



## MIKE PREIS INC. INSURANCE AGENCY

P.O. Box 280  
Callicoon, NY 12723

Phone: (845) 887-4210  
Fax: (845) 887-5162  
[www.mikepreis.com](http://www.mikepreis.com)

2/24/2016

Claude Simon  
71 Tonjes Road  
Callicoon NY 12723  
Welcome to the Mike Preis Insurance Agency!

Penny Ackermann is your account manager, and you can contact her for any questions or service that you might need on your insurance policies.

Penny Ackermann  
845-482-5510  
[packermann@mikepreis.com](mailto:packermann@mikepreis.com)

You can also visit our website at [www.mikepreis.com](http://www.mikepreis.com). There you will find information about the agency, forms to request changes or additional quotes, and company contact information. In addition, you will find informational videos, and a defensive driving class that can be taken online.

Your policy is written with Callicoon Cooperative Insurance Co.. If you need to report a claim, you can contact your account manager and she will assist you with the claim process. If you need to report a claim when our office is closed, you can go to our website to find the company claim reporting information.

The management of Mike Preis, Inc. would appreciate it if you would let them know should you ever be less than satisfied with the service you receive. And, if you are completely satisfied, we would appreciate it if you tell your friends and relatives.

Thank you for your confidence in our agency.

Sincerely,

  
David W. Bodenstein  
President  
Mike Preis, Inc.

### OTHER LOCATIONS

P.O. Box 682, Jeffersonville, NY 12748 • Phone (845) 482-5510 Fax (845) 482-3459

P.O. Box 338, Roscoe, NY 12776 • Phone (607) 498-4301 Fax (607) 498-4605



**Mike Preis, Inc.**  
PO Box 280, Callicoon, NY 12723  
845-887-4210

Regulation 194 Disclosure

Thank you for trusting Mike Preis, Inc. with your insurance needs. We understand the vital role that insurance plays in providing you with financial security and peace of mind. For the duration of what we hope will be a long relationship with you, our first priority will be finding the most appropriate insurance coverage for you at a reasonable cost. We are an independent insurance agent, which means we have the ability to place insurance with a variety of companies, resulting in the best combination of coverage, price and service for your unique situation.

Mike Preis, Inc. is an insurance producer licensed by the State of New York. Insurance producers are authorized by their license to confer with insurance purchasers about the benefits, terms and conditions of insurance contracts; to offer advice concerning the substantive benefits of particular insurance contracts; to sell insurance; and to obtain insurance for purchasers. The role of the producer in any particular transaction typically involves one or more of these activities.

In order to find the most appropriate coverage for you, our agency may also act as a "broker" when securing your coverage. In a "broker" relationship we do not have an agency contract with a particular insurance company but we are able to place coverage on your behalf.

Our agency is compensated on a commission basis by the insurance company that writes your insurance, whether we are acting as an "agent" or a "broker". This commission percentage is set by the company, not by us, and is included as a part of the insurance premium you pay. Our agency's compensation might also include incentives in addition to standard commissions. This compensation is known as "profit sharing" and is paid at year-end, based on a combination of business written, profitability, and retention throughout the year. We do not know if we will be receiving this additional compensation at the time an individual policy is written.

The insurance purchaser may obtain additional information about compensation expected to be received by the producer based in whole or in part on the sale of insurance to the purchaser, and (if applicable) compensation expected to be received based in whole or in part on any alternative quotes presented to the purchaser by the producer, by requesting such information from the producer.

We want to assure you that, regardless, our first priority remains meeting your insurance needs to your satisfaction. We would be happy to provide you with further details about our services, our relationship with your insurance company or our compensation from that company. The owner & staff of Mike Preis, Inc. are delighted to have you as a client and welcome any suggestions that you have to assist us in serving you better. We appreciate your business.

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## **PRIVACY POLICY**

### **I. Introduction:**

As a current customer of Mike Preis, Inc., we would like to take this opportunity to both thank you for your business and to share with you the importance our agency places upon protecting the privacy of information we gather from you in accordance with applicable state and federal laws.

Mike Preis, Inc. is a member of the financial services industry and, as such, our agency has been and continues to be subject to federal and state privacy laws regarding the collection and exchange of your information. The following is Mike Preis, Inc.'s privacy policy regarding the customer information we collect. Contained in this privacy policy you will find (1) an explanation of the types of information Mike Preis, Inc. collects from our clients and the means used to collect such information, (2) an explanation of how Mike Preis, Inc. shares the information collected from our clients, and (3) an explanation of how Mike Preis, Inc. protects client information.

### **II. Information we collect and the means used to collect:**

Mike Preis, Inc. collects information about our customers that is necessary to provide those services usual and customary to independent insurance agents. Mike Preis, Inc. collects this necessary information from the following sources:

- Information we receive from you on applications or other forms, this information may include, but is not limited to, your name, address, and date of birth.
- Information about our clients' transactions with us; this information may include, but is not limited to, claims and payment history.
- Information we receive from a consumer-reporting agency; this information may include, but is not limited to, a driving record or insurance score report.

To collect customer information from the above-stated sources, Mike Preis, Inc. may use the following means of communication to gather information: written, in-person, telephone, facsimile, electronically, and online.

### **III. How we share client information with non-affiliated third parties:**

We don't share information about our customers or former customers with non-affiliated third parties other than as permitted or required by law. For example, Mike Preis, Inc. may share all of the information listed above with non-affiliated third parties for, including but not limited to, the following reasons:

- Information that is necessary to service or process the insurance needs of our clients, in a manner that is consistent with the usual and customary services provided by independent insurance agents. Such usual and customary services or processes provided by Mike Preis, Inc. to its customers include but are not limited to underwriting, shopping the renewal, rating, placement, and providing quotes for insurance that is germane to the coverage the customer places with our agency.
- Information that is necessary to protect the confidentiality or security of our client's records
- Information that is necessary to resolve client disputes or inquiries
- Information that is required by individuals or entities who are assessing our legal compliance.
- Information that is required for Mike Preis, Inc. to comply with the law.
- To an actuarial or research organization for the purpose of conducting actuarial or research studies
- Information that is necessary to protect against or prevent fraud, unauthorized transactions, claims, or other liability

**IV. How we share client information with affiliated parties:**

We do not share client information with any affiliate.

**V. Our practices regarding information confidentiality and security:**

We maintain physical, electronic, and procedural safeguards to guard your information. These safeguards include but are not limited to the following:

- We restrict access to nonpublic personal information about our clients and former clients to those employees who need to know that information in order to assist in providing services or products to the customer.
- We will punish any employees who impermissibly share client information.
- We use a secure Internet and e-mail provider to protect the confidentiality of electronic communications.

Mike Preis, Inc. appreciates your business and in order to continue building upon that relationship we believe it is necessary, not only from a legal standpoint, but also as a sound business practice that our customers understand the care our agency uses in handling your information. Mike Preis, Inc. will continue to monitor the effectiveness of this privacy policy.