	Credits/(Debits)
11/16	Dividend	ABERDEEN ASIA-PAC PR INC FD		\$991.97
11/26	Qualified Dividend	AMERICA MOVIL SA DE CV ADR L		1,877.03
11/29	Interest Income	CITIBANK,N.A. (Period 10/31-11/29)		0.62
11/30	Dividend	EATON VANCE TAX MGD DIV EQU FD		13.08
TOTAL TAXABLE INCOME				\$2,882.70
	TOTAL QUALIFIED DIVIDENDS			\$1,877.03
	TOTAL OTHER DIVIDENDS			\$1,005.05
	TOTAL INTEREST			\$0.62

MONEY MARKET FUND (MMF) AND BANK DEPOSITS ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
11/19	Automatic Investment	BANK DEPOSIT PROGRAM	\$991.97
11/27	Automatic Investment	BANK DEPOSIT PROGRAM	1,877.03
11/29	Automatic Investment	BANK DEPOSIT PROGRAM	0.62
NET ACTIVITY FOR PERIOD			\$2,869.62

COPIES OF THIS STATEMENT HAVE ALSO BEEN SENT TO:

CLAUDE SIMON

Messages

Active Assets Account
052-132387-853

MR JOHN M SIMON AND
MRS VICKI C SIMON JT TEN

Dual Tax Reporting Reminder

This is a reminder that for the 2012 tax year, you may receive tax forms for your account from both Morgan Stanley and Citigroup Global Markets Inc. If you receive two sets of forms, please use both of them when preparing your 2012 federal and state income tax returns. The combined amounts reported on the two forms represent the aggregate tax reportable amounts generated in your account for 2012. If you previously signed up for eDelivery of your tax forms as a Smith Barney client, you will receive paper copies of those forms for tax year 2012.

If your account is eligible to receive either a Form 2439 (Undistributed Long Term Capital Gains) or a Schedule K-1 (Partner's Share of Income, Deductions, Credits, etc.), you will receive only one copy and it will come from Morgan Stanley. As with all tax-related matters, please consult your tax advisor to determine the proper way to report these items on your tax returns. You can also register for Morgan Stanley Online. By registering for online access, you can:

- Enroll in eDelivery for select tax forms.
- Download all of your Morgan Stanley and Citigroup Global Markets Inc. realized Gain/Loss data into Excel.
- Download your 1099 data directly into several tax return preparation software applications such as TurboTax and H&R Block At Home.

To register, go to www.morganstanley.com/online and click Register Online to Access Your Account. Please be sure to have your account number available. If you need assistance, please contact your Financial Advisor or the Client Service Center at 888-454-3965.

Consolidated 1099 Tax Statement and 1099R Mailing Date Reminder

As a reminder, the Forms 1099 and 1099R filing deadline for financial services firms is February 15th. This year's Forms 1099 and 1099R mailing will commence on or about February 13th. If you are registered with Morgan Stanley Online, you will be able to log on and view your Forms 1099 and 1099R once they are available. If you are registered on Morgan Stanley Online and enrolled in eDelivery, you will be notified when they are available for each of your accounts. If you are not enrolled in eDelivery and would like to, please visit <http://www.morganstanley.com/online/edelivery> and enroll or contact your Financial Advisor.

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Standard Disclosures

The following Disclosures are applicable to the enclosed statement(s). For expanded Disclosures, refer to the Disclosures section in your last quarter-end statement (or your first statement if you have not yet received a statement at quarter end). You can also access a copy of the expanded Disclosures by logging into www.morganstanley.com/online and selecting Account Documents or by calling 800-869-3326.

Questions?

Questions regarding your account may be directed to your Financial Advisor or the Branch Manager for the branch office where you maintain your account. If you require further assistance, call Client Service at (800) 869-3326 or the Legal and Compliance Division at (914) 225-5870.

Errors and Inquiries

It is your responsibility to review your statement promptly and to seek immediate clarification about entries that you do not understand or believe were made in error by contacting the Branch Manager of the office where you maintain your account. Oral communications regarding any inaccuracy or discrepancy in this statement should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). Except as provided in your account documentation, your statement will be deemed correct unless we receive your written inquiry of a suspected error within 10 calendar days from the day you received your statement. See your account documentation for special rules regarding your rights and responsibilities with respect to erroneous electronic fund transfers, including a description of the transfers covered.

Availability of Free Credit Balances and Financial Statements

Under the customer protection rules of the SEC [17 CFR §240.15c3-2 & -3], we may use funds arising out of free credit balances carried for customer accounts, provided that these funds are payable to customers on demand. A financial statement of this organization is available for your personal inspection at its offices, or a copy will be mailed to you upon your written request.

Listed Options

Information with respect to commissions and other charges related to the execution of options transactions has been included in confirmations of such transactions previously furnished to you and such information will be made available to you promptly at your request. Promptly advise us of any material change in your investment objectives or financial situation.

Margin Privileges (not available for certain accounts such as IRAs or

retirement accounts)

If you have margin privileges, you may borrow money from us in exchange for pledging assets in your accounts as collateral for any outstanding margin loan. The amount you may borrow is based on the value of the eligible securities in your margin accounts. If a security has eligible shares, the number of shares pledged as collateral will be indicated below the position.

Margin Interest Charges

We calculate interest charges on margin loans as follows: (1) Multiply the applicable margin interest rate by the daily close of business net settled debit balance, and (2) Divide by 360 (days). Margin interest accrues daily throughout the month and is added to your debit balance at month-end. The month-end interest charge is the sum of the daily accrued interest calculations for the month. We add the accrued interest to your debit balance and start a new calculation each time the applicable interest rate changes and at the close of every statement month. For current margin loan interest rates, go to <https://www.morganstanleyclientserv.com/Secure/AccountServicing/MI/Rates.aspx>

Information regarding Special Memorandum Account

If you have a Margin Account, this is a combined statement of your Margin Account and Special Memorandum Account maintained for you under Section 220.6 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the Special Memorandum Account as required by Regulation T is available for your inspection at your request.

Structured Products

Structured Products are complex products and may be subject to special risks. Investors should consider the concentration risk of owning the related security and their total exposure to any underlying asset. Structured Products may not perform in a manner consistent with the statement product category where they appear and therefore may not satisfy portfolio asset allocation needs for that category.

Important Information About Auction Rate Securities

Due to market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on this statement may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction, and are not an indication of any offer to purchase at such price.

Security Measures

This statement features several embedded security elements to safeguard its authenticity. One is a unique security mark--a blue rectangle printed in heat-sensitive ink on the back side of every page. When exposed to warmth, the blue rectangle will disappear, and then reappear.

SIPC Protection

Morgan Stanley Smith Barney LLC is a member of SIPC, which protects securities of its customers up to \$500,000 (including \$250,000 for claims for cash). An explanatory brochure is available upon request or at www.sipc.org. Losses due to market fluctuation are not protected by SIPC and assets not held at Morgan Stanley Smith Barney LLC may not be covered by SIPC protection. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at 1-202-371-8300 or visit www.sipc.org.

Transaction Dates and Transaction Conditions

Upon written request, we will furnish the date and time of a transaction and the name of the other party to a transaction. We and/or our affiliates may accept benefits that constitute payment for order flow. Details regarding these benefits and the source and amount of any other remuneration received or to be received by us in connection with any transaction will be furnished upon written request.

Equity Research Ratings Definitions and Consulting Group Investment Advisory Statuses

Some equity securities may have research ratings from Morgan Stanley & Co. LLC, Citi Investment Research & Analysis, or Standard & Poor's. Research ratings are the research providers' opinions and not representations or guarantees of performance. For more information about each research providers' rating systems, see the expanded disclosures on your quarter-end statement, go to www.ClientServ.com or refer to the research provider's research report. Research reports contain more complete information concerning the analyst's views and you should read the entire research report and not infer its contents from the rating alone. If your account contains an advisory component or is an advisory account, CG IAR statuses apply.

Credit Ratings from Moody's Investors Service and Standard & Poor's

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the opinions of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

Revised 11/2012

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